# PERSHING STANDARD FILE LAYOUTS

## APPENDIX 12: BUSINESS PARTY ROLE CODES

**USED IN THE FOLLOWING STANDARD FILES: ACCF/ACCT, BPFD/BPFF**

### Business Party Role Codes

| CODE | DESCRIPTION |
|---|---|
| AUPT | Authorized Party |
| CGLV | Corporate Global View (Unrestricted) |
| COTR | Co-Trustee |
| CTDN | Custodian |
| DAFS | Donor-Advised Fund Sponsor |
| DITR | Directed Trustee |
| INVP | Investment Professional |
| IPTM | Investment Professional Team |
| LEND | Lender |
| MMGR | Money Manager |
| MSUB | Institutional Master/Client |
| OMAP | OMGEO Authorized Party |
| PLSP | Plan Sponsor |
| RIA | Registered Investment Advisor |
| SCUS | Sub-Custodian |
| SOTR | Sole Trustee |
| TSOL | Trust Solutions Provider |
| 00A | Account |
| 00G | Account Group |
| 00P | Portfolio |
| 001 | ID Agent |
| 002 | Executing Broker |
| 003 | Clearing Broker |
| 004 | Clearing Agent |
| 005 | Institution |
| 007 | DTC Sub-Custodian |
| 008 | Interested Party |
| 009 | Prime Broker |
| 01A | Introducing Firm |
| 010 | Marketplace |
| 011 | Clearing Corporation |
| 012 | Depository |
| 013 | Introducing Broker Dealer (IBD; Correspondent) |
| 014 | Broker |

| CODE | DESCRIPTION |
|---|---|
| 015 | Broker/Dealer |
| 016 | Organization |
| 017 | Affirming Party |
| 018 | Institutional Customer |
| 019 | Institutional Contact |
| 02B | Introducing Business Firm |
| 020 | Pershing Organization |
| 021 | Office |
| 022 | Registered Representative |
| 023 | Vendor |
| 024 | Customer |
| 025 | Beneficiary |
| 026 | Employer |
| 027 | Company |
| 028 | Custodian Bank |
| 029 | Non-Title Customer |
| 03C | Servicing Firm |
| 030 | Sub-Office |
| 031 | Cash Management Third Party |
| 032 | Pershing |
| 0323 | Locator |
| 0324 | Region |
| 033 | Regulatory Agency |
| 034 | Asset Movement Bank |
| 035 | Pershing Internal Department |
| 036 | Additional Registered Representative |
| 037 | Trustee of IRA Trust |
| 038 | Investment Manager |
| 039 | Books and Records Participant |
| 0391 | Firm |
| 040 | Employee |
| 041 | Institution |
| 042 | Retirement Plan |
| 043 | Sponsor/Employer |
| 044 | Third Party Administrator |
| 045 | Contact |
| 047 | Disregarded Entity |
| 048 | Legacy Custodian |
| 049 | W8 Substantial Owner |
| 050 | Mutual Fund Family |
| 051 | Sash RR |

| CODE | DESCRIPTION |
|---|---|
| 052 | Servicing RR |
| 053 | Referring RR |
| 060 | Branch |
| 065 | Securities Specialist |
| 066 | Prior Securities Specialist |
| 068 | Original Beneficiary |
| 070 | Account Opener |
| 075 | Investment Counselor |
| 077 | Private Banker |
| 081 | IP Managed Team |
| 087 | Record Keeper |
| 088 | RPN Finance Contact |
| 099 | M&T Customer Service Rep |
| 105 | Legal Entity |
| 107 | Aggregator Provider |
| 110 | Booking Entity |
| 124 | Client |
| 134 | Wealth Investor |
| 160 | Albridge Financial Institution |
| 161 | Albridge Rep Identifier |
| 201 | Administrator Security Contact |
| 202 | Main Fund Order Desk Security Contact |
| 203 | Marketing/Sales Security Contact |
| 204 | Fund Management Company Security Contact |
| 205 | Local Fund Order Desk Security Contact |
| 206 | Commission Security Contact |
| 207 | Exchange Security Contact |
| 208 | Transfers Security Contact |
| 209 | Settlement Security Contact |
| 210 | Other Security Contact |
| 211 | Auditor Security Contact |
| 220 | Fund Manager |
| 221 | Fund Administrator |
| 225 | Trust Network Fund Company |
| 240 | Line of Business |
| 245 | Region |
| 250 | Complex |