

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: SELECT STATEMENT DATA

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 750 characters
 Form Name: STA3

DESCRIPTION

The Select Statement Data file (STA3) is created daily, and contains selected statement data. This file contains header and trailer records that confirm the beginning and end of the file, the date it was created, and the file's total number of records.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF B B B B B B B B PERSHING B " (beginning of Pershing file)
019-036	X(18)	AN	Literally "SELECT B STMT B INFO B B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " B BEGINS B HERE B B "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-749	X(645)	AN	Not Used
750-750	X(01)	AN	Literally "A"; indicates the end of the header record

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DETAIL RECORD A - SELECT SUMMARY INFORMATION

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "S3"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001"
012-020	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), and Check Digit (1)
021-021	X(01)	AN	<i>(reserved for account type)</i>
022-024	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
025-025	X(01)	AN	Not Used
026-034	X(09)	AN	<i>Reserved for Introducing Firm</i>
035-037	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
038-044	X(07)	AN	Not Used
Generic Account Information			
045-052	9(08)	N	STATEMENT DATE, in CCYYMMDD format
053-053	X(01)	AN	BANK CUSTODY/BROKERAGE ACCOUNT INDICATOR; values include: "B" = Brokerage account "C" Bank Custody account
054-085	X(32)	AN	ACCOUNT REGISTRATION LINE 1
086-117	X(32)	AN	ACCOUNT REGISTRATION LINE 2
118-149	X(32)	AN	ACCOUNT REGISTRATION LINE 3
150-181	X(32)	AN	ACCOUNT REGISTRATION LINE 4
182-213	X(32)	AN	ACCOUNT REGISTRATION LINE 5
214-245	X(32)	AN	ACCOUNT REGISTRATION LINE 6
Select Summary Data			
246-247	9(02)	N	TRANCHE NUMBER; values from 01-99; applies to Bank Custody accounts only
248-265	9(16)v9(02)	N	MUNICIPAL BOND INTEREST
266-266	X(01)	AN	MUNICIPAL BOND INTEREST SIGN; values include: "+" = Positive amount "-." = Negative amount "b" = Not applicable
267-284	9(16)v9(02)	N	NON-QUALIFIED DIVIDEND INCOME
285-285	X(01)	AN	NON-QUALIFIED DIVIDEND INCOME SIGN; values include: "+" = Positive amount "-." = Negative amount "b" = Not applicable

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POSITION	PICTURE	TYPE	DESCRIPTION
286-303	9(16)v9(02)	N	LONG-TERM GAIN/LOSS AMOUNT
304-304	X(01)	AN	LONG-TERM GAIN/LOSS SIGN, values include: “+” = Gain “-” = Loss “ B ” = Not applicable
305-322	9(16)v9(02)	N	SHORT-TERM GAIN/LOSS AMOUNT
323-323	X(01)	AN	SHORT-TERM GAIN/LOSS SIGN, values include: “+” = Gain “-” = Loss “ B ” = Not applicable
324-341	9(16)v9(02)	N	FEES
342-342	X(01)	AN	FEES SIGN; values include: “-” = Fee amount charged “ B ” = Not applicable
343-749	X(407)	AN	Not Used
750-750	X(01)	AN	Literally “X”; indicates the end of detail record A

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally “EOF B B B B B B PERSHING B ” (end of Pershing file)
019-036	X(18)	AN	Literally “SELECT B STMT B INFO B B ”
037-046	X(10)	AN	Literally “ B DATA B OF B B ”
047-056	X(10)	AN	DATE OF DATA = “MM/DD/CCYY”
057-067	X(11)	AN	Literally “ B TO B REMOTE B ”
068-071	X(04)	AN	REMOTE ID = “XXXX”
072-083	X(12)	AN	Literally “ B ENDS B HERE. B ”
084-105	X(22)	AN	Literally “TOTAL B DETAIL B RECORDS: B ”
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-749	X(634)	AN	Not Used
750-750	X(01)	AN	Literally “Z”; indicates the end of the trailer record