

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: ALLIANCE ACCOUNT BALANCES

GENERAL INFORMATION

File Frequency: Monthly
 Record Size: 132 characters
 Form Name: ACBB

DESCRIPTION

The Alliance Account Balances file (ACBB) is created monthly. It provides a monthly update on average daily balances in Federated Investor funds, AllianceBernstein funds, Pershing Government Account funds and all the associated money fund trails for these funds, along with all the money market funds that are offered at Pershing.

The file is sorted by IBD number, mutual fund CUSIP, Investment Professional Number and account number.

This file contains header and trailer records that confirm the beginning and end of the file, the date it was created, and the file's total number of records.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF B B B B B B PERSHING B " (beginning of Pershing file)
019-036	X(18)	AN	Literally "12B1 B ALLIANCE B B B B B B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	Literally = "XXXX"
072-085	X(14)	AN	Literally " B BEGINS B HERE B B "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-131	X(27)	AN	Not Used
250-250	X(01)	AN	Literally "A"; indicates the end of the header record

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DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "B1"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-009	9(06)	N	ID SEQUENCE NUMBER; begins with "000001"
010-012	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
013-016	X(04)	AN	FUND MEMONIC; used to identify a sweep money fund; consult with your New Accounts or Operations departments to known sweep mnemonics used by your firm.
017-019	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
020-028	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), and Check Digit (1)
029-060	X(32)	AN	IBD NAME
061-064	v9(04)	N	COMMISSION FACTOR
065-075	9(09)v9(02)	N	PAYOUT AMOUNT
076-086	9(09)v9(02)	N	LAST MONTH'S PRINCIPAL
087-097	9(09)v9(02)	N	THIS MONTH'S PRINCIPAL
098-108	9(09)v9(02)	N	AVERAGE BALANCE
109-131	X(23)	AN	Not Used
132-132	X(01)	AN	Literally "X"; indicates the end of detail record A

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF B B B B B B PERSHING B " (end of Pershing file)
019-036	X(18)	AN	Literally "12B1 B ALLIANCE B B B B B B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-083	X(12)	AN	Literally " B ENDS B HERE B "
084-105	X(22)	AN	Literally "TOTAL B DETAIL B RECORDS: B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-131	X(16)	AN	Not Used
132-132	X(01)	AN	Literally "Z"; indicates the end of the trailer record