

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: ADVISORY ACCOUNT INFORMATION

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 2000 characters
 Form Name: AMAF

DESCRIPTION

The Advisory Account Information file (AMAF) contains advisory account information for customer accounts that are set up on the Pershing Managed Investment platform.

Record A contains Account information.

Record B contains account trading restrictions based on security. There can be multiple iterations of Record B per A record.

Record C contains account trading restrictions based on industry. There can be multiple iterations of Record C per A record.

A full file is sent daily.

The symbol “**B**” is used on our standard files to represent spaces.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-009	X(09)	AN	Literally “BOF B B B B B ”
010-018	X(09)	AN	Literally “PERSHING B ”
019-036	X(18)	AN	Literally “ADV B ACCT B INFO B FULL”
037-046	X(10)	AN	Literally “ B DATA B OF B B ”
047-056	X(10)	AN	DATE OF DATA = “MM/DD/CCYY”
057-067	X(11)	AN	Literally “ B TO B REMOTE B ”
068-071	X(04)	AN	REMOTE ID = “XXXX”
072-085	X(14)	AN	Literally “ B BEGINS B HERE B B ”
086-095	X(10)	AN	RUN DATE = “MM/DD/CCYY”
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = “HH:MM:SS”
105-118	X(14)	AN	Not Used
119-127	X(09)	AN	Literally “REFRESHED”
128-1999	X(1872)	AN	Not Used
2000-2000	X(01)	AN	Literally “A”; indicates the end of the header record

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DETAIL RECORD A – ACCOUNT INFORMATION

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = “A3”
003-003	X(01)	AN	RECORD INDICATOR VALUE = “A”
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with “00000001”
012-020	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), Check Digit (1)
021-031	X(11)	AN	<i>Reserved</i>
032-034	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
035-035	X(01)	AN	Not Used
036-038	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
039-042	X(04)	AN	<i>Reserved</i>
043-051	X(09)	AN	BUSINESS PARTY ID (PERSHING UNIQUE IDENTIFIER)
052-067	X(16)	AN	EXTERNAL UNIQUE IDENTIFIER
068-077	X(10)	AN	<i>Reserved</i>
078-078	X(01)	AN	PROXY AUTHORIZATION; client chooses to delegate all proxy rights to the Investment Manager on the account; values include: “Y” = Client delegates proxy rights “N” = Client does not delegate proxy rights
079-079	9(01)	N	TAX STATUS; values include: “0” = Taxable “1” = Non-taxable “2” = 401(k) “3” = IRA “4” = Exempt “5” = Deferred
080-334	X(255)	AN	ACCOUNT TITLE
DATES			
335-342	9(08)	N	INCEPTION DATE; in CCYYMMDD format
343-350	9(08)	N	MOST RECENT ACCOUNT FREEZE DATE; in CCYYMMDD format
351-358	9(08)	N	MOST RECENT ACCOUNT UNFREEZE DATE; in CCYYMMDD format
359-366	9(08)	N	TERMINATION DATE, in CCYYMMDD format
367-367	X(01)	AN	REBALANCE FREQUENCY; values include: “1” = Calendar annually “2” = Calendar semi-annually “3” = Calendar quarterly “4” = Calendar monthly “5” = 13 months/12 months “6” = 13 months

(cont.)

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POSITION	PICTURE	TYPE	DESCRIPTION
			"A" = Annually "D" = Disabled "M" = Monthly "Q" = Quarterly "S" = Semi-annually "B" = Not provided
368-375	9(08)	N	NEXT REBALANCE DATE; in CCYYMMDD format
376-376	X(01)	AN	TERMINATION TYPE; values include: "1" = Termination without liquidation "2" = Termination with partial liquidation "3" = Termination with full liquidation
377-377	X(01)	AN	REASON FOR ACCOUNT TERMINATION; values include: "1" = Life changing event "2" = Need for liquidity "3" = Other "4" = Change of investment "5" = Termination without liquidation "B" = Not applicable or provided
378-397	X(20)	AN	Not Used
ADVISORY ACCOUNT CHARACTERISTICS from ACCT/ACCF			
398-407	X(10)	AN	ADVISORY PROGRAM CODE
408-417	X(10)	AN	ADVISORY PRODUCT TYPE CODE
418-537	X(120)	AN	ADVISORY PROGRAM/PRODUCT TYPE LONG NAME
538-547	X(10)	AN	ADVISORY MONEY MANAGER CODE
548-667	X(120)	AN	ADVISORY MONEY MANAGER NAME; if applicable
668-677	X(10)	AN	ADVISORY MANAGEMENT STYLE CODE
678-797	X(120)	AN	ADVISORY MANAGEMENT STYLE; if applicable
798-798	X(01)	AN	MANAGED INVESTMENT ACCOUNT STATUS; values include: "A" = Active "F" = Frozen "P" = Pending "S" = Pending turnover "T" = Terminated
799-806	9(08)	N	MANAGED INVESTMENT ACCOUNT STATUS DATE, in CCYYMMDD format
807-812	X(06)	AN	PROPOSED ACCOUNT REFERENCE ID
813-824	X(12)	AN	ADVISOR MODEL ID
825-944	X(120)	AN	FIRM MODEL NAME
945-956	X(12)	AN	FIRM MODEL ID
957-962	X(06)	AN	FIRM MODEL STYLE ID
963-994	X(32)	AN	GROUP NAME (Household nickname)

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POSITION	PICTURE	TYPE	DESCRIPTION
INVESTMENT ATTRIBUTES			
995-1012	9(18)	N	ACCOUNT INITIAL VALUE; account value when turned over to manager
1013-1030	9(18)	N	INVESTMENT AMOUNT FOR ACCOUNT QUOTED DURING PROPOSAL CREATION
1031-1048	9(16)v9(02)	N	RISK TOLERANCE SCORE OF ACCOUNT
1049-1056	X(08)	AN	Not Used
1057-1057	X(01)	AN	SOURCE OF PROPOSAL; values include: "1" = Strategic Proposal System (SPS) "2" = New Account Opening (NAO) "b" = Source not known
1058-1058	X(01)	AN	PRINCIPAL/INCOME INDICATOR; determines if principal and interest are placed in separate buckets; values include: "1" = Principal and Income are in separate buckets "0" = Principal and Income are in same bucket
1059-1059	X(01)	AN	INVESTOR PREFERENCE FOR SMA MUNIBOND MANAGER/UMA PROGRAM; values include: "1" = National "2" = State preference "3" = State specific "b" = Not applicable
1060-1061	X(02)	AN	INVESTOR STATE PREFERENCE FOR SMA MUNIBOND MANAGER/UMA PROGRAM; see Appendix Q for values
1062-1073	X(12)	AN	REFERENCE ID FOR PROPOSAL ASSOCIATED WITH THE ACCOUNT
1074-1091	X(18)	AN	Not Used
GENERAL RESTRICTION COMMENTS			
1092-1347	X(256)	AN	For Pershing Internal Use Only
1348-1447	X(100)	AN	INVESTMENT OBJECTIVE DESCRIPTION
1448-1999	X(552)	AN	Not Used
2000-2000	X(01)	AN	Literally "X"; indicates the end of the detail record A

PERSHING STANDARD FILE LAYOUTS

DETAIL RECORD B – ACCOUNT TRADING RESTRICTIONS – SECURITIES (MULTIPLE ITERATIONS, AS NEEDED)

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = “A3”
003-003	X(01)	AN	RECORD INDICATOR VALUE = “B”
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with “00000001”
012-020	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), Check Digit (1)
021-031	X(11)	AN	<i>Reserved</i>
032-034	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
035-035	X(01)	AN	Not Used
036-038	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
039-042	X(04)	AN	<i>Reserved</i>
043-051	X(09)	AN	BUSINESS PARTY ID (PERSHING UNIQUE IDENTIFIER)
052-067	X(16)	AN	EXTERNAL UNIQUE IDENTIFIER
068-077	X(10)	AN	<i>Reserved</i>
<i>The following field will increment as necessary for the various Securities Trading Restrictions associated with the Account in the A Record.</i>			
078-080	9(03)	N	SECURITIES SECONDARY SEQUENCE NUMBER; begins with 001
Identifier			
081-089	X(09)	AN	CUSIP (or other security identifier)
090-095	X(06)	AN	<i>Reserved</i>
096-096	X(01)	AN	RESTRICTIVE ACTION; values include: “0” = Buy “1” = Sell “2” = Buy/Sell “3” = Hold
097-104	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
105-115	X(11)	AN	<i>Reserved</i>
Identifier			
116-124	X(09)	AN	CUSIP (or other security identifier)
125-130	X(06)	AN	<i>Reserved</i>
131-131	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
132-139	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
140-150	X(11)	AN	<i>Reserved</i>
Identifier			
151-159	X(09)	AN	CUSIP (or other security identifier)
160-165	X(06)	AN	<i>Reserved</i>
166-166	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
167-174	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
175-185	X(11)	AN	<i>Reserved</i>

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POSITION	PICTURE	TYPE	DESCRIPTION
Identifier			
186-194	X(09)	AN	CUSIP (or other security identifier)
195-200	X(06)	AN	<i>Reserved</i>
201-201	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
202-209	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
210-220	X(11)	AN	<i>Reserved</i>
Identifier			
221-229	X(09)	AN	CUSIP (or other security identifier)
230-235	X(06)	AN	<i>Reserved</i>
236-236	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
237-244	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
245-255	X(11)	AN	<i>Reserved</i>
Identifier			
256-264	X(09)	AN	CUSIP (or other security identifier)
265-270	X(06)	AN	<i>Reserved</i>
271-271	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
272-279	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
280-290	X(11)	AN	<i>Reserved</i>
Identifier			
291-299	X(09)	AN	CUSIP (or other security identifier)
300-305	X(06)	AN	<i>Reserved</i>
306-306	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
307-314	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
315-325	X(11)	AN	<i>Reserved</i>
Identifier			
326-334	X(09)	AN	CUSIP (or other security identifier)
335-340	X(06)	AN	<i>Reserved</i>
341-341	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
342-349	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
350-360	X(11)	AN	<i>Reserved</i>
Identifier			
361-369	X(09)	AN	CUSIP (or other security identifier)
370-375	X(06)	AN	<i>Reserved</i>
376-376	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
377-384	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
385-395	X(11)	AN	<i>Reserved</i>
Identifier			
396-404	X(09)	AN	CUSIP (or other security identifier)
405-410	X(06)	AN	<i>Reserved</i>
411-411	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
412-419	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format

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POSITION	PICTURE	TYPE	DESCRIPTION
420-430	X(11)	AN	<i>Reserved</i>
Identifier			
431-439	X(09)	AN	CUSIP (or other security identifier)
440-445	X(06)	AN	<i>Reserved</i>
446-446	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
447-454	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
455-465	X(11)	AN	<i>Reserved</i>
Identifier			
466-474	X(09)	AN	CUSIP (or other security identifier)
475-480	X(06)	AN	<i>Reserved</i>
481-481	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
482-489	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
490-500	X(11)	AN	<i>Reserved</i>
Identifier			
501-509	X(09)	AN	CUSIP (or other security identifier)
510-515	X(06)	AN	<i>Reserved</i>
516-516	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
517-524	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
525-535	X(11)	AN	<i>Reserved</i>
Identifier			
536-544	X(09)	AN	CUSIP (or other security identifier)
545-550	X(06)	AN	<i>Reserved</i>
551-551	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
552-559	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
560-570	X(11)	AN	<i>Reserved</i>
Identifier			
571-579	X(09)	AN	CUSIP (or other security identifier)
580-585	X(06)	AN	<i>Reserved</i>
586-586	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
587-594	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
595-605	X(11)	AN	<i>Reserved</i>
Identifier			
606-614	X(09)	AN	CUSIP (or other security identifier)
615-620	X(06)	AN	<i>Reserved</i>
621-621	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
622-629	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
630-640	X(11)	AN	<i>Reserved</i>
Identifier			
641-649	X(09)	AN	CUSIP (or other security identifier)
650-655	X(06)	AN	<i>Reserved</i>
656-656	X(01)	AN	RESTRICTIVE ACTION; see 096 for values

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POSITION	PICTURE	TYPE	DESCRIPTION
657-664	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
665-675	X(11)	AN	<i>Reserved</i>
Identifier			
676-684	X(09)	AN	CUSIP (or other security identifier)
685-690	X(06)	AN	<i>Reserved</i>
691-691	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
692-699	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
700-710	X(11)	AN	<i>Reserved</i>
Identifier			
711-719	X(09)	AN	CUSIP (or other security identifier)
720-725	X(06)	AN	<i>Reserved</i>
726-726	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
727-734	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
735-745	X(11)	AN	<i>Reserved</i>
Identifier			
746-754	X(09)	AN	CUSIP (or other security identifier)
755-760	X(06)	AN	<i>Reserved</i>
761-761	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
762-769	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
770-780	X(11)	AN	<i>Reserved</i>
Identifier			
781-789	X(09)	AN	CUSIP (or other security identifier)
790-795	X(06)	AN	<i>Reserved</i>
796-796	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
797-804	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
805-815	X(11)	AN	<i>Reserved</i>
Identifier			
816-824	X(09)	AN	CUSIP (or other security identifier)
825-830	X(06)	AN	<i>Reserved</i>
831-831	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
832-839	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
840-850	X(11)	AN	<i>Reserved</i>
Identifier			
851-859	X(09)	AN	CUSIP (or other security identifier)
860-865	X(06)	AN	<i>Reserved</i>
866-866	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
867-874	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
875-885	X(11)	AN	<i>Reserved</i>
Identifier			
886-894	X(09)	AN	CUSIP (or other security identifier)
895-900	X(06)	AN	<i>Reserved</i>

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POSITION	PICTURE	TYPE	DESCRIPTION
901-901	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
902-909	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
910-920	X(11)	AN	<i>Reserved</i>
Identifier			
921-929	X(09)	AN	CUSIP (or other security identifier)
930-935	X(06)	AN	<i>Reserved</i>
936-936	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
937-944	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
945-955	X(11)	AN	<i>Reserved</i>
Identifier			
956-964	X(09)	AN	CUSIP (or other security identifier)
965-970	X(06)	AN	<i>Reserved</i>
971-971	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
972-979	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
980-990	X(11)	AN	<i>Reserved</i>
Identifier			
991-999	X(09)	AN	CUSIP (or other security identifier)
1000-1005	X(06)	AN	<i>Reserved</i>
1006-1006	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1007-1014	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1015-1025	X(11)	AN	<i>Reserved</i>
Identifier			
1026-1034	X(09)	AN	CUSIP (or other security identifier)
1035-1040	X(06)	AN	<i>Reserved</i>
1041-1041	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1042-1049	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1050-1060	X(11)	AN	<i>Reserved</i>
Identifier			
1061-1069	X(09)	AN	CUSIP (or other security identifier)
1070-1075	X(06)	AN	<i>Reserved</i>
1076-1076	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1077-1084	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1085-1095	X(11)	AN	<i>Reserved</i>
Identifier			
1096-1104	X(09)	AN	CUSIP (or other security identifier)
1105-1110	X(06)	AN	<i>Reserved</i>
1111-1111	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1112-1119	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1120-1130	X(11)	AN	<i>Reserved</i>
Identifier			
1131-1139	X(09)	AN	CUSIP (or other security identifier)

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POSITION	PICTURE	TYPE	DESCRIPTION
1140-1145	X(06)	AN	<i>Reserved</i>
1146-1146	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1147-1154	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1155-1165	X(11)	AN	<i>Reserved</i>
Identifier			
1166-1174	X(09)	AN	CUSIP (or other security identifier)
1175-1180	X(06)	AN	<i>Reserved</i>
1181-1181	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1182-1189	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1190-1200	X(11)	AN	<i>Reserved</i>
Identifier			
1201-1209	X(09)	AN	CUSIP (or other security identifier)
1210-1215	X(06)	AN	<i>Reserved</i>
1216-1216	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1217-1224	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1225-1235	X(11)	AN	<i>Reserved</i>
Identifier			
1236-1244	X(09)	AN	CUSIP (or other security identifier)
1245-1250	X(06)	AN	<i>Reserved</i>
1251-1251	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1252-1259	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1260-1270	X(11)	AN	<i>Reserved</i>
Identifier			
1271-1279	X(09)	AN	CUSIP (or other security identifier)
1280-1285	X(06)	AN	<i>Reserved</i>
1286-1286	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1287-1294	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1295-1305	X(11)	AN	<i>Reserved</i>
Identifier			
1306-1314	X(09)	AN	CUSIP (or other security identifier)
1315-1320	X(06)	AN	<i>Reserved</i>
1321-1321	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1322-1329	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1330-1340	X(11)	AN	Not Used
Identifier			
1341-1349	X(09)	AN	CUSIP (or other security identifier)
1350-1355	X(06)	AN	<i>Reserved</i>
1356-1356	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1357-1364	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1365-1375	X(11)	AN	<i>Reserved</i>

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POSITION	PICTURE	TYPE	DESCRIPTION
Identifier			
1376-1384	X(09)	AN	CUSIP (or other security identifier)
1385-1390	X(06)	AN	<i>Reserved</i>
1391-1391	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1392-1399	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1400-1410	X(11)	AN	<i>Reserved</i>
Identifier			
1411-1419	X(09)	AN	CUSIP (or other security identifier)
1420-1425	X(06)	AN	<i>Reserved</i>
1426-1426	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1427-1434	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1435-1445	X(11)	AN	<i>Reserved</i>
Identifier			
1446-1454	X(09)	AN	CUSIP (or other security identifier)
1455-1460	X(06)	AN	<i>Reserved</i>
1461-1461	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1462-1469	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1470-1480	X(11)	AN	<i>Reserved</i>
Identifier			
1481-1489	X(09)	AN	CUSIP (or other security identifier)
1490-1495	X(06)	AN	<i>Reserved</i>
1496-1496	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1497-1504	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1505-1515	X(11)	AN	<i>Reserved</i>
Identifier			
1516-1524	X(09)	AN	CUSIP (or other security identifier)
1525-1530	X(06)	AN	<i>Reserved</i>
1531-1531	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1532-1539	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1540-1550	X(11)	AN	<i>Reserved</i>
Identifier			
1551-1559	X(09)	AN	CUSIP (or other security identifier)
1560-1565	X(06)	AN	<i>Reserved</i>
1566-1566	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1567-1574	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1575-1585	X(11)	AN	<i>Reserved</i>
Identifier			
1586-1594	X(09)	AN	CUSIP (or other security identifier)
1595-1600	X(06)	AN	<i>Reserved</i>
1601-1601	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1602-1609	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format

PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
1610-1620	X(11)	AN	<i>Reserved</i>
Identifier			
1621-1629	X(09)	AN	CUSIP (or other security identifier)
1630-1635	X(06)	AN	<i>Reserved</i>
1636-1636	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1637-1644	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1645-1655	X(11)	AN	<i>Reserved</i>
Identifier			
1656-1664	X(09)	AN	CUSIP (or other security identifier)
1665-1670	X(06)	AN	<i>Reserved</i>
1671-1671	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1672-1679	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1680-1690	X(11)	AN	<i>Reserved</i>
Identifier			
1691-1699	X(09)	AN	CUSIP (or other security identifier)
1700-1705	X(06)	AN	<i>Reserved</i>
1706-1706	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1707-1714	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1715-1725	X(11)	AN	<i>Reserved</i>
Identifier			
1726-1734	X(09)	AN	CUSIP (or other security identifier)
1735-1740	X(06)	AN	<i>Reserved</i>
1741-1741	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1742-1749	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1750-1760	X(11)	AN	<i>Reserved</i>
Identifier			
1761-1769	X(09)	AN	CUSIP (or other security identifier)
1770-1765	X(06)	AN	<i>Reserved</i>
1766-1776	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1777-1784	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1785-1795	X(11)	AN	<i>Reserved</i>
Identifier			
1796-1804	X(09)	AN	CUSIP (or other security identifier)
1805-1810	X(06)	AN	<i>Reserved</i>
1811-1811	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1812-1819	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1820-1830	X(11)	AN	<i>Reserved</i>
Identifier			
1831-1839	X(09)	AN	CUSIP (or other security identifier)
1840-1845	X(06)	AN	<i>Reserved</i>
1846-1846	X(01)	AN	RESTRICTIVE ACTION; see 096 for values

PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
1847-1854	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1855-1865	X(11)	AN	<i>Reserved</i>
Identifier			
1866-1874	X(09)	AN	CUSIP (or other security identifier)
1875-1880	X(06)	AN	<i>Reserved</i>
1881-1881	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1882-1889	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1890-1900	X(11)	AN	<i>Reserved</i>
Identifier			
1901-1909	X(09)	AN	CUSIP (or other security identifier)
1910-1915	X(06)	AN	<i>Reserved</i>
1916-1916	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1917-1924	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1925-1935	X(11)	AN	<i>Reserved</i>
Identifier			
1936-1944	X(09)	AN	CUSIP (or other security identifier)
1945-1950	X(06)	AN	<i>Reserved</i>
1951-1951	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1952-1959	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
1960-1970	X(11)	AN	<i>Reserved</i>
1971-1999	X(29)	AN	Not Used
2000-2000	X(01)	AN	Literally "X"; indicates the end of Record B

PERSHING STANDARD FILE LAYOUTS

DETAIL RECORD C – ACCOUNT TRADING RESTRICTIONS – RESTRICTED INDUSTRY CODE (MULTIPLE ITERATIONS, AS NEEDED)

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = “A3”
003-003	X(01)	AN	RECORD INDICATOR VALUE = “C”
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with “00000001”
012-020	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), Check Digit (1)
021-031	X(11)	AN	<i>Reserved</i>
032-034	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
035-035	X(01)	AN	Not Used
036-038	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
039-042	X(04)	AN	<i>Reserved</i>
043-051	X(09)	AN	BUSINESS PARTY ID (PERSHING UNIQUE IDENTIFIER)
052-067	X(16)	AN	EXTERNAL UNIQUE IDENTIFIER
068-077	X(10)	AN	<i>Reserved</i>
<i>The following field will increment as necessary for the various Restricted Industry Code Trading Restrictions associated with the Account in the A Record.</i>			
078-080	9(03)	N	INDUSTRY SECONDARY SEQUENCE NUMBER; begins with 001
Restricted Industry			
081-085	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5, Restricted Industry Codes, for values
086-095	X(10)	AN	<i>Reserved</i>
096-096	X(01)	AN	RESTRICTIVE ACTION; values include: “0” = Buy “1” = Sell “2” = Buy/Sell “3” = Hold
097-104	9(08)	N	DATE RESTRICTION ENDS; in CCYYMMDD format
105-115	X(11)	AN	<i>Reserved</i>
Restricted Industry			
116-120	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5 for values
121-130	X(10)	AN	<i>Reserved</i>
131-131	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
132-139	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
140-150	X(11)	AN	<i>Reserved</i>
Restricted Industry			
151-155	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5 for values
156-165	X(10)	AN	<i>Reserved</i>
166-166	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
167-174	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format

PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
175-185	X(11)	AN	<i>Reserved</i>
Restricted Industry			
186-190	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
191-200	X(10)	AN	<i>Reserved</i>
201-201	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
202-209	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
210-220	X(11)	AN	<i>Reserved</i>
Restricted Industry			
221-225	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
226-235	X(10)	AN	<i>Reserved</i>
236-236	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
237-244	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
245-255	X(11)	AN	<i>Reserved</i>
Restricted Industry			
256-260	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
261-270	X(10)	AN	<i>Reserved</i>
271-271	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
272-279	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
280-290	X(11)	AN	<i>Reserved</i>
Restricted Industry			
291-295	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
296-305	X(10)	AN	<i>Reserved</i>
306-306	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
307-314	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
315-325	X(11)	AN	<i>Reserved</i>
Restricted Industry			
326-330	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
331-340	X(10)	AN	<i>Reserved</i>
341-341	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
342-349	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
350-360	X(11)	AN	<i>Reserved</i>
Restricted Industry			
361-365	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
366-375	X(10)	AN	<i>Reserved</i>
376-376	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
377-384	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
385-395	X(11)	AN	<i>Reserved</i>
Restricted Industry			
396-400	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
401-410	X(10)	AN	<i>Reserved</i>
411-411	X(01)	AN	RESTRICTIVE ACTION; see 096 for values

PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
412-419	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
420-430	X(11)	AN	<i>Reserved</i>
Restricted Industry			
431-435	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5 for values
436-445	X(10)	AN	<i>Reserved</i>
446-446	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
447-454	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
455-465	X(11)	AN	<i>Reserved</i>
Restricted Industry			
466-470	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5 for values
471-480	X(10)	AN	<i>Reserved</i>
481-481	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
482-489	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
490-500	X(11)	AN	<i>Reserved</i>
Restricted Industry			
501-505	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5 for values
506-515	X(10)	AN	<i>Reserved</i>
516-516	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
517-524	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
525-535	X(11)	AN	<i>Reserved</i>
Restricted Industry			
536-540	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5 for values
541-550	X(10)	AN	<i>Reserved</i>
551-551	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
552-559	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
560-570	X(11)	AN	<i>Reserved</i>
Restricted Industry			
571-575	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5 for values
576-585	X(10)	AN	<i>Reserved</i>
586-586	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
587-594	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
595-605	X(11)	AN	<i>Reserved</i>
Restricted Industry			
606-610	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5 for values
611-620	X(10)	AN	<i>Reserved</i>
621-621	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
622-629	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
630-640	X(11)	AN	<i>Reserved</i>
Restricted Industry			
641-645	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5 for values
646-655	X(10)	AN	<i>Reserved</i>

PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
656-656	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
657-664	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
665-675	X(11)	AN	<i>Reserved</i>
Restricted Industry			
676-680	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
681-690	X(10)	AN	<i>Reserved</i>
691-691	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
692-699	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
700-710	X(11)	AN	<i>Reserved</i>
Restricted Industry			
711-715	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
716-725	X(10)	AN	<i>Reserved</i>
726-726	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
727-734	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
735-745	X(11)	AN	<i>Reserved</i>
Restricted Industry			
746-750	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
751-760	X(10)	AN	<i>Reserved</i>
761-761	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
762-769	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
770-780	X(11)	AN	<i>Reserved</i>
Restricted Industry			
781-785	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
786-795	X(10)	AN	<i>Reserved</i>
796-796	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
797-804	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
805-815	X(11)	AN	<i>Reserved</i>
Restricted Industry			
816-820	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
821-830	X(10)	AN	<i>Reserved</i>
831-831	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
832-839	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
840-850	X(11)	AN	<i>Reserved</i>
Restricted Industry			
851-855	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
856-865	X(10)	AN	<i>Reserved</i>
866-866	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
867-874	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
875-885	X(11)	AN	<i>Reserved</i>

PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
Restricted Industry			
886-890	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
891-900	X(10)	AN	<i>Reserved</i>
901-901	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
902-909	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
910-920	X(11)	AN	<i>Reserved</i>
Restricted Industry			
921-925	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
926-935	X(10)	AN	<i>Reserved</i>
936-936	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
937-944	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
945-955	X(11)	AN	<i>Reserved</i>
Restricted Industry			
956-960	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
961-970	X(10)	AN	<i>Reserved</i>
971-971	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
972-979	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
980-990	X(11)	AN	Not Used
Restricted Industry			
991-995	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
996-1005	X(10)	AN	<i>Reserved</i>
1006-1006	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1007-1014	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1015-1025	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1026-1030	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1031-1040	X(10)	AN	<i>Reserved</i>
1041-1041	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1042-1049	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1050-1060	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1061-1065	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1066-1075	X(10)	AN	<i>Reserved</i>
1076-1076	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1077-1084	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1085-1095	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1096-1100	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1101-1110	X(10)	AN	<i>Reserved</i>
1111-1111	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1112-1119	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format

PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
1120-1130	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1131-1135	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1136-1145	X(10)	AN	<i>Reserved</i>
1146-1146	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1147-1154	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1155-1165	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1166-1170	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1171-1180	X(10)	AN	<i>Reserved</i>
1181-1181	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1182-1189	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1190-1200	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1201-1205	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1206-1215	X(10)	AN	<i>Reserved</i>
1216-1216	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1217-1224	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1225-1235	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1236-1240	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1241-1250	X(10)	AN	<i>Reserved</i>
1251-1251	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1252-1259	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1260-1270	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1271-1275	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1276-1285	X(10)	AN	<i>Reserved</i>
1286-1286	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1287-1294	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1295-1305	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1306-1310	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1311-1320	X(10)	AN	<i>Reserved</i>
1321-1321	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1322-1329	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1330-1340	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1341-1345	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1346-1355	X(10)	AN	<i>Reserved</i>
1356-1356	X(01)	AN	RESTRICTIVE ACTION; see 096 for values

PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
1357-1364	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1365-1375	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1376-1380	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5 for values
1381-1390	X(10)	AN	<i>Reserved</i>
1391-1391	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1392-1399	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1400-1410	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1411-1415	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5 for values
1416-1425	X(10)	AN	<i>Reserved</i>
1426-1426	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1427-1434	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1435-1445	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1446-1450	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5 for values
1451-1460	X(10)	AN	<i>Reserved</i>
1461-1461	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1462-1469	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1470-1480	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1481-1485	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5 for values
1486-1495	X(10)	AN	<i>Reserved</i>
1496-1496	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1497-1504	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1505-1515	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1516-1520	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5 for values
1521-1530	X(10)	AN	<i>Reserved</i>
1531-1531	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1532-1539	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1540-1550	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1551-1555	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5 for values
1556-1565	X(10)	AN	<i>Reserved</i>
1566-1566	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1567-1574	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1575-1585	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1586-1590	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix 5 for values
1591-1600	X(10)	AN	<i>Reserved</i>

PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
1601-1601	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1602-1609	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1610-1620	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1621-1625	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1626-1635	X(10)	AN	<i>Reserved</i>
1636-1636	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1637-1644	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1645-1655	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1656-1660	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1661-1670	X(10)	AN	<i>Reserved</i>
1671-1671	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1672-1679	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1680-1690	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1691-1695	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1696-1705	X(10)	AN	<i>Reserved</i>
1706-1706	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1707-1714	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1715-1725	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1726-1730	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1731-1740	X(10)	AN	<i>Reserved</i>
1741-1741	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1742-1749	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1750-1760	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1761-1765	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1766-1775	X(10)	AN	<i>Reserved</i>
1776-1776	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1777-1784	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1785-1795	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1796-1800	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1801-1810	X(10)	AN	<i>Reserved</i>
1811-1811	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1812-1819	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1820-1830	X(11)	AN	<i>Reserved</i>

PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
Restricted Industry			
1831-1835	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1836-1845	X(10)	AN	<i>Reserved</i>
1846-1846	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1847-1854	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1855-1865	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1866-1870	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1871-1880	X(10)	AN	<i>Reserved</i>
1881-1881	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1882-1889	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1890-1900	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1901-1905	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1906-1915	X(10)	AN	<i>Reserved</i>
1916-1916	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1917-1924	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1925-1935	X(11)	AN	<i>Reserved</i>
Restricted Industry			
1936-1940	X(05)	AN	RESTRICTED INDUSTRY CODE; see Appendix5 for values
1941-1950	X(10)	AN	<i>Reserved</i>
1951-1951	X(01)	AN	RESTRICTIVE ACTION; see 096 for values
1952-1959	9(08)	N	DATE RESTRICTION ENDS, in CCYYMMDD format
1960-1970	X(11)	AN	<i>Reserved</i>
1971-1999	X(29)	AN	Not Used
2000-2000	X(01)	AN	Literally "X"; indicates the end of Record C

PERSHING STANDARD FILE LAYOUTS

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-009	X(09)	AN	Literally "EOF B B B B B B " (end of Pershing file)
010-018	X(09)	AN	Literally "PERSHING B "
019-036	X(18)	AN	Literally "ADV B ACCT B INFO B FULL"
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-083	X(12)	AN	Literally " B ENDS B HERE B "
084-105	X(22)	AN	Literally "TOTAL B DETAIL B RECORDS B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-118	X(03)	AN	Not Used
119-127	X(09)	AN	Literally "REFRESHED"
128-1999	X(1872)	AN	Not Used
2000-2000	X(01)	AN	Literally "Z"; indicates the end of the trailer record