

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: SUBSCRIPTION PRODUCTS COMMISSIONS

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 500 Characters
 Form Name: ASPC

DESCRIPTION

The Subscription Products Commissions file (ASPC) is created daily from the Automated Subscription Products System (ASPS). This file contains commission data sent to Pershing from the insurance companies through the DTCC.

The symbol “**B**” is used on our standard files to represent spaces.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001 - 018	X(18)	AN	Literally “BOF B B B B B B PERSHING B ” (beginning of Pershing file)
019 - 036	X(18)	AN	Literally “ASPC B COM B FILE B B B B B ”
037 - 046	X(10)	AN	Literally “ B DATA B OF B B ”
047 - 056	X(10)	AN	DATE OF DATA = “MM/DD/CCYY”
057 - 067	X(11)	AN	Literally “ B TO B REMOTE B ”
068 - 071	X(04)	AN	REMOTE ID = “XXXX”
072 - 085	X(14)	AN	Literally “ B BEGINS B HERE B B ”
086 - 095	X(10)	AN	RUN DATE = “MM/DD/CCYY”
096 - 096	X(01)	AN	Not Used
097 - 104	X(08)	AN	RUN TIME = “HH:MM:SS”
105 - 499	X(395)	AN	Not Used
500 - 500	X(01)	AN	Literally “A”; indicates the end of the header record

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DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001 - 002	X(02)	AN	TRANSACTION CODE = "PC"
003 - 003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004 - 011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001"
012 - 014	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
015 - 015	X(01)	AN	Not Used
016 - 018	X(03)	AN	OFFICE NUMBER
019 - 021	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
022 - 022	X(01)	AN	Not Used
023 - 031	X(09)	AN	ACCOUNT NUMBER, including Office (3) and Base (6)
032 - 039	9(08)	AN	ACTIVITY SEQUENCE NUMBER
040 - 043	9(04)	N	DTCC PARTICIPANT ID
044 - 100	X(57)	AN	Not Used
101 - 400	X(300)	AN	Refer to the document "DTCC Record Layout: NSCC COM" for the various record types and layouts that correspond to these 300 bytes.
401 - 499	X(99)	AN	Not Used
500 - 500	X(01)	AN	Literally "X"; indicates the end of the detail record

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001 - 018	X(18)	AN	Literally "EOF B B B B B B PERSHING B " (end of Pershing file)
019 - 036	X(18)	AN	Literally "ASPC B COM B FILE B B B B B B "
037 - 046	X(10)	AN	Literally " B DATA B OF B B "
047 - 056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057 - 067	X(11)	AN	Literally " B TO B REMOTE B "
068 - 071	X(04)	AN	REMOTE ID = "XXXX"
072 - 083	X(12)	AN	Literally " B ENDS B HERE B "
084 - 105	X(22)	AN	Literally "TOTAL B DETAIL B RECORDS B "
106 - 115	9(10)	N	NUMBER OF DETAIL RECORDS
116 - 499	X(384)	AN	Not Used
500 - 500	X(01)	AN	Literally "Z"; indicates the end of the trailer record