

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: SUBSCRIPTION PRODUCTS FINANCIAL ACTIVITY

GENERAL INFORMATION

File Frequency: Daily
Record Size: 500 Characters
Form Name: ASPF

DESCRIPTION

The Subscription Products Financial Activity file (ASPF) is created daily from the Automated Subscription Products System (ASPS) and contains all financial activity sent to Pershing from participating Insurance Companies. Headers and trailers are included as part of the file. The ASPF file, together with the ASPO file, currently contains all data for direct orders and transactional activity (financial and non-financial).

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF B B B B B B PERSHING b " (beginning of Pershing file)
019-036	X(18)	AN	Literally "ASPF b ACTIVITY b FILE"
037-046	X(10)	AN	Literally " b DATA b OF b b "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " b TO b REMOTE b "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " b BEGINS b HERE b b "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-499	X(395)	AN	Not Used
500-500	X(01)	AN	Literally "A"; indicates the end of the header record

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DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001 - 002	X(02)	AN	TRANSACTION CODE = "AF"
003 - 003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004 - 011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001"
012 - 014	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
015 - 015	X(01)	AN	Not Used
016 - 018	X(03)	AN	OFFICE NUMBER
019 - 021	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
022 - 022	X(01)	AN	Not Used
023 - 031	X(09)	AN	ACCOUNT NUMBER, including Office (3) and Base (6)
032 - 039	9(08)	AN	ACTIVITY SEQUENCE NUMBER
040 - 043	X(04)	AN	DTCC PARTICIPANT ID
044 - 044	X(01)	AN	LINKED INDICATOR; identified if contract is linked (active in ASPS or not linked (not active in ASPS); values include: "L" = Contract is linked "N" = Contract is not linked
045 - 100	X(56)	AN	Not Used
101 - 400	X(300)	AN	Refer to the document "DTCC Record Layout: Financial Activity Reporting (FAR)" for the various record types and layouts that correspond to these 300 bytes.
401 - 435	X(35)	AN	NAME OF INSURANCE COMPANY (effective 01/2012)
436 - 499	X(64)	AN	Not Used
500 - 500	X(01)	AN	Literally "X"; indicates the end of detail record A

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001 - 018	X(18)	AN	Literally "EOF B B B B B B PERSHING B " (end of Pershing file)
019 - 036	X(18)	AN	Literally "ASPF B ACTIVITY B FILE"
037 - 046	X(10)	AN	Literally " B DATA B OF B B "
047 - 056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057 - 067	X(11)	AN	Literally " B TO B REMOTE B "
068 - 071	X(04)	AN	REMOTE ID = "XXXX"
072 - 083	X(12)	AN	Literally " B ENDS B HERE. B "
084 - 105	X(22)	AN	Literally "TOTAL B DETAIL B RECORDS: B "
106 - 115	9(10)	N	NUMBER OF DETAIL RECORDS
116 - 499	X(384)	AN	Not Used
500 - 500	X(01)	AN	Literally "Z"; indicates the end of the trailer record