

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: SUBSCRIPTION PRODUCTS ORDERS

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 500 Characters
 Form Name: ASPO

DESCRIPTION

The Subscription Products Order file (ASPO) is created daily from the Automated Subscription Products System (ASPS) and contains all annuity orders facilitated through NetX360, including but not limited to new purchases and subsequent purchases. Headers and Trailers are included as part of the file. The ASPO file, together with the ASPF file, currently contains all data for direct orders and transactional activity (financial and non-financial).

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF B B B B B B PERSHING B " (beginning of Pershing file)
019-036	X(18)	AN	Literally "ASPO B ORDER B FILE B B B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " B BEGINS B HERE B B "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-499	X(395)	AN	Not Used
500-500	X(01)	AN	Literally "A"; indicates the end of the header record

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DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "AO"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001"
012-014	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
015-015	X(01)	AN	Not Used
016-018	X(03)	AN	OFFICE NUMBER
019-021	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
022-022	X(01)	AN	Not Used
023-031	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5) and Check Digit (1)
032-051	X(20)	AN	APPLICATION CONTROL NUMBER; unique order identifier
052-056	9(05)	N	ORDER RECORD SEQUENCE NUMBER
057-060	X(04)	AN	DTCC PARTICIPANT ID
061-063	X(03)	AN	TYPE OF ACTIVITY; values include: "APP" = Initial application "SUB" = Subsequent purchase
064-100	X(37)	AN	Not Used
101-400	X(300)	AN	Refer to the document "DTCC Record Layout: Initial Application and Subsequent Premium (APP/SUB)" for the various record types/layouts that correspond to these 300 bytes.
401-435	X(35)	AN	NAME OF INSURANCE COMPANY
436-499	X(64)	AN	Not Used
500-500	X(01)	AN	Literally "X"; indicates the end of detail record A

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF BBBBB PERSHING B " (end of Pershing file)
019-036	X(18)	AN	Literally "ASPO B ORDER B FILE BBB "
037-046	X(10)	AN	Literally " B DATA B OF BB "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-083	X(12)	AN	Literally " B ENDS B HERE B "
084-105	X(22)	AN	Literally "TOTAL B DETAIL B RECORDS B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-499	X(384)	AN	Not Used
500-500	X(01)	AN	Literally "Z"; indicates the end of the trailer record