

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: ACAM BANK ACTIVITY (AM)

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 500 characters
 Form Name: BAAM

DESCRIPTION

The ACAM Bank Activity (AM) Report file (BAAM) is created nightly for BankLink customers and provides detail on scheduled daily debit and credit BankLink entries and daily totals. BankLink is a service that will automatically settle any trades and credit any dividends to/from your Pershing account and your bank account.

This file contains header and trailer records that confirm the beginning and end of the file, the date it was created, and the file's total number of records.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

| POSITION | PICTURE | TYPE | DESCRIPTION |
|----------|---------|------|--|
| 001-018 | X(18) | AN | Literally "BOF B B B B B B B PERSHING B " (beginning of Pershing file) |
| 019-036 | X(18) | AN | Literally "BANK B ACTIVITY B AM B B " |
| 037-046 | X(10) | AN | Literally " B DATA B OF B B " |
| 047-056 | X(10) | AN | DATE OF DATA = "MM/DD/CCYY" |
| 057-067 | X(11) | AN | Literally " B TO B REMOTE B " |
| 068-071 | X(04) | AN | Literally = "XXXX" |
| 072-085 | X(14) | AN | Literally " B BEGINS B HERE B B " |
| 086-095 | X(10) | AN | RUN DATE = "MM/DD/CCYY" |
| 096-096 | X(01) | AN | Not Used |
| 097-104 | X(08) | AN | RUN TIME = "HH:MM:SS" |
| 105-499 | X(395) | AN | Not Used |
| 500-500 | X(01) | AN | Literally "A"; indicates the end of the header record |

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DETAIL RECORD A

| POSITION | PICTURE | TYPE | DESCRIPTION |
|----------|-------------|------|---|
| 001-002 | X(02) | AN | TRANSACTION CODE = "BA" |
| 003-003 | X(01) | AN | RECORD INDICATOR VALUE = "A" |
| 004-011 | 9(08) | N | RECORD ID SEQUENCE NUMBER; begins with "00000001" |
| 012-014 | X(03) | AN | INTRODUCING BROKER DEALER (IBD) NUMBER |
| 015-023 | X(09) | AN | ABA NUMBER |
| 024-024 | X(01) | AN | OFFSET ACCOUNT INDICATOR; identifies whether this is the offset (settlement) account or the customer account; values include: "N" = Customer account "Y" = Offset account |
| 025-041 | X(17) | AN | DEMAND DEPOSIT ACCOUNT (DDA) NUMBER; identifies a specific customer account at a specific banks |
| 042-044 | X(03) | AN | INVESTMENT PROFESSIONAL (IP) NUMBER |
| 045-064 | X(20) | AN | ACTIVITY DESCRIPTION LINE 1 |
| 065-084 | X(20) | AN | ACTIVITY DESCRIPTION LINE 2 |
| 085-104 | X(20) | AN | ACTIVITY DESCRIPTION LINE 3 |
| 105-124 | X(20) | AN | ACTIVITY DESCRIPTION LINE 4 |
| 125-144 | X(20) | AN | ACTIVITY DESCRIPTION LINE 5 |
| 145-164 | X(20) | AN | ACTIVITY DESCRIPTION LINE 6 |
| 165-184 | X(20) | AN | ACTIVITY DESCRIPTION LINE 7 |
| 185-204 | X(20) | AN | ACTIVITY DESCRIPTION LINE 8 |
| 205-224 | X(20) | AN | ACTIVITY DESCRIPTION LINE 9 |
| 225-241 | 9(15)v9(02) | N | ORIGINAL AMOUNT |
| 242-242 | X(01) | AN | ORIGINAL AMOUNT SIGN; values include: "-" = Negative amount "+" = Positive amount |
| 243-259 | 9(15)v9(02) | N | ADJUSTED AMOUNT |
| 260-260 | X(01) | AN | ADJUSTED AMOUNT SIGN; values include: "-" = Negative amount "+" = Positive amount |
| 261-268 | X(08) | AN | ACTIVITY DATE, in CCYYMMDD format |
| 269-277 | X(09) | AN | CUSIP NUMBER |
| 278-286 | X(09) | AN | OFFSET ABA NUMBER |
| 287-303 | X(17) | AN | OFFSET DDA NUMBER |
| 304-304 | X(01) | AN | OFFSET ACCOUN TYPE; values include: "A" = ACH "F" = Fed Funds |
| 305-313 | X(09) | AN | ACCOUNT NUMBER, including Office (3), Base Account (5), and Check Digit (1) |
| 314-322 | X(09) | AN | OFFSET ACCOUNT NUMBER, including Office (3), Base |

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| POSITION | PICTURE | TYPE | DESCRIPTION |
|----------|---------|------|--|
| | | | Account (5), and Check Digit (1) |
| 323-323 | X(01) | AN | ADJUST/DELETE INDICATOR; values include: "A" = Adjusted "D" = Deleted "B" = Not applicable |
| 324-324 | X(01) | AN | PURCHASE OVERRIDE INDICATOR; values include: "Y" = Created manually "P" = Contains purchase override "B" = Not applicable |
| 325-334 | X(10) | AN | ACCOUNT SHORT NAME |
| 335-335 | X(01) | AN | RETIREMENT ACCOUNT INDICATOR; values include: "I" = Retirement account "B" = Not a retirement account |
| 336-342 | X(07) | AN | SWEEP ID (First fund sweep or BankLink sweep) |
| 343-343 | X(01) | AN | ACCOUNT TYPE; values include: "0" = Cash on delivery "1" = Cash "2" = Margin "3" = Short "8" = Precious metals "9" = Interest adjustment |
| 344-499 | X(156) | AN | Not Used |
| 500-500 | X(01) | AN | Literally "X"; indicates the end of detail record A |

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TRAILER RECORD

| POSITION | PICTURE | TYPE | DESCRIPTION |
|----------|---------|------|--|
| 001-018 | X(18) | AN | Literally "EOF B B B B B B PERSHING B " (end of Pershing file) |
| 019-036 | X(18) | AN | Literally "BANK B ACTIVITY B AM B B " |
| 037-046 | X(10) | AN | Literally " B DATA B OF B B " |
| 047-056 | X(10) | AN | DATE OF DATA = "MM/DD/CCYY" |
| 057-067 | X(11) | AN | Literally " B TO B REMOTE B " |
| 068-071 | X(04) | AN | REMOTE ID = "XXXX" |
| 072-083 | X(12) | AN | Literally " B ENDS B HERE B " |
| 084-105 | X(22) | AN | Literally "TOTAL B DETAIL B RECORDS: B " |
| 106-115 | 9(10) | N | NUMBER OF DETAIL RECORDS |
| 116-499 | X(384) | AN | Not Used |
| 500-500 | X(01) | AN | Literally "Z"; indicates the end of the trailer record |