

PERSHING STANDARD FILE LAYOUTS

DETAIL RECORD A – CASH DUE / MARGIN INTEREST DETAIL RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "CM"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001"
012-012	X(01)	AN	RECORD TYPE IDENTIFIER; values include: "C" = Cash Due detail record (optional and must be requested) "M" = Margin Interest detail record (optional and must be requested)
013-015	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
016-016	X(01)	AN	Not Used
017-026	X(10)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), Check Digit (1), and Type (1); values for Type include: "0" = COD "1" = Cash "2" = Margin
027-029	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
030-030	X(01)	AN	FIRM ACCOUNT INDICATOR; values include: "F" = Firm account "b" = Not a Firm account
031-048	9(16)v9(02)	N	CURRENT BALANCE
049-049	X(01)	AN	CURRENT BALANCE SIGN; values include: "+" = Debit balance "-" = Credit balance
050-067	9(16)v9(02)	N	WEIGHTED (AVERAGE) BALANCE; the amount considered a debit for the current period
068-068	X(01)	AN	WEIGHTED (AVERAGE) BALANCE SIGN; values include: "+" = Debit balance "-" = Credit balance
069-077	9(03)v9(06)	N	AVERAGE INTEREST RATE; for interest period to date
078-078	X(01)	AN	AVERAGE INTEREST RATE SIGN; value includes: "+" = Positive interest rate
079-081	9(03)	N	DAYS; number of days account is in debit balance from beginning of period
082-082	X(01)	AN	DAYS SIGN; value includes: "+" = Represents positive number of days
083-100	9(16)v9(02)	N	IBD INTEREST REVENUE; period to date revenue the IBD will be charged if Record Type Identifier = "C" (position 012); otherwise, this field will be populated with zeroes
101-101	X(01)	AN	IBD INTEREST REVENUE SIGN; values include: "+" = Debit amount "-" = Credit amount "b" = Not applicable

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POSITION	PICTURE	TYPE	DESCRIPTION
102-119	9(16)v9(02)	N	CUSTOMER INTEREST REVENUE; period to date revenue the customer will be charged
120-120	X(01)	AN	CUSTOMER INTEREST REVENUE SIGN; values include: “+” = Debit amount “-” = Credit amount
121-128	9(08)	N	PROCESSING DATE, in CCYYMMDD format
129-131	X(03)	AN	CURRENCY CODE; see AppendixN for values
132-149	9(16)v9(02)	N	WEIGHTED NET BALANCE
150-150	X(01)	AN	WEIGHTED NET BALANCE SIGN; values include: “+” = Debit amount “-” = Credit amount
151-249	X(99)	AN	Not Used
250-250	X(01)	AN	Literally “X”; indicates the end of the detail record A

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DETAIL RECORD B – FREE CREDIT INTEREST DETAIL RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = “CM”
003-003	X(01)	AN	RECORD INDICATOR VALUE = “B”
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with “00000001”
012-012	X(01)	AN	RECORD TYPE IDENTIFIER; value includes: “F” = Free Credit detail record (optional and must be requested)
013-015	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
016-016	X(01)	AN	Not Used
017-026	X(10)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), Check Digit (1), and Type (1); values for Type include: “0” = COD “1” = Cash “2” = Margin
027-029	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
030-030	X(01)	AN	FIRM ACCOUNT INDICATOR; values include: “F” = Firm account “ B ” = Not a Firm account
031-048	9(16)v9(02)	N	AVERAGE DAILY CREDIT BALANCE
049-049	X(01)	AN	AVERAGE DAILY CREDIT BALANCE SIGN; values include: “+” = Debit balance “-” = Credit balance
050-067	9(16)v9(02)	N	SPOT BALANCE; ending balance for an individual day
068-068	X(01)	AN	SPOT BALANCE SIGN; values include: “+” = Debit balance “-” = Credit balance
069-086	9(16)v9(02)	N	CREDIT PAYABLE AVERAGE BALANCE
087-087	X(01)	AN	CREDIT PAYABLE AVERAGE BALANCE SIGN; value includes: “+” = Debit balance “-” = Credit balance
088-096	9(03)v9(06)	N	AVERAGE INTEREST RATE
097-097	X(01)	AN	AVERAGE INTEREST RATE SIGN; value includes” “+” = Positive interest rate “-” = Negative interest rate
098-115	9(16)v9(02)	N	CREDIT INTEREST REVENUE; period to date revenue will be credited
116-116	X(01)	AN	CREDIT INTEREST REVENUE SIGN; values include: “+” = Debit amount “-” = Credit amount

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POSITION	PICTURE	TYPE	DESCRIPTION
117-124	9(08)	N	PROCESSING DATE, in CCYYMMDD format
125-128	X(04)	AN	Not Used
129-131	X(03)	AN	CURRENCY CODE; see Appendix N for values
132-249	X(118)	AN	No Used
250-250	X(01)	AN	Literally "X"; indicates the end of the detail record B

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF B B B B B B PERSHING B " (end of Pershing file)
019-036	X(18)	AN	Literally "CASH B DUE B MARC B INT B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-105	X(34)	AN	Literally " B ENDS B HERE B TOTAL B DETAIL B RECORDS B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-249	X(134)	AN	Not Used
250-250	X(01)	AN	Literally "Z"; indicates the end of the trailer record