



# PERSHING STANDARD FILE LAYOUTS

## DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "CL"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001"
012-020	X(09)	AN	ACCOUNT NUMBER; including office (3), base account (5), check digit (1)
021-029	X(09)	AN	CUSIP® NUMBER
030-032	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
033-042	X(10)	AN	SECURITY SYMBOL
043-142	X(100)	AN	SECURITY DESCRIPTION
143-160	9(13)v9(05)	N	QUANTITY
161-161	X(01)	AN	QUANTITY SIGN; values include: "+" = Positive "-" = Negative "b" = Not applicable or Zero
162-169	9(08)	N	OPEN TRADE DATE, in CCYYMMDD format
170-177	9(08)	N	OPEN SETTLEMENT DATE, in CCYYMMDD format
178-185	9(08)	N	OPEN ENTRY DATE, in CCYYMMDD format
186-195	X(10)	AN	OPEN REFERENCE NUMBER
196-213	9(09)v9(09)	N	OPEN PRICE
214-214	X(01)	AN	OPEN PRICE SIGN; values include: "+" = Positive "-" = Negative "b" = Not applicable or Zero
215-232	9(16)v9(02)	N	OPEN AMOUNT
233-233	X(01)	AN	OPEN AMOUNT SIGN; values include: "+" = Positive "-" = Negative "b" = Not applicable or Zero
234-251	9(16)v9(02)	N	OPEN TRADE INTEREST
252-252	X(01)	AN	OPEN TRADE INTEREST SIGN; values include: "+" = Positive "-" = Negative "b" = Not applicable or Zero
253-260	9(08)	N	CLOSE TRADE DATE, in CCYYMMDD format
261-268	9(08)	N	CLOSE SETTLEMENT DATE, in CCYYMMDD format
269-276	9(08)	N	CLOSE ENTRY DATE, in CCYYMMDD format
277-286	X(10)	AN	CLOSE REFERENCE NUMBER
287-304	9(09)v9(09)	N	CLOSE PRICE

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305-305	X(01)	AN	CLOSE PRICE SIGN; values include: “+” = Positive “-” = Negative “b” =Not applicable or Zero
306-323	9(16)v9(02)	N	CLOSE AMOUNT
324-324	X(01)	AN	CLOSE AMOUNT SIGN; values include: “+” = Positive “-” = Negative “b” =Not applicable or Zero
325-342	9(16)v9(02)	N	CLOSE TRADE INTEREST
343-343	X(01)	AN	CLOSE TRADE INTEREST SIGN; values include: “+” = Positive “-” = Negative “b” =Not applicable or Zero
344-344	X(01)	AN	MEMO; values include: “M” = Transaction has a Trade Date from the current month and a Settlement Date in the following month “b” =Not applicable
345-362	9(16)v9(02)	N	REALIZED P&L
363-363	X(01)	AN	REALIZED P&L SIGN; values include: “+” = Positive “-” = Negative “b” =Not applicable or Zero
364-381	9(16)v9(02)	N	INTEREST P&L
382-382	X(01)	AN	INTEREST P&L SIGN; values include: “+” = Positive “-” = Negative “b” =Not applicable or Zero
The following four fields (383-403) comprise the OCC Data Set to be used in lieu of the OPRA Symbol			
383-388	X(06)	AN	OPTION ROOT ID
389-394	9(06)	N	EXPIRATION DATE, in YYMMDD format

395-395	X(01)	AN	CALL/PUT INDICATOR; values include: “B” = Bank Pledge (Call) “C” = Call “D” = Bank Pledge (Put) “E” = Escrow Receipt “L” = Letter of Credit (Call) “M” = Letter of Credit (Put)
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POSITION	PICTURE	TYPE	DESCRIPTION
			“P” = Put
396-403	9(05)v9(03)	N	STRIKE PRICE
404-491	X(88)	AN	Not Used
492-499	9(08)	N	DATE OF DATA = “CCYYMMDD”
500-500	X(01)	AN	Literally “X”; indicates the end of the detail record

## TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(09)	AN	Literally “EOF <b>B B B B B B</b> PERSHING <b>B</b> ” (end of Pershing file)
019-036	X(18)	AN	Literally “FIRM <b>B</b> TRADING <b>B</b> FT50 <b>B</b> ”
037-046	X(10)	AN	Literally “ <b>B</b> DATA <b>B</b> OF <b>B B</b> ”
047-056	X(10)	AN	DATE OF DATA = “MM/DD/CCYY”
057-067	X(11)	AN	Literally “ <b>B</b> TO <b>B</b> REMOTE <b>B</b> ”
068-071	X(04)	AN	REMOTE ID = “XXXX”
072-083	X(12)	AN	Literally “ <b>B</b> ENDS <b>B</b> HERE. <b>B</b> ”
084-105	X(22)	AN	Literally “TOTAL <b>B</b> DETAIL <b>B</b> RECORDS: <b>B</b> ”
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-499	X(384)	AN	Not Used
500-500	X(01)	AN	Literally “Z”; indicates the end of the trailer record