

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: ACAM FUND BALANCES

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 132 characters
 Form Name: FUND

DESCRIPTION

The file is created daily after ACAM positions are updated. This file contains all of the balances held by individual investors in various money funds and/or bank deposit products in the selected office(s). This file contains header and trailer records that confirm the beginning and end of the file, the date it was created, and the file's total number of records.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF B B B B B B PERSHING B " (beginning of Pershing file)
019-036	X(18)	AN	Literally "FUND B BALANCE/ACAMS"
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " B BEGINS B HERE B B "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-131	X(27)	AN	Not Used
132-132	X(01)	AN	Literally "A"; indicates the end of the header record

PERSHING STANDARD FILE LAYOUTS

DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-001	X(01)	AN	RECORD INDICATOR VALUE = "A"
002-007	9(06)	N	ID SEQUENCE NUMBER; begins with "000001"
008-016	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), and Check Digit (1)
017-019	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
020-023	X(04)	AN	FUND MNEMONIC; symbol used to identify a sweep money fund; consult with your new accounts department or operations for known sweep mnemonics used by your firm.
024-038	X(15)	AN	ACCOUNT NUMBER AT FUND; for Shareholder Fund positions, this is the account number assigned by the fund and known to the fund. For Omnibus Products, it is not applicable; instead, a unique, internal Pershing-assigned identifier is used for these positions. For multi-bank FDIC insured bank deposit products, the Pershing brokerage account number is used.
039-046	X(08)	AN	FUND MANAGER
047-054	9(08)	N	LAST SWEEP DATE, in CCYYMMDD format
055-062	9(08)	N	LAST UPDATE DATE, in CCYYMMDD format
063-075	s9(10)v9(03)	N	PRINCIPAL
076-086	s9(09)v99	N	ACCRUED DIVIDEND
087-091	X(05)	AN	GROUP NUMBER; identifies Pershing as the dealer on shareholder money fund positions
092-094	X(03)	AN	Not Used
095-095	X(01)	AN	OMNIBUS ACCOUNT INDICATOR; values include: "Y" = Omnibus "B" = Not Omnibus
096-096	X(01)	AN	SWEEP ACCOUNT INDICATOR; values include: "Y" = Sweep account "B" = Not a sweep account
097-097	X(01)	AN	MARGIN DEBIT AUTO SWEEP INDICATOR; values include: "Y" = Account Coded to Cover Margin Debt "N" or Space = Account Not Coded to Cover Margin Debt
098-116	X(19)	AN	For Pershing Internal Use Only
117-125	X(09)	AN	CUSIP
126-127	9(02)	N	PRICING GROUP; two-digit code representing the group of the sweep product for distribution assistance
128-130	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER, effective 11/2013
131-131	X(01)	AN	Reserved
132-132	X(01)	AN	Literally "X"; indicates the end of the detail record

PERSHING STANDARD FILE LAYOUTS

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF B B B B B B PERSHING B " (end of Pershing file)
019-036	X(18)	AN	Literally "FUND B BALANCE/ACAMS"
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-105	X(34)	AN	Literally " B ENDS B HERE B TOTAL B DETAIL B RECORDS: B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-131	X(16)	AN	Not Used
132-132	X(01)	AN	Literally "Z"; indicates the end of the trailer record