

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: ACAM OMNIBUS FUND BALANCES

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 132 characters
 Form Name: FUNO

DESCRIPTION

The FUNO file is created daily after the close of business. It contains the Pershing sweep money market fund balances that operate in an Omnibus environment. The FUNO file contains all of the balances of various money funds and/or bank deposit products in the selected office(s). It should be noted, however, that the file may not reflect the close of business balance in the bank deposit products due to the time the file is created.

This file contains header and trailer records that confirm the beginning and end of the file, the date it was created, and the file's total number of records.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF B B B B B B PERSHING B " (beginning of Pershing file)
019-036	X(18)	AN	Literally "OMNIBUS B B STD B FILE B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " B BEGINS B HERE B B "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-131	X(27)	AN	Not Used
132-132	X(01)	AN	Literally "A"; indicates the end of the header record

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DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-001	X(01)	AN	RECORD INDICATOR VALUE = "A"
002-007	9(06)	N	ID SEQUENCE NUMBER; begins with "000001"
008-016	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), and Check Digit (1)
017-019	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
020-023	X(04)	AN	FUND MNEMONIC; symbol used to identify a sweep money fund; consult with your new accounts department or operations for known sweep mnemonics used by your firm.
024-038	X(15)	AN	ACCOUNT NUMBER AT FUND
039-046	X(08)	AN	FUND MANAGER
047-054	9(08)	N	LAST SWEEP DATE, in CCYYMMDD format
055-062	9(08)	N	LAST UPDATE DATE, in CCYYMMDD format
063-075	s9(10)v9(03)	N	PRINCIPAL
076-086	s9(09)v99	N	ACCRUED DIVIDEND
087-091	X(05)	AN	GROUP NUMBER; identifies Pershing as the dealer on shareholder money fund positions
092-094	X(03)	AN	Not Used
095-095	X(01)	AN	OMNIBUS ACCOUNT INDICATOR; values include: "Y" = Omnibus "b" = Not Omnibus
096-096	X(01)	AN	SWEEP ACCOUNT INDICATOR; values include: "Y" = Sweep account "b" = Not a sweep account
097-097	X(01)	AN	MARGIN DEBIT AUTO SWEEP INDICATOR; values include: "Y" = Account Coded to Cover Margin Debt "N" or Space = Account Not Coded to Cover Margin Debt
098-116	X(19)	AN	For Pershing Internal Use Only
117-130	X(14)	AN	Not Used
131-131	X(01)	AN	For Pershing Internal Use Only
132-132	X(01)	AN	Literally "X"; indicates the end of the detail record

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TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF B B B B B B PERSHING B " (end of Pershing file)
019-036	X(18)	AN	Literally "OMNIBUS B B STD B FILE B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-105	X(34)	AN	Literally " B ENDS B HERE B TOTAL B DETAIL B RECORDS: B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-131	X(16)	AN	Not Used
132-132	X(01)	AN	Literally "Z"; indicates the end of the trailer record