

# PERSHING STANDARD FILE LAYOUTS

## FILE LAYOUT FOR: SECURITY INFORMATION: NON-STANDARD MARGIN REQUIREMENT OVERRIDES

File Frequency: Daily  
 Record Size: 250 characters  
 Form Name: GMCO

### DESCRIPTION

The Security Information: Non-Standard Margin Requirement Overrides (GMCO) file identifies margin maintenance overrides that have been applied to securities (identified and sorted by CUSIP) at either the Global Pershing level or the Introducing Broker Dealer level.

A full file is created nightly.

### FILE LAYOUT SPECIFICATIONS

#### HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF <b>B B B B B B</b> PERSHING <b>B</b> " (beginning of Pershing file)
019-036	X(18)	AN	Literally "SECURITY <b>B</b> MGN <b>B</b> OVRID"
037-046	X(10)	AN	Literally " <b>B</b> DATA <b>B</b> OF <b>B B</b> "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " <b>B</b> TO <b>B</b> REMOTE <b>B</b> "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " <b>B</b> BEGINS <b>B</b> HERE <b>B B</b> "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-249	X(145)	AN	Not Used
250-250	X(01)	AN	Literally "A"; indicates the end of the header record

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## DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "G3"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001"
012-020	X(09)	AN	CUSIP
021-026	X(06)	AN	<i>Reserved</i>
027-029	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
030-030	X(01)	AN	<i>Reserved</i>
031-031	X(01)	AN	OVERRIDE LEVEL; values include: "B" = IBD level "F" = Firm (Pershing) level
The following two fields relate to Long Positions			
032-032	X(01)	AN	MARGIN MAINTENANCE REQUIREMENTS PERCENTAGE/DOLLAR PER SHARE CODE FOR LONG POSITIONS (does not include options); values include: "M" = Based on percent of market value "P" = Based on percent of principal "S" = Based on an amount per share "b" = Not Applicable (as for options)
033-037	9(03)v9(02)	N	PERCENT OR VALUE PER SHARE FOR LONG POSITIONS, depending on value of above field
The following two fields relate to Short Positions			
038-038	X(01)	AN	MARGIN MAINTENANCE REQUIREMENTS PERCENTAGE/DOLLAR PER SHARE CODE FOR SHORT POSITIONS (does not include options); values include: "M" = Based on percent of market value "P" = Based on percent of principal "S" = Based on an amount per share "b" = Not Applicable (as for options)
039-043	9(03)v9(02)	N	PERCENT OR VALUE PER SHARE FOR SHORT POSITIONS, depending on value of above field
044-249	X(206)	AN	Not Used
250-250	X(01)	AN	Literally "X"; indicates the end of the detail record A

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## TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF <b>B B B B B B</b> PERSHING <b>B</b> " (end of Pershing file)
019-036	X(18)	AN	Literally "SECURITY <b>B</b> MGN <b>B</b> OVRID"
037-046	X(10)	AN	Literally " <b>B</b> DATA <b>B</b> OF <b>B B</b> "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " <b>B</b> TO <b>B</b> REMOTE <b>B</b> "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-105	X(34)	AN	Literally " <b>B</b> ENDS <b>B</b> HERE. <b>B</b> TOTAL <b>B</b> DETAIL <b>B</b> RECORDS: <b>B</b> "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-249	X(134)	AN	Not Used
250-250	X(01)	AN	Literally "Z"; indicates the end of the trailer record