

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: CUSTOMER CASH DUE / INTEREST

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 250 characters
 Form Name: INTC/INTM/INTN

DESCRIPTION

The Customer Cash Due Interest file (INTC) contains the Customer Cash Due/interest/participation details for selected criteria.

The Customer Margin Interest file (INTM) contains the Customer Margin interest details for selected criteria.

Customer Non-Purpose Loan (NPL) Interest file (INTN) contains the Customer Non-Purpose Loan (NPL) interest/participation details for selected criteria. This file contains the Average daily balance, Average Rate, Total Charge, Participation Deal Rate, IBD Credit/Charge Rate, and Participation Amount.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF B B B B B B PERSHING B " (beginning of Pershing file)
019-036	X(18)	AN	Literally for INTC: "CUST B CDUE B INT B FILE B " for INTM: "CUST B MGN B INT B FILE B " for INTN: "CUST B NPL B INT B FILE B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " B BEGINS B HERE B B "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-249	X(145)	AN	Not Used
250-250	X(01)	AN	Literally "A"; indicates the end of the header record

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DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODES; values include: “IC” = Customer Cash Due Interest (INTC) “IM” = Customer Margin Interest (INTM) “IN” = Customer Non purpose Loan (INTN)
003-003	X(01)	AN	RECORD INDICATOR VALUE = “A”
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with “00000001”
012-014	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
015-023	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), and Check Digit (1)
024-026	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
027-027	X(01)	AN	Not Used
028-045	9(16)v9(02)	N	AVAILABLE BALANCE AMOUNT
046-046	X(01)	AN	AVAILABLE BALANCE AMOUNT SIGN, values include: “+” = Debit Amount “-” = Credit Amount “ B ” = Not Applicable or Zero Value
047-064	9(09)v9(09)	N	AVERAGE RATE
065-065	X(01)	AN	AVERAGE RATE SIGN; values include: “+” = Positive value “ B ” = Not Applicable or Zero Value
066-083	9(09)v9(09)	N	PERSHING KEEP RATE
084-084	X(01)	AN	PERSHING KEEP RATE SIGN; values include: “+” = Positive value “ B ” = Not Applicable or Zero Value
085-102	9(09)v9(09)	N	IBD CHARGE RATE
103-103	X(01)	AN	IBD CHARGE RATE SIGN; values include: “+” = Positive value “ B ” = Not Applicable or Zero Value
104-121	9(16)v9(02)	N	PARTICIPATION AMOUNT
122-122	X(01)	AN	PARTICIPATION AMOUNT SIGN; values include: “+” = Credit Amount “-” = Debit Amount “ B ” = Not Applicable or Zero Value
123-140	9(16)v9(02)	N	REVENUE AMOUNT
141-141	X(01)	AN	REVENUE AMOUNT SIGN, values include: “+” = Credit Amount “-” = Debit Amount “ B ” = Not Applicable or Zero Value

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POSITION	PICTURE	TYPE	DESCRIPTION
142-144	X(03)	AN	CURRENCY CODE; see Appendix N for values
145-249	X(105)	AN	Not Used
250-250	X(01)	AN	Literally "X"; indicates the end of Record A

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF" followed by 18 B's followed by "PERSHING" followed by 1 B (end of Pershing file)
019-036	X(18)	AN	Literally for INTC: "CUST" followed by 1 B followed by "CDUE" followed by 1 B followed by "INT" followed by 1 B followed by "FILE" followed by 1 B for INTM: "CUST" followed by 1 B followed by "MGN" followed by 1 B followed by "INT" followed by 1 B followed by "FILE" followed by 1 B for INTN: "CUST" followed by 1 B followed by "NPL" followed by 1 B followed by "INT" followed by 1 B followed by "FILE" followed by 1 B
037-046	X(10)	AN	Literally "B" followed by 10 B's followed by "DATA" followed by 1 B followed by "OF" followed by 1 B followed by 2 B's
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally "B" followed by 11 B's followed by "TO" followed by 1 B followed by "REMOTE" followed by 1 B
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-083	X(12)	AN	Literally "B" followed by 12 B's followed by "ENDS" followed by 1 B followed by "HERE" followed by 1 B
084-105	X(22)	AN	Literally "TOTAL" followed by 1 B followed by "DETAIL" followed by 1 B followed by "RECORDS:" followed by 1 B
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-249	X(134)	AN	Not Used
250-250	X(01)	AN	Literally "Z"; indicates the end of the trailer record