

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: KUST RECEIVED DOCUMENTS

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 132 characters
 Form Name: KTRV

DESCRIPTION

The Customer Received Documents (KTRV) file represents the “Received Documents” section of the Documentation Recording and Tracking System (KUST) that provides the received document detail.

A full file is created every night.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally “BOF B B B B B B PERSHING B ” (beginning of Pershing file)
019-036	X(18)	AN	Literally “KUST B B B RECV B B B FILE”
037-046	X(10)	AN	Literally “ B DATA B OF B B ”
047-056	X(10)	AN	DATE OF DATA = “MM/DD/CCYY”
057-067	X(11)	AN	Literally “ B TO B REMOTE B ”
068-071	X(04)	AN	REMOTE ID = “XXXX”
072-085	X(14)	AN	Literally “ B BEGINS B HERE B B ”
086-095	X(10)	AN	RUN DATE = “MM/DD/CCYY”
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = “HH:MM:SS”
105-131	X(27)	AN	Not Used
132-132	X(01)	AN	Literally “A”; indicates the end of the header record

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DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "KR"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-009	9(06)	N	RECORD ID SEQUENCE NUMBER; begins with "000001"
010-018	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), Check Digit (1)
019-026	X(08)	AN	DOCUMENT RECEIVED DATE, in CCYYMMDD format
027-031	X(05)	AN	DOCUMENT FRAME NUMBER
032-035	X(04)	AN	DOCUMENT CODE; see Appendix M, Document Codes
036-043	X(08)	AN	DOCUMENT EXPIRATION DATE, in CCYYMMDD format
044-044	X(01)	AN	DOCUMENT REQUIRED; values include: "O" = Required by IBD "P" and "R" = Pershing Required
045-052	X(08)	AN	DOCUMENT REQUESTED DATE, in CCYYMMDD format
053-097	X(45)	AN	DOCUMENT DESCRIPTION
098-098	X(01)	AN	DOCUMENT LOCATION INDICATOR; values include: "A" = Agency "P" = Pershing "C" = Introducing Broker Dealer
099-099	X(01)	AN	<i>For Pershing Use Only</i>
100-111	9(12)	N	TIMESTAMP, in HHMISSNNNNNN format
112-131	X(20)	AN	Not Used
132-132	X(01)	AN	Literally "X"; indicates the end of the detail record

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF B B B B B B PERSHING B " (end of Pershing file)
019-036	X(18)	AN	Literally "KUST B B B RECV B B B FILE"
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-083	X(12)	AN	Literally " B ENDS B HERE. B "
084-105	X(22)	AN	Literally "TOTAL B DETAIL B RECORDS: B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-131	X(16)	AN	Not Used
132-132	X(01)	AN	Literally "Z"; indicates the end of the trailer record