

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: NON-PURPOSE LENDING

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 250 Characters
 Form Name: LADV

DESCRIPTION

The Non-Purpose Lending (formerly Loan Advance) file (LADV) contains the details of an introducing broker dealer's (IBD's) interest participation related to a Non-Purpose loan. This displays Pershing's interest participation, as well as the IBD's interest participation. This file is produced monthly on the 20th of each month, or the first business day following.

The symbol "B" is used on our standard files to represent spaces.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001 - 018	X(18)	AN	Literally "BOFB B B B B B B PERSHING B" (beginning of Pershing file)
019 - 036	X(18)	AN	Literally "LOAN B ADVANCE B FILE B"
037 - 046	X(10)	AN	Literally "B DATA B OF B B"
047 - 056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057 - 067	X(11)	AN	Literally "B TO B REMOTE B"
068 - 071	X(04)	AN	REMOTE ID = "XXXX"
072 - 085	X(14)	AN	Literally "B BEGINS B HERE B B"
086 - 095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096 - 096	X(01)	AN	Not Used
097 - 104	X(08)	AN	RUN TIME = "HH:MM:SS"
105 - 249	X(145)	AN	Not Used
250 - 250	X(01)	AN	Literally "A"; indicates the end of the header record

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DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001 - 002	X(02)	AN	TRANSACTION CODE = "LA"
003 - 003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004 - 011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001"
012 - 014	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
015 - 023	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5) and Check Digit (1)
024 - 026	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
027 - 027	X(01)	AN	Not Used
028 - 045	9(16)v9(02)	N	AVAILABLE BALANCE AMOUNT
046 - 046	X(01)	AN	AVAILABLE BALANCE AMOUNT SIGN; values include: "+" = Available balance "b" = Not applicable or zero
047 - 064	9(09)v9(09)	N	AVERAGE RATE
065 - 065	X(01)	AN	AVERAGE RATE SIGN; values include: "+" = Average rate "b" = Not applicable or zero
066 - 083	9(09)v9(09)	N	PERSHING KEEP RATE (PD RATE)
084 - 084	X(01)	AN	PERSHING KEEP RATE SIGN; values include: "+" = Pershing keep rate "b" = Not applicable or zero
085 - 102	9(09)v9(09)	N	IBD CHARGE RATE (EB IBD)
103 - 103	X(01)	AN	IBD CHARGE RATE SIGN; values include: "+" = IBD charge rate "- " = IBD charge rate "b" = Not applicable or zero
104 - 121	9(16)v9(02)	N	PARTICIPATION AMOUNT
122 - 122	X(01)	AN	PARTICIPATION AMOUNT SIGN; values include: "+" = Participation amount "- " = Participation amount "b" = Not applicable or zero
123 - 140	9(16)v9(02)	N	REVENUE AMOUNT
141 - 141	X(01)	AN	REVENUE AMOUNT SIGN; values include: "+" =Revenue amount "- " =Revenue amount "b" = Not applicable or zero
142 - 249	X(108)	AN	Not Used
250 - 250	X(01)	AN	Literally "X"; indicates the end of the detail record

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TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001 - 018	X(18)	AN	Literally "EOF B B B B B B PERSHING B " (end of Pershing file)
019 - 036	X(18)	AN	Literally "LOAN B ADVANCE B FILE B "
037 - 046	X(10)	AN	Literally " B DATA B OF B B "
047 - 056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057 - 067	X(11)	AN	Literally " B TO B REMOTE B "
068 - 071	X(04)	AN	REMOTE ID = "XXXX"
072 - 083	X(12)	AN	Literally " B ENDS B HERE B "
084 - 105	X(22)	AN	Literally "TOTAL B DETAIL B RECORDS B "
106 - 115	9(10)	N	NUMBER OF DETAIL RECORDS
116 - 249	X(134)	AN	Not Used
250 - 250	X(01)	AN	Literally "Z"; indicates the end of the trailer record