

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: MANAGED ACCOUNT BILLING INFORMATION

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 750 characters
 Form Name: MASF

DESCRIPTION

The Managed Account Billing Information file (MASF) contains billing details along with Payee/Payor information for Institutional and Retail accounts. This file will be generated based on fee transaction daily billing details from REDI 2.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF B B B B B B B B PERSHING B " (beginning of Pershing file)
019-036	X(18)	AN	Literally "MNGD B ACC B FEE B BILL B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " B BEGINS B HERE B B "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-749	X(645)	AN	Not Used
750-750	X(01)	AN	Literally "A"; indicates the end of the header record

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DETAIL RECORD A - BILLING CHARGE

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "MG"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001"
012-014	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
015-015	X(01)	AN	Reserved for Future Use
016-016	X(01)	AN	Not Used
017-019	X(03)	AN	OFFICE NUMBER
020-020	X(01)	AN	Not Used
021-023	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
024-024	X(01)	AN	Not Used
025-033	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), Check Digit (1)
034-053	X(20)	AN	HOUSEHOLD ID; assigned by user to a grouping of accounts, from Netx360 billing group
054-173	X(120)	AN	HOUSEHOLD NAME
174-174	X(01)	AN	ACCOUNT TYPE INDICATOR; values include: "C" = Customer "F" = IBD
175-294	X(120)	AN	PROGRAM/PRODUCT DESCRIPTION
295-299	X(05)	AN	MANAGER NUMBER
300-419	X(120)	AN	MANAGER NAME
420-439	X(20)	AN	STYLE NUMBER
440-559	X(120)	AN	STYLE NAME
560-564	X(05)	AN	DISTRIBUTOR ID
565-565	X(01)	AN	BILLING METHOD; values include: "A" = Arrear "F" = Forward
566-567	X(02)	AN	VALUATION METHOD; values include: "EP" = End Of Period "AD" = Average Daily "AM" = Average Monthly
568-575	X(08)	AN	INCEPTION DATE= "CCYYMMDD"
576-583	X(08)	AN	TERMINATION DATE= "CCYYMMDD"
584-592	X(09)	AN	BILLING START DATE = "CCYYMMDD"
593-601	X(09)	AN	BILLING END DATE = "CCYYMMDD"
602-604	9(03)	N	BILLING PERIOD (# OF DAYS)
605-622	9(16)v9(02)	N	DISCOUNT AMOUNT
623-640	9(16)v9(02)	N	FEE FORGIVENESS AMOUNT
641-643	X(03)	AN	MIN-MAX APPLIED INDICATOR; values include: "MIN" = Minimum

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POSITION	PICTURE	TYPE	DESCRIPTION
			“MAX” = Maximum “ BBB ” = Not applicable
644-652	X(09)	AN	BILL TO ACCOUNT NUMBER
653-670	9(16)v9(02)	N	TOTAL ASSET VALUE
671-671	X(01)	AN	TOTAL ASSET VALUE SIGN; values include: “C”= Credit “D”= Debit
672-689	9(16)v9(02)	N	TOTAL FEE
690-690	X(01)	AN	TOTAL FEE SIGN; values include: “C”= Credit “D”= Debit
691-691	X(01)	AN	BILLING EVENT; values include: “P” = Periodic “I” = Inception “F” = Flow “M” =Mutual Fund Rebate “R” = Re-Assignment “T” = Termination
692-694	X(03)	AN	SOURCE CODE
695-695	X(01)	AN	REVERSAL/CANCEL INDICATOR; values include: “Y” = Yes “N” = No
696-696	X(01)	AN	BILLING FREQUENCY CODE; values include: “Q” = Quarterly “M” = Monthly
697-708	X(12)	AN	AGENT INTERNAL NUMBER
709-749	X(41)	AN	Not Used
750-750	X(01)	AN	Literally “X”; indicates the end of record A

PERSHING STANDARD FILE LAYOUTS

DETAIL RECORD B - PAYEE/PAYOR CHARGE

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "MG"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "B"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001"
012-014	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
015-015	X(01)	AN	Reserved for Future Use
016-016	X(01)	AN	Not Used
017-019	X(03)	AN	OFFICE NUMBER
020-020	X(01)	AN	Not Used
021-023	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
024-024	X(01)	AN	Not Used
025-033	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), Check Digit (1)
034-053	X(20)	AN	HOUSEHOLD ID; assigned by user to a grouping of accounts, from Netx360 billing group
054-061	9(08)	N	SECONDARY SEQUENCE NUMBER; begins with "00000001" which allows for unlimited number of payee/payor billing detail by asset class. If there is more than one payee/payor record, an additional type B record will be created with the next secondary sequence number.
062-073	X(12)	AN	ASSET CLASS NAME; "ALL" is used when asset class does not apply
074-075	X(02)	AN	PAYEE/PAYOR CODE; values include: "AC" = Account "BD" = Broker Dealer "DI" = Distributor "IP" = Advisory/IP "MA" = Manager "OM" = Overlay Manager "CU" = Custodian "SP" = Sponsor "PP" = Platform Provider
076-084	X(09)	AN	PAYEE/PAYOR IDENTIFIER
085-093	X(09)	AN	PAYEE/PAYOR ACCOUNT NUMBER
094-096	X(03)	AN	PAYEE/PAYOR OFFICE
097-098	X(02)	AN	DISBURSEMENT TYPE; values include: "IF" = Client Fee "RF" = Advisor Fee "DF" = Distributor Fee "BF" = BD Fee "MF" = Manager Fee "OF" = Overlay Manager Fee

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POSITION	PICTURE	TYPE	DESCRIPTION
			“AF” = Admin Fee “CF” = Custodian Fee “SF” = Sponsor Fee “PF” = Platform Fee
099-101	X(03)	AN	SOURCE CODE
102-119	9(16)v9(02)	N	ASSET CLASS VALUE
120-120	X(01)	AN	ASSET CLASS VALUE SIGN; values include: “C” = Credit “D” = Debit
121-138	9(16)v9(02)	N	ASSET CLASS FEE
139-139	X(01)	AN	ASSET CLASS FEE SIGN; values include: “C” = Credit “D” = Debit
140-157	9(13)v9(05)	N	ASSET CLASS EFFECTIVE BASIS POINT
158-177	X(20)	AN	SLEEVE IDENTIFIER
178-182	X(05)	AN	SLEEVE STYLE
183-185	X(03)	AN	MINIMUM-MAXIMUM APPLIED INDICATOR; values include: “MIN” = Minimum “MAX” = Maximum “ BBB ” = Not applicable
186-203	9(16)v9(02)	N	AGGREGATED MARKET VALUE
204-204	X(01)	AN	AGGREGATED MARKET VALUE SIGN; values include: “C” = Credit “D” = Debit
205-749	X(545)	AN	Not Used
750-750	X(01)	AN	Literally “X”; indicates the end of record B

PERSHING STANDARD FILE LAYOUTS

DETAIL RECORD C - INSTITUTIONAL CHARGE DETAIL

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "MG"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "C"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001".
012-014	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
015-015	X(01)	AN	Reserved for Future Use
016-016	X(01)	AN	Not Used
017-019	X(03)	AN	OFFICE NUMBER
020-020	X(01)	AN	Not Used
021-023	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
024-024	X(01)	AN	Not Used
025-033	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), Check Digit (1)
034-053	X(20)	AN	HOUSEHOLD ID ; assigned by user to a grouping of accounts, from Netx360 billing group
054-061	9(08)	N	SECONDARY SEQUENCE NUMBER; begins with "00000001" which allows for unlimited number of payee/payor billing detail by asset class. If there is more than one payee/payor record, an additional type C record will be created with the next secondary sequence number.
062-073	X(12)	AN	ASSET CLASS NAME; "ALL" is used when asset class does not apply
074-082	X(09)	AN	CUSTOMER ACCOUNT NUMBER
083-085	X(03)	AN	OFFICE NUMBER
086-088	X(03)	AN	IBD NUMBER
089-106	9(16)v9(02)	N	ASSET CLASS VALUE
107-107	X(01)	AN	ASSET CLASS VALUE SIGN; values include: "C"= Credit "D"= Debit
108-125	9(16)v9(02)	N	ASSET CLASS FEE
126-126	X(01)	AN	ASSET CLASS FEE SIGN; values include: "C"= Credit "D"= Debit
127-144	9(13)v9(05)	N	ASSET CLASS EFFECTIVE BASIS POINT
145-749	X(605)	AN	Not Used
750-750	X(01)	AN	Literally "X"; indicates the end of record C

PERSHING STANDARD FILE LAYOUTS

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF B B B B B B PERSHING B " (end of Pershing file)
019-036	X(18)	AN	Literally "MNGD B ACC B FEE B BILL B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-083	X(12)	AN	Literally " B ENDS B HERE. B "
084-105	X(22)	AN	Literally "TOTAL B DETAIL B RECORDS: B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-118	X(03)	AN	Not Used
119-127	X(09)	AN	TRAILER REFERENCE UPDATE
128-749	X(622)	AN	Not Used
750-750	X(01)	AN	Literally "Z"; Indicates the end of the trailer record