

# PERSHING STANDARD FILE LAYOUTS

## FILE LAYOUT FOR: MUTUAL FUND DEALER AGREEMENTS

### GENERAL INFORMATION

File Frequency: Daily  
 Record Size: 250 characters  
 Form Name: MFDA

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### DESCRIPTION

The Mutual Fund Dealer Agreements file (MFDA) is created daily reflecting the information on mutual fund dealer agreements between different Introducing Broker/Dealers (IBDs) and fund families.

On 5/20/2013, the file began to include 529 Dealer Agreements in addition to Mutual Fund Dealer Agreements.

The MFDA file can be configured only on the IBD level.

The symbol “**B**” is used on our standard files to represent spaces.

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### FILE LAYOUT SPECIFICATIONS

#### HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally “BOF <b>B</b> <b>B</b> <b>B</b> <b>B</b> <b>B</b> <b>B</b> PERSHING <b>B</b> ” (beginning of Pershing file)
019-036	X(18)	AN	Literally “MFDA <b>B</b> DEALER <b>B</b> AGRMT <b>B</b> ”
037-046	X(10)	AN	Literally “ <b>B</b> DATA <b>B</b> OF <b>B</b> <b>B</b> ”
047-056	X(10)	AN	DATE OF DATA = “MM/DD/CCYY”
057-067	X(11)	AN	Literally “ <b>B</b> TO <b>B</b> REMOTE <b>B</b> ”
068-071	X(04)	AN	REMOTE ID = “XXXX”
072-085	X(14)	AN	Literally “ <b>B</b> BEGINS <b>B</b> HERE <b>B</b> <b>B</b> ”
086-095	X(10)	AN	RUN DATE = “MM/DD/CCYY”
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = “HH:MM:SS”
105-249	X(145)	AN	Not Used
250-250	X(01)	AN	Literally “A”; indicates the end of the header record

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## DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "MD"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001"
012-015	X(04)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
016-019	X(04)	AN	FUND FAMILY NUMBER
020-023	X(04)	AN	DEALER AGREEMENT TYPE; values include: "529D" = 529 Dealer Agreement "MFDA" = Mutual Fund Dealer Agreement "RPND" = Retirement Plan Network Dealer Agreement
024-039	X(16)	AN	Not Used
040-071	X(32)	AN	IBD NAME
072-103	X(32)	AN	FUND FAMILY NAME
104-128	X(25)	AN	AGREEMENT ID
129-138	X(10)	AN	AGREEMENT EFFECTIVE DATE, in CCYY-MM-DD format
139-148	X(10)	AN	AGREEMENT EXPIRATION DATE, in CCYY-MM-DD format
149-174	X(26)	AN	UPDATE TIMESTAMP, in CCYY-MM-DD-HH.MI.SS.NNNNNN format
175-249	X(75)	AN	Not Used
250-250	X(01)	AN	Literally "X"; indicates the end of detail record A

## TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF <b>B B B B B B</b> PERSHING <b>B</b> " (end of Pershing file)
019-036	X(18)	AN	Literally "MFDA <b>B</b> DEALER <b>B</b> AGRMT <b>B</b> "
037-046	X(10)	AN	Literally " <b>B</b> DATA <b>B</b> OF <b>B B</b> "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " <b>B</b> TO <b>B</b> REMOTE <b>B</b> "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-105	X(34)	AN	Literally " <b>B</b> ENDS <b>B</b> HERE. <b>B</b> TOTAL <b>B</b> DETAIL <b>B</b> RECORDS: <b>B</b> "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-249	X(134)	AN	Not Used
250-250	X(01)	AN	Literally "Z"; indicates the end of the trailer record