

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: OPTION EXERCISE & ASSIGNMENT - DAILY

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 150 Characters
 Form Name: OPTD

DESCRIPTION:

The OPTD file contains only the bookkeeping transactions for exercised and assigned options. The Bookkeeping file is based on the option expiration cycle. Please note that expired options are bypassed.

OPTD is created daily.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF B B B B B B PERSHING B " (beginning of Pershing file)
019-036	X(18)	AN	Literally "ODOPT B EXER B ASGN B B B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " B BEGINS B HERE B B "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-149	X(45)	AN	Not Used
150-150	X(01)	AN	Literally "A"; indicates the end of the header record

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DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACCTION CODE = "OD"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-009	9(06)	N	RECORD ID SEQUENCE NUMBER; begins with "000001"
010-011	X(02)	AN	For Pershing Internal Use Only
012-012	X(01)	AN	CREDIT/DEBIT INDICATOR; values include: "C" = Credit "D" = Debit
013-022	X(10)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), Check Digit (1) and Type (1)
023-030	9(08)	N	QUANTITY; represents number of contracts
031-033	X(03)	AN	SOURCE Code; values include: "ASG" = Assigned "XER" = Exercised
034-056	X(23)	AN	Not Used
057-057	X(01)	AN	OFFSET; values include: "H" = Long (XER) "I" = Short (ASG)
058-077	X(20)	AN	Not Used
078-086	X(09)	AN	CUSIP NUMBER
087-088	X(02)	AN	Not Used
OCC DATA SET – The following four fields (in position 89 through 109) comprise the OCC DATA SET to be used in lieu of the OPRA Symbol			
089-094	X(06)	AN	OPTION ROOT ID
095-100	9(06)	N	EXPIRATION DATE, in YYMMDD format
101-101	X(01)	AN	CALL/PUT Indicator, acceptable values include: "B" = Bank Pledge (Call) "C" = Call "D" = Bank Pledge (Put) "E" = Escrow Receipt "L" = Letter of Credit (Call) "M" = Letter of Credit (Put) "P" = Put
102-109	9(05)v9(03)	N	STRIKE PRICE
110-149	X(40)	AN	Not Used
150-150	X(01)	AN	Literally "X"; indicates the end of detail record A

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TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF B B B B B PERSHING B " (end of Pershing file)
019-036	X(18)	AN	Literally "ODOPT B EXER B ASGN B B B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-105	X(34)	AN	Literally " B END B HERE. B TOTAL B DETAIL B RECORDS: B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-149	X(34)	AN	Not Used
150-150	X(01)	AN	Literally "Z"; indicates the end of the trailer record