

# PERSHING STANDARD FILE LAYOUTS

**FILE LAYOUT FOR: INVESTMENT PROFESSIONAL MASTER**

## GENERAL INFORMATION

File Frequency: Daily (Adds & Updates); Weekly or Monthly (Full)

Record Size: 132 characters

Form Name: PON7 (Adds & Update File); PN7F (Full Refresh File)

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## DESCRIPTION:

The Investment Professional (IP) Master file is created after the close of business and reflects information about the Investment Professionals associated with your site ID's configuration. The full file (PN7F) shows all the Investment Professionals, while the update file (PON7) reflects changes and additions.

You can choose to receive the PN7F weekly or monthly only, while receiving the PON7 daily. You can receive both the PON7 and PN7F on the same day.

Record "C" is optional.

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## FILE LAYOUT SPECIFICATIONS

### HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF" followed by 17 "B"s followed by "PERSHING" followed by "B" (beginning of Pershing file)
019-036	X(18)	AN	Literally PON7: "RR" followed by "MASTER" followed by 16 "B"s Literally PN7F: "PN7F" followed by "FULL" followed by "FILE" followed by 4 "B"s
037-046	X(10)	AN	Literally "B" followed by "DATA" followed by "OF" followed by 2 "B"s
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally "B" followed by "TO" followed by "REMOTE" followed by "B"
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally "B" followed by "BEGINS" followed by "HERE" followed by 2 "B"s
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-131	X(27)	AN	Not Used
132-132	X(01)	AN	Literally "A"; indicates the end of the header record

### DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-001	X(01)	AN	RECORD INDICATOR VALUE = "A"
002-006	X(05)	AN	RECORD ID SEQUENCE NUMBER; begins with "00001"
007-009	X(03)	AN	OFFICE NUMBER
010-012	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
013-014	X(02)	AN	TRANSACTION TYPE; values include: "AD" = Add new IP "UP" = Update existing IP
015-015	X(01)	AN	FOREIGN/DOMESTIC INDICATOR; values include: "D" = Domestic Address "F" = International Address
016-047	X(32)	AN	ADDRESS LINE 1 – (used for IP Name)
048-079	X(32)	AN	ADDRESS LINE 2
080-111	X(32)	AN	ADDRESS LINE 3
112-121	X(10)	AN	TELEPHONE NUMBER
122-131	X(10)	AN	FAX NUMBER
132-132	X(01)	AN	Literally "X"; indicates the end of record A

# PERSHING STANDARD FILE LAYOUTS

## DETAIL RECORD B

POSITION	PICTURE	TYPE	DESCRIPTION
001-001	X(01)	AN	RECORD INDICATOR VALUE = "B"
002-006	X(05)	AN	RECORD ID SEQUENCE NUMBER; begins with "00001"
007-009	X(03)	AN	OFFICE NUMBER
010-012	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
013-044	X(32)	AN	ADDRESS LINE 4
045-076	X(32)	AN	ADDRESS LINE 5
The following fields are used when the address is Domestic (Record A, position 015 = "D")			
077-095	X(19)	AN	CITY
096-096	X(01)	AN	Not Used
097-098	X(02)	AN	STATE
099-099	X(01)	AN	Not Used
100-108	X(09)	AN	ZIP CODE +4
The following field is used when the address is International (Record A, position 015 = "F")			
077-108	X(32)	AN	FOREIGN ADDRESS INFORMATION (City and Country)
109-109	X(01)	AN	STATEMENT MAIL INDICATOR; values include: "N" = Do not send statement copies to IP "C" = Mail IP statement copies to IBD "R" = Mail IP statements to IP at listed address (must include valid address)
110-115	X(06)	AN	GROUP OFFICE/IP
116-123	9(08)	N	UPDATE DATE, in CCYYMMDD format
124-131	X(08)	AN	USER ID OF PERSON MAKING ADD OR UPDATE
132-132	X(01)	AN	Literally "X"; indicates the end of record B

# PERSHING STANDARD FILE LAYOUTS

## DETAIL RECORD C (OPTIONAL)

POSITION	PICTURE	TYPE	DESCRIPTION
001-001	X(01)	AN	RECORD INDICATOR VALUE = "C"
002-006	X(05)	AN	RECORD ID SEQUENCE NUMBER; begins with "00001"
007-009	X(03)	AN	OFFICE NUMBER
010-012	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
013-013	X(01)	AN	CONFIRMATION MAIL INDICATOR; values include: "N" = Do not send confirmation copies to IP "C" = Mail IP confirmation copies to IBD "R" = Mail IP confirmations to IP at listed address (must include valid address)
014-014	X(01)	AN	TEFRA STATEMENT MAIL INDICATOR; values include: "N" = Do not send TEFRA Stmt copies to IP "C" = Mail IP TEFRA Stmt copies to IBD "R" = Mail IP TEFRA Stmts to IP at listed address (must include valid address)
015-018	X(04)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
019-031	X(13)	AN	LARGE TRADER ID
032-131	X(100)	AN	Not Used
132-132	X(01)	AN	Literally "X"; indicates the end of record C

## TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF" followed by 17 "B" characters, "PERSHING" followed by 1 "B" character (end of Pershing file)
019-036	X(18)	AN	Literally PON7: "RR" followed by 1 "B" character, "MASTER" followed by 17 "B" characters Literally PN7F: "PN7F" followed by 1 "B" character, "FULL" followed by 1 "B" character, "FILE" followed by 4 "B" characters
037-046	X(10)	AN	Literally "B" followed by 9 "B" characters, "DATA" followed by 1 "B" character, "OF" followed by 1 "B" character
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally "B" followed by 10 "B" characters, "TO" followed by 1 "B" character, "REMOTE" followed by 1 "B" character
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-083	X(12)	AN	Literally "B" followed by 11 "B" characters, "ENDS" followed by 1 "B" character, "HERE" followed by 1 "B" character
084-105	X(22)	AN	Literally "TOTAL" followed by 1 "B" character, "DETAIL" followed by 1 "B" character, "RECORDS:" followed by 1 "B" character
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-131	X(16)	AN	Not Used
132-132	X(01)	AN	Literally "Z"; indicates the end of the trailer record