

# PERSHING STANDARD FILE LAYOUTS

**FILE LAYOUT FOR: PERSHING CUSTODY MAIN DOWNLOAD**

## GENERAL INFORMATION

File Frequency: Daily  
 Record Size: 750 characters  
 Form Name: PTD1

## DESCRIPTION

The Pershing Custody Main Download file (PTD1) is created after the close of business each day. This file incorporates all receive items entered into the PCAS database. The four Custody files, PTD1, PTD2, PTD3 and PTD6, once combined, contain all the details that are available on the PCAS system. This main file contains the details for the original receive items at the account/CUSIP level.

## FILE LAYOUT SPECIFICATIONS

### HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF <b>BBBBBB</b> PERSHING <b>b</b> " (beginning of Pershing file)
019-036	X(18)	AN	Literally "PCAS <b>b</b> MAIN <b>b</b> DWNLDA <b>b</b> "
037-046	X(10)	AN	Literally " <b>b</b> DATA <b>b</b> OF <b>bb</b> "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " <b>b</b> TO <b>b</b> REMOTE <b>b</b> "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " <b>b</b> BEGINS <b>b</b> HERE <b>bb</b> "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-749	X(645)	AN	Not Used
750-750	X(01)	AN	Literally "A"; indicates the end of the header record

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## DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "P1"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-009	9(06)	N	RECORD ID SEQUENCE NUMBER; begins with "000001"
010-012	X(03)	AN	DOCUMENT BATCH ID; values range from "AAA" to "799"
013-020	9(08)	N	DOCUMENT BATCH CREATION DATE in CCYYMMDD format
021-023	9(03)	N	RECEIVE ITEM SEQUENCE NUMBER; values range from "001" to "999"
024-033	X(10)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), Check Digit (1) and Type; values for Type include: "1" = Cash "2" = Margin
034-036	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
037-039	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
040-048	X(09)	AN	CUSIP NUMBER
049-068	X(20)	AN	SECURITY DESCRIPTION LINE 1
069-088	X(20)	AN	SECURITY DESCRIPTION LINE 2
089-108	X(20)	AN	SECURITY DESCRIPTION LINE 3
109-128	X(20)	AN	SECURITY DESCRIPTION LINE 4
129-148	X(20)	AN	SECURITY DESCRIPTION LINE 5
149-168	X(20)	AN	SECURITY DESCRIPTION LINE 6
169-186	9(13)v9(05)	N	DEPOSIT QUANTITY
187-187	X(01)	AN	DEPOSIT QUANTITY SIGN; values include: "+" = Positive amount "- " = Negative amount "b" = Not Applicable
188-205	9(16)v9(02)	N	MARKET VALUE
206-206	X(01)	AN	MARKET VALUE SIGN; values include: "+" = Positive market value "- " = Negative market value "b" = Not Applicable
207-214	9(08)	N	ACTUAL BOX DATE, in CCYYMMDD format
215-217	X(03)	AN	ACTUAL ROUTE BOX CODE; values include: "CCO" = DTC deposit "CXL" = Cancelled items "DKB" = Physical box location "DPP," "PPF," "PPP" and "PPR" = Pending papers "DTC" = DTC free box "DTR" = DTC return code "DVD" and "DVH" = Dividend hold box code

(cont.)

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POSITION	PICTURE	TYPE	DESCRIPTION
			“FRN” = Foreign code “LGD,” “LGS,” “LGP” and “TSF” = Legal routing “LGL” = Pershing legal transfer (including restricted) “LGP” = Legal routing “LGR” = DTCC restricted transfer “LTD” = Routing to limited partnerships (Alternative Investments) “NTR” and “TRN” = Item in transit “OLC” = Receive or deliver “PPF” = Pending papers “ROG” = Reorg “RTN” = Returned “SFD,” “SFK,” and “SFR” = Safekeeping “SYS” = Underlying account is suspense account “TFR” = Re-register into client name “TSF” = Re-register into street name “VOL” = Reorg
218-220	X(03)	AN	RECEIVE ITEM STATUS CODE; values include: “CMP” = Items in Complete status “CXL” = Item in Cancel status “NEW” = New item “RVW” = Review at DTCC “SND” and “SNT” = Item in Sent status
221-221	X(01)	AN	SECURITY TYPE CODE; see Appendix B for values
222-222	X(01)	AN	SECURITY MOD CODE; see Appendix B for values
223-223	X(01)	AN	SECURITY CALC CODE; see Appendix B for values
224-224	X(01)	AN	REORG INDICATOR; values include: “Y” = CUSIP coded as reorganization “N” = CUSIP not coded as reorganization
225-259	X(35)	AN	REGISTRATION ACCOUNT TITLE LINE 1
260-294	X(35)	AN	REGISTRATION ACCOUNT TITLE LINE 2
295-329	X(35)	AN	REGISTRATION ACCOUNT TITLE LINE 3
330-364	X(35)	AN	REGISTRATION ACCOUNT TITLE LINE 4
365-399	X(35)	AN	REGISTRATION ACCOUNT TITLE LINE 5
400-434	X(35)	AN	REGISTRATION ACCOUNT TITLE LINE 6
435-466	X(32)	AN	REGISTRATION ADDRESS LINE 1
467-498	X(32)	AN	REGISTRATION ADDRESS LINE 2
499-530	X(32)	AN	REGISTRATION ADDRESS LINE 3
531-562	X(32)	AN	REGISTRATION ADDRESS LINE 4
563-594	X(32)	AN	REGISTRATION ADDRESS LINE 5
595-626	X(32)	AN	REGISTRATION ADDRESS LINE 6

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
627-646	X(20)	AN	BOOKKEEPING COMMENT 1
647-666	X(20)	AN	BOOKKEEPING COMMENT 2 (NOTE: if Actual Route Box Code = "LGD," "LGL," "LGR," "LGS," or "TSF," then TOLS Reference number displayed)
667-672	X(06)	AN	TAX FIELD; first six bytes from Bookkeeping Comment 2
673-687	9(10)v9(05)	N	SETTLEMENT DATE QUANTITY
688-688	X(01)	AN	SETTLEMENT DATE QUANTITY SIGN; values include: "+" = Long Quantity "-" = Short Quantity "b" = Not Applicable or zero quantity
691-703	9(10)v9(05)	N	TRADE DATE QUANTITY
704-704	X(01)	AN	TRADE DATE QUANTITY SIGN; values include: "+" = Long Quantity "-" = Short Quantity "b" = Not Applicable or zero quantity
705-722	9(09)v9(09)	N	SECURITY PRICE
723-725	X(03)	AN	DTCC ELIGIBILITY; values include: "DTC" = DTCC eligible "b b b" = Not DTCC eligible
726-749	X(24)	AN	Not Used
750-750	X(01)	AN	Literally "X"; indicates the end of detail record A

## TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF b b b b b b PERSHING b" (end of Pershing file)
019-036	X(18)	AN	Literally "PCAS b MAIN b DOWNLOAD b b"
037-046	X(10)	AN	Literally "b DATA b OF b b"
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally "b TO b REMOTE b"
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-105	X(34)	AN	Literally "b ENDS b HERE. b TOTAL b DETAIL b RECORDS: b"
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-749	X(634)	AN	Not Used
750-750	X(01)	AN	Literally "Z"; indicates the end of the trailer record