

# PERSHING STANDARD FILE LAYOUTS

**FILE LAYOUT FOR: PCAS TEXT DOWNLOADS/CERTIFICATES**

## GENERAL INFORMATION

File Frequency: Daily  
 Record Size: 250 characters  
 Form Name: PTD3

## DESCRIPTION

The PCAS Downloads/Certificates file (PTD3) is created after the close of business each day. The main file incorporates all receive items entered into the PCAS database and is transmitted on request. The four Custody files, PTD1, PTD2, PTD3 and PTD6, once combined, contain all the details that are available on the PCAS system. The Certificate file contains all of the information the one or many certificates attached to an item detail.

## FILE LAYOUT SPECIFICATIONS

### HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF <b>B B B B B B</b> PERSHING <b>b</b> " (beginning of Pershing file)
019-036	X(18)	AN	Literally "PCAS <b>B</b> CERT <b>B</b> DOWNLOADF"
037-046	X(10)	AN	Literally " <b>B</b> DATA <b>B</b> OF <b>B B</b> "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " <b>B</b> TO <b>B</b> REMOTE <b>B</b> "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " <b>B</b> BEGINS <b>B</b> HERE <b>B B</b> "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-249	X(145)	AN	Not Used
250-250	X(01)	AN	Literally "A"; indicates the end of the header record

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## DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "P3"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-009	9(06)	N	RECORD ID SEQUENCE NUMBER; begins with "000001"
010-012	X(03)	AN	DOCUMENT BATCH ID; values range from "AAA" to "799"
013-020	9(08)	N	DOCUMENT BATCH CREATION DATE, in CCYYMMDD format
021-023	9(03)	N	RECEIVE ITEM SEQUENCE NUMBER; values range from "001" to "999"
024-033	X(10)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), Check Digit (1) and Type; values for Type include: "1" = Cash "2" = Margin
034-036	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
037-039	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
040-054	X(15)	AN	CERTIFICATE NUMBER
055-072	9(13)v9(05)	N	CERTIFICATE SHARE QUANTITY
073-073	X(01)	AN	CERTIFICATE SHARE QUANTITY SIGN; values include: "+" = Positive quantity
073-081	9(08)	N	CERTIFICATE ISSUE DATE, in CCYYMMDD format
082-084	9(03)	N	CERTIFICATE SEQUENCE NUMBER; each record is given one number, which is moved to this field, beginning with 001
085-085	X(01)	AN	SIC STATUS CODE; values include: "Y" = Yes; match "N" = No match
086-100	X(15)	AN	SIC CHECK ID NUMBER
111-118	9(08)	N	NEXT COUPON DATE, in CCYYMMDD format
119-249	X(131)	AN	Not Used
250-250	X(01)	AN	Literally "X"; indicates the end of detail record A

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## TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF <b>B</b> <b>B</b> <b>B</b> <b>B</b> <b>B</b> <b>B</b> PERSHING <b>B</b> " (end of Pershing file)
019-036	X(18)	AN	Literally "PCAS <b>B</b> CERT <b>B</b> DOWNLOAD <b>F</b> "
037-046	X(10)	AN	Literally " <b>B</b> DATA <b>B</b> OF <b>B</b> <b>B</b> "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " <b>B</b> TO <b>B</b> REMOTE <b>B</b> "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-105	X(34)	AN	Literally " <b>B</b> ENDS <b>B</b> HERE <b>B</b> TOTAL <b>B</b> DETAIL <b>B</b> RECORDS: <b>B</b> "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-249	X(134)	AN	Not Used
250-250	X(01)	AN	Literally "Z"; indicates the end of the trailer record