

# PERSHING STANDARD FILE LAYOUTS

## FILE LAYOUT FOR: PCAS TEXT DOWNLOADS/AUDIT TRAIL

### GENERAL INFORMATION

File Frequency: Daily  
Record Size: 250 characters  
Form Name: PTD6

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### DESCRIPTION

The PCAS Downloads/Audit Trail file (PTD6) is created after the close of business each day. The main file incorporates all receive items entered into the PCAS database and is transmitted on request. The four Custody files, PTD1, PTD2, PTD3 and PTD6, once combined, contain all the details that are available on the PCAS system. The Audit Trail file contains all of the information the one or many certificates attached to an item detail.

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### FILE LAYOUT SPECIFICATIONS

#### HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF <b>B B B B B B</b> PERSHING <b>b</b> " (beginning of Pershing file)
019-036	X(18)	AN	Literally "PCAS <b>B</b> ADIT <b>B</b> DOWNLOAD <b>F</b> "
037-046	X(10)	AN	Literally " <b>B</b> DATA <b>B</b> OF <b>B B</b> "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " <b>B</b> TO <b>B</b> REMOTE <b>B</b> "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " <b>B</b> BEGINS <b>B</b> HERE <b>B B</b> "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-249	X(145)	AN	Not Used
250-250	X(01)	AN	Literally "A"; indicates the end of the header record

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## DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "P6"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-009	9(06)	N	RECORD ID SEQUENCE NUMBER; begins with "000001"
010-012	X(03)	AN	DOCUMENT BATCH ID; values range from "AAA" to "799"
013-020	9(08)	N	DOCUMENT BATCH CREATION DATE, in CCYYMMDD format
021-023	9(03)	N	RECEIVE ITEM SEQUENCE NUMBER; values range from "001" to "999"
024-033	X(10)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), Check Digit (1) and Type; values for Type include: "1" = Cash "2" = Margin
034-036	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
037-039	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
040-047	X(08)	AN	UPDATE USER ID
048-055	X(08)	AN	ITEM ACTION TEXT; values include: "ADD" = Item added to PCAS "OPN" = Batch reopened for editing "REROUTE" = Original route changed "ROUTE" = Item routed "SIC" = Certificate information sent to SIC for validation "SND" = Position sent to Pershing (overnight file transmission) "UPDATE" / "UPDATED" = Documents or document comments updated "BBBBBBBB" = Not applicable
056-095	X(40)	AN	AUDIT TRAIL COMMENTS
096-121	X(26)	AN	AUDIT TRAIL RECORD UPDATE TIMESTAMP, in CCYY-MM-DD-HH.MI.SS.NNNNNN format
122-130	9(09)	N	RETRIEVAL SEQUENCE NUMBER
131-249	X(119)	AN	Not Used
250-250	X(01)	AN	Literally "X"; indicates the end of detail record A

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## TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF <b>B B B B B B</b> PERSHING <b>B</b> " (end of Pershing file)
019-036	X(18)	AN	Literally "PCAS <b>B</b> ADIT <b>B</b> DOWNLOAD <b>F</b> "
037-046	X(10)	AN	Literally " <b>B</b> DATA <b>B</b> OF <b>B B</b> "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " <b>B</b> TO <b>B</b> REMOTE <b>B</b> "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-105	X(34)	AN	Literally " <b>B</b> ENDS <b>B</b> HERE. <b>B</b> TOTAL <b>B</b> DETAIL <b>B</b> RECORDS: <b>B</b> "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-249	X(134)	AN	Not Used
250-250	X(01)	AN	Literally "Z"; indicates the end of the trailer record