

# PERSHING STANDARD FILE LAYOUTS

**FILE LAYOUT FOR: GLOBAL CLEARANCE SETTLEMENT STATUS - HOURLY**

## GENERAL INFORMATION

File Frequency: Daily  
Record Size: 3500 characters  
Form Name: RD01-RD23

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## DESCRIPTION

The Global Clearance Settlement Status file (RD01-RD23) is created hourly. Actual times will be forthcoming. There is no RD02 file, due to system maintenance timing.

Customers can choose to receive Active items (A record only), or both Active and Inactive-Clean or Cancelled items (records A and B). Record B is optional and must be requested.

The files correlate with Military Time, Eastern time zone. Not all hours will be available immediately.

This file contains header and trailer records that confirm the beginning and end of the file, the date it was created, and the file's total number of records.

The symbol “**B**” is used on our standard files to represent spaces.

# PERSHING STANDARD FILE LAYOUTS

## FILE LAYOUT SPECIFICATIONS

### HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001 - 018	X(18)	AN	Literally "BOF <b>B B B B B B</b> PERSHING <b>B</b> "
019 - 036	X(18)	AN	For RD01: "GCL <b>B</b> SS <b>B</b> 01:00 <b>B</b> HR <b>B B B</b> " (runs 1:15 AM) For RD03: "GCL <b>B</b> SS <b>B</b> 03:00 <b>B</b> HR <b>B B B</b> " (runs 3:15 AM) For RD04: "GCL <b>B</b> SS <b>B</b> 04:00 <b>B</b> HR <b>B B B</b> " (runs 4:15 AM) For RD05: "GCL <b>B</b> SS <b>B</b> 05:00 <b>B</b> HR <b>B B B</b> " (runs 5:15 AM) For RD06: "GCL <b>B</b> SS <b>B</b> 06:00 <b>B</b> HR <b>B B B</b> " (runs 6:15 AM) For RD07: "GCL <b>B</b> SS <b>B</b> 07:00 <b>B</b> HR <b>B B B</b> " (runs 7:15 AM) For RD08: "GCL <b>B</b> SS <b>B</b> 08:00 <b>B</b> HR <b>B B B</b> " (runs 8:15 AM) For RD09: "GCL <b>B</b> SS <b>B</b> 09:00 <b>B</b> HR <b>B B B</b> " (runs 9:15 AM) For RD10: "GCL <b>B</b> SS <b>B</b> 10:00 <b>B</b> HR <b>B B B</b> " (runs 10:15 AM) For RD11: "GCL <b>B</b> SS <b>B</b> 11:00 <b>B</b> HR <b>B B B</b> " (runs 11:15 AM) For RD12: "GCL <b>B</b> SS <b>B</b> 12:00 <b>B</b> HR <b>B B B</b> " (runs 12:15 PM) For RD13: "GCL <b>B</b> SS <b>B</b> 13:00 <b>B</b> HR <b>B B B</b> " (runs 1:15 PM) For RD14: "GCL <b>B</b> SS <b>B</b> 14:00 <b>B</b> HR <b>B B B</b> " (runs 2:15 PM) For RD15: "GCL <b>B</b> SS <b>B</b> 15:00 <b>B</b> HR <b>B B B</b> " (runs 3:15 PM) For RD16: "GCL <b>B</b> SS <b>B</b> 16:00 <b>B</b> HR <b>B B B</b> " (runs 4:15 PM) For RD17: "GCL <b>B</b> SS <b>B</b> 17:00 <b>B</b> HR <b>B B B</b> " (runs 5:15 PM) For RD18: "GCL <b>B</b> SS <b>B</b> 18:00 <b>B</b> HR <b>B B B</b> " (runs 6:15 PM) For RD19: "GCL <b>B</b> SS <b>B</b> 19:00 <b>B</b> HR <b>B B B</b> " (runs 7:15 PM) For RD20: "GCL <b>B</b> SS <b>B</b> 20:00 <b>B</b> HR <b>B B B</b> " (runs 8:15 PM) For RD21: "GCL <b>B</b> SS <b>B</b> 21:00 <b>B</b> HR <b>B B B</b> " (runs 9:15 PM) For RD22: "GCL <b>B</b> SS <b>B</b> 22:00 <b>B</b> HR <b>B B B</b> " (runs 10:15 PM) For RD23: "GCL <b>B</b> SS <b>B</b> 23:00 <b>B</b> HR <b>B B B</b> " (runs 11:15 PM)
037 - 046	X(10)	AN	Literally " <b>B</b> DATA <b>B</b> OF <b>B B</b> "
047 - 056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057 - 067	X(11)	AN	Literally " <b>B</b> TO <b>B</b> REMOTE <b>B</b> "
068 - 071	X(04)	AN	REMOTE ID = "XXXX"
072 - 085	X(14)	AN	Literally " <b>B</b> BEGINS <b>B</b> HERE <b>B B</b> "
086 - 095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096 - 096	X(01)	AN	Not Used
097 - 104	X(08)	AN	RUN TIME = "HH:MM:SS"
105 - 3499	X(3395)	AN	Not Used
3500 - 3500	X(01)	AN	Literally "A"; indicates the end of the header record

# PERSHING STANDARD FILE LAYOUTS

## DETAIL RECORD A - ACTIVE ITEMS

POSITION	PICTURE	TYPE	DESCRIPTION
001 - 002	X(02)	AN	TRANSACTION CODES; values include: "01" = RD01 "03" = RD03 "04" = RD04 "05" = RD05 "06" = RD06 "07" = RD07 "08" = RD08 "09" = RD09 "10" = RD10 "11" = RD11 "12" = RD12 "13" = RD13 "14" = RD14 "15" = RD15 "16" = RD16 "17" = RD17 "18" = RD18 "19" = RD19 "20" = RD20 "21" = RD21 "22" = RD22 "23" = RD23
003 - 003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004 - 011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001"
012 - 021	X(10)	AN	ACCOUNT NUMBER, including Office (3), Base (6), and Type; values for Type include: "0" = COD "1" = Cash/Principal Accounting for Bank Custody "2" = Margin "3" = Short "4" = Special Subs "5" = Arbitrage "6" = Market Function "7" = Good Faith "8" = Precious Metal "9" = Income/Income Accounting for Bank Custody
022 - 024	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
025 - 025	X(01)	AN	Not Used
026 - 034	X(09)	AN	INTRODUCING FIRM, when available

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
035 - 037	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
038 - 044	X(07)	AN	Not Used
045 - 054	X(10)	AN	ACCOUNT SHORT NAME
055 - 063	X(09)	AN	CUSIP
064 - 069	X(06)	AN	INTERNAL OPEN ITEM REFERENCE NUMBER
070 - 077	9(08)	N	CREATION DATE, in CCYYMMDD format
078 - 085	9(08)	N	UPDATE DATE, in CCYYMMDD format
086 - 097	9(12)	N	UPDATE TIME, in HHMISSNNNNNN format
098 - 098	X(01)	AN	TYPE OF ITEM; value includes: "A" = Active items
099 - 108	X(10)	AN	INTERNAL ACCOUNT NUMBER; see position 12-21 for format (when 980 is in position 12, firm trading account displays)
109 - 109	X(01)	AN	RECEIVE/DELIVERY CODE; values include: "R" = Receive "D" = Deliver
110 - 112	X(03)	AN	PRIMARY STATUS CODE; values include: <u>For Active Items ("A"):</u> "ALL" = Allocated "FZN" = Frozen "INP" = In process "OPE" = Open "PCK" = PCK (Pick List) "PDO" = Pending TBA pair-off "PIC" = PIC (physical) "PND" = Pending pair-off "RET" = All others <u>For Inactive (Clean or Cancelled) Items ("I"):</u> "CLN" = Clean "DLC" = Delete "PCL" = Pending clean
113 - 142	X(30)	AN	SETTLEMENT STATUS; literal values include: "Pending - Delete approval" "Pending - Update approval" "Unsettled - In-process" "Unsettled - On hold" "Unsettled - Open" "Unsettled - Reclaimed" "Record Deleted" "Settled"
143 - 146	X(04)	AN	DEPOT STATUS CODE; see Appendix 14 for values
147 - 181	X(35)	AN	DEPOT STATUS; see Appendix 14 for values
182 - 211	X(30)	AN	<i>Reserved for future information</i>

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
General Information - pertains to most transactions			
Associated Dates			
212 - 219	9(08)	N	TRADE DATE, in CCYYMMDD format
220 - 227	9(08)	N	SETTLEMENT DATE, in CCYYMMDD format
228 - 235	X(08)	AN	<i>Reserved</i>
236 - 243	9(08)	N	CLEAN-UP DATE, date the trade settles, in CCYYMMDD format
244 - 273	X(30)	AN	<i>Reserved for future information</i>
Party Identifiers			
274 - 276	X(03)	AN	EXECUTION IP NUMBER 1
277 - 277	X(01)	AN	Not Used
278 - 280	X(03)	AN	EXECUTION IP NUMBER 2
281 - 281	X(01)	AN	Not Used
282 - 284	X(03)	AN	EXECUTION IP NUMBER 3
285 - 285	X(01)	AN	Not Used
286 - 293	X(08)	AN	COUNTERPARTY ID
294 - 325	X(32)	AN	COUNTERPARTY NAME (first line)
326 - 328	X(03)	AN	OFFICE
329 - 329	X(01)	AN	IPO PRIMARY/SECONDARY CODE; values include: "L" = Lead "P" = Primary "S" = Secondary "B" = Not applicable
330 - 341	X(12)	AN	AGENT INTERNAL ACCOUNT NUMBER
342 - 351	X(10)	AN	<i>Reserved for SubAccount Number</i>
352 - 386	X(35)	AN	LINKED REFERENCE; trade linked to Delivery or receive, SWIFT reference of linked item or common link reference
387 - 421	X(35)	AN	ALERT ACCESS CODE
422 - 429	X(08)	AN	ALERT ACRONYM
430 - 479	X(50)	AN	INSTITUTION NAME
480 - 491	X(12)	AN	ALTERNATE UNIQUE ID
492 - 741	X(250)	AN	GENERAL COMMENTS
742 - 745	X(04)	AN	FAIL STATUS CODE; values include: "BBBB" = Broker Trade not Compared "COMP" = Broker Trade Compared
746 - 775	X(30)	AN	<i>Reserved for future information</i>

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POSITION	PICTURE	TYPE	DESCRIPTION
Additional Trade Identifiers			
776 - 781	X(06)	AN	TRADE REFERENCE NUMBER
782 - 801	X(20)	AN	CLIENT'S TRADE REFERENCE NUMBER
802 - 807	X(06)	AN	TAG OLC ITEM FOR MATCH
808 - 832	X(25)	AN	EXTERNAL REFERENCE TEXT; free-form
833 - 838	X(06)	AN	TRADE SOURCE; values include: "15A6" "Global" "PAR" "b" = Not applicable
839 - 868	X(30)	AN	<i>Reserved for future information</i>
Transaction Information			
869 - 869	X(01)	AN	MARKET CODE; values include: "1" = New York Stock Exchange® (NYSE) "2" = NYSE ALT (formerly AMEX) "3" = Philadelphia (PHLX) "4" = Over the Counter (OTC) "5" = Boston (BSE) "6" = Chicago Exchange (CHX) "7" = Chicago Board Options Exchange (CBOE) "8" = Over the Counter/NSCC, NASDAQ (OTC/NSCC, OTC/NASDAQ) "9" = Pacific (PSE)
870 - 870	X(01)	AN	BLOTTER CODE; values include: "0" = Average Price, Allocated, Block, or Agent Cross Trade "1" = Customer vs. the Street "2" = Option "3" = Agency, but used for Cash, Special Settle. next day trades "5" = Principal Cross Trade "6" = Syndicate Cross Trade "8" = Principal Cross Trade/Over the Counter "9" = Agency Cross Trade
871 - 874	X(04)	AN	EXCHANGE (Market Identifier Code - MIC)
875 - 877	X(03)	AN	SETTLEMENT LOCATION CODE; see Appendix S for values
878 - 881	X(04)	AN	SETTLEMENT METHOD; see Appendix 14 for values
882 - 884	X(03)	AN	OPEN ITEM TYPE CODE; see Appendix 14 for values:
885 - 887	X(03)	AN	SECONDARY STATUS CODE; see Appendix 14 for values
888 - 888	X(01)	AN	SHORT SALE INDICATOR; values include: "C" = Cash order "N" = Next day "O" = Buy that is opposite a short sale "S" = Short and non-exempt

(cont.)

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
			“X” = Short and exempt “ <b>B</b> ” = Not a short sale
889 - 892	X(04)	AN	REPO CODE; see Appendix 14 for values
893 - 922	X(30)	AN	<i>Reserved for future information</i>
Additional Security Identifiers			
923 - 938	X(16)	AN	SECURITY SYMBOL
939 - 950	X(12)	AN	ISIN CODE
951 - 958	X(08)	AN	PRODUCT (ASSET) TYPE; see Appendix B for values
959 - 966	X(08)	AN	PRODUCT (ASSET) SUBTYPE; see Appendix B for values
967 - 974	X(08)	AN	PRODUCT (ASSET) SUB-SUBTYPE; see Appendix B for values
975 - 984	X(10)	AN	LIQUIDITY INDICATOR; literal values include: “Liquid” “Illiquid” “Small Mkt” “ <b>B</b> ” = Not applicable
985 - 1014	X(30)	AN	SECURITY DESCRIPTION LINE 1
1015 - 1044	X(30)	AN	<i>Reserved for future information</i>
Transaction Statistics			
1045 - 1062	9(13)v9(05)	N	ORIGINAL QUANTITY
1063 - 1063	X(01)	AN	Not Used
1064 - 1081	9(13)v9(05)	N	CURRENT QUANTITY
1082 - 1082	X(01)	AN	Not Used
1083 - 1100	9(16)v9(02)	N	ORIGINAL AMOUNT
1101 - 1101	X(01)	AN	Not Used
1102 - 1119	9(16)v9(02)	N	CURRENT AMOUNT
1120 - 1120	X(01)	AN	Not Used
1121 - 1138	9(09)v9(09)	N	PRICE; for FX transactions, price is equivalent to the FX rate
1139 - 1141	X(03)	AN	SETTLEMENT CURRENCY; see Appendix N for values
1142 - 1159	9(16)v9(02)	N	COMMISSION
1160 - 1160	X(01)	AN	Not Used
1161 - 1178	9(16)v9(02)	N	ACCRUED INTEREST
1179 - 1179	X(01)	AN	ACCRUED INTEREST SIGN; values include: “+” = Positive interest accrued “-” = Negative interest accrued
The following fields, through position 1414, apply to Global trades only			
1180 - 1197	9(16)v9(02)	N	PANEL OF TAKEOVERS AND MERGERS (PTM) FEE
1198 - 1198	X(01)	AN	Not Used
1199 - 1216	9(16)v9(02)	N	FINANCIAL TRANSACTION (FTT) TAX
1217 - 1217	X(01)	AN	Not Used
1218 - 1235	9(16)v9(02)	N	STOCK EXCHANGE FEE
1236 - 1236	X(01)	AN	Not Used

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POSITION	PICTURE	TYPE	DESCRIPTION
1237 - 1254	9(16)v9(02)	N	TRANSFER TAX
1255 - 1255	X(01)	AN	Not Used
1256 - 1273	9(16)v9(02)	N	TRANSFER STAMP
1274 - 1274	X(01)	AN	Not Used
1275 - 1292	9(16)v9(02)	N	MISCELLANEOUS STREETSIDE FEE
1293 - 1293	X(01)	AN	Not Used
1294 - 1311	9(16)v9(02)	N	MISCELLANEOUS CLIENT FEE
1312 - 1312	X(01)	AN	Not Used
1313 - 1330	9(16)v9(02)	N	FOREIGN TRADE FEE
1331 - 1331	X(01)	AN	Not Used
1332 - 1349	9(16)v9(02)	N	SALES CREDIT
1350 - 1350	X(01)	AN	Not Used
1351 - 1368	9(16)v9(02)	N	NET AMOUNT, in USDE
1369 - 1369	X(01)	AN	Not Used
1370 - 1387	9(16)v9(02)	N	MARK TO MARKET AMOUNT
1388 - 1388	X(01)	AN	MARK TO MARKET AMOUNT SIGN; values include: “+” = Positive amount “-” = Negative amount
1389 - 1406	9(16)v9(02)	N	EXPOSURE, in USDE
1407 - 1407	X(01)	AN	EXPOSURE SIGN, values include: “+” = Positive amount “-” = Negative amount
1408 - 1437	X(30)	AN	<i>Reserved for future information</i>
The following fields pertain to DTC Settlement			
1438 - 1441	X(04)	AN	BROKER
1442 - 1449	X(08)	AN	CORRESPONDENT FINANCIAL INSTITUTION ID
1450 - 1457	X(08)	AN	INSTITUTION DTC IDENTIFIER
1458 - 1465	X(08)	AN	AGENT DTC IDENTIFIER
1466 - 1473	X(08)	AN	THIRD PARTY BROKER ID
1474 - 1543	X(70)	AN	RECLAIM/REJECT COMMENTS, line 1
1544 - 1613	X(70)	AN	RECLAIM/REJECT COMMENTS, line 2
1614 - 1683	X(70)	AN	RECLAIM/REJECT COMMENTS, line 3
1684 - 1753	X(70)	AN	DELIVERY/RECEIVE COMMENTS, line 1
1754 - 1823	X(70)	AN	DELIVERY/RECEIVE COMMENTS, line 2
1824 - 1893	X(70)	AN	DELIVERY/RECEIVE COMMENTS, line 3
1894 - 1933	X(40)	AN	DTC OBLIGATION WAREHOUSE (OW) REF. NUMBER
1934 - 1941	X(08)	AN	IPO BROKER
1942 - 1945	X(04)	AN	DTC OW STATUS; see the following website for values: <a href="http://www.dtclearning.com">www.dtclearning.com</a>
1946 - 1948	X(03)	AN	DTC REASON CODE; see the following website for values: <a href="http://www.dtclearning.com">www.dtclearning.com</a>
1949 - 1968	X(20)	AN	<i>Reserved for future information</i>



# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
The following fields pertain to the ID System			
1969 - 1971	X(03)	AN	AFFIRMATION STATUS CODE; values include: "AFC" = Affirmed Exempt by Contra "AFE" = Affirmed Exempt "AFF" = Affirmed "AFI" = Affirmed Ineligible "AFL" = Affirmed Late "AFN" = Affirmed IDNET "AFP" = Affirmed Pending "UAF" = Unaffirmed
1972 - 1979	9(08)	N	AFFIRMATION DATE, in CCYYMMDD format
1980 - 1980	X(01)	AN	DTC ID CONFIRM STATUS CODE; values include: "C" = Complete "P" = Pending "R" = Rejected "B" = Not applicable
1981 - 1981	X(01)	AN	DTC ID CANCEL STATUS CODE; values include: "C" = Complete "P" = Pending "R" = Rejected "B" = Not applicable
1982 - 1990	X(09)	AN	DTC CONTROL NUMBER
1991 - 2010	X(20)	AN	<i>Reserved for future information</i>
The following fields pertain to DK Charges			
2011 - 2011	X(01)	AN	DK CHARGE INDICATOR; values include: "Y" = Charge for DK "N" = No charge for DK or not applicable "A" = a chargeable status was received after depository cut-off time; will be charged tomorrow "B" = a non-chargeable status was received; item still in chargeable state before cut-off time; will be charged today "U" = Unknown; requires review by associate "B" = Not applicable
2012 - 2012	X(01)	AN	DK CHARGE TYPE; values include: "B" = Non-chargeable status was received; still in chargeable state before depository cut-off time; will be charged today "U" = Unknown; requires review by associate "B" = Not applicable
2013 - 2020	9(08)	N	DK CHARGE START DATE, in CCYYMMDD format
2021 - 2040	X(20)	AN	<i>Reserved for future information</i>

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
The following fields pertain to Institutional Regulatory information.			
2041 - 2048	9(08)	N	LAST RECLAIM DATE, in CCYYMMDD format
2049 - 2056	9(08)	N	<b>FINRA</b> FILE DATE, in CCYYMMDD format
2057 - 2064	9(08)	N	15c-3 BUY-IN DUE DATE, in CCYYMMDD format
2065 - 2072	9(08)	N	REG-T SELLOUT DUE DATE, in CCYYMMDD format
2073 - 2080	9(08)	N	REG-T PAYMENT DUE DATE, in CCYYMMDD format
2081 - 2081	X(01)	AN	EXTENSION NUMBER
2082 - 2082	X(01)	AN	EOD WIRE INDICATOR; values include: "B" = End of reclaim day, if reclaimed item still open and Type A wire already sent; sent once, at end of reclaim date "D" = End of day when first time reclaim is received on or after sellout date; sent once, at end of reclaim date "E" = End of day (but before market close) when reclaim is received at least one business day after Type D sent; sent once, when reclaim is received "b" = Not applicable
2083 - 2083	X(01)	AN	EXCL. FROM REGULATORY PROCESSING; values include: "Y" = Yes; excluded "N" = No; not excluded "b" = Not applicable
2084 - 2093	X(10)	AN	BRANCH CRD
2094 - 2094	X(01)	AN	Not Used
2095 - 2106	X(12)	AN	REP CRD
2107 - 2107	X(01)	AN	Not Used
2108 - 2110	X(03)	AN	EXTENSION REASON CODE (FINRA); space is a valid value; see the following website for values: <a href="https://www.finra.org/">https://www.finra.org/</a>
2111 - 2113	X(03)	AN	<i>Reserved for future expansion</i>
2114 - 2114	X(01)	AN	CENTRAL SECURITIES DEPOSITORIES REGULATION (CSDR) ELIGIBILITY INDICATOR; values include: "Y" = Eligible "N" = Not eligible "b" = Not applicable
2115 - 2132	9(16)v9(02)	N	CSDR CLIENT FEE
2133 - 2133	X(01)	AN	CSDR CLIENT FEE SIGN; values include: "+" = Credit "-" = Debit "b" = Not applicable
2134 - 2136	X(03)	AN	CSDR FEE CURRENCY; see Appendix N for values
2137 - 2140	X(04)	AN	CSDR FEE DESCRIPTION; values include: "LMFP" = Late matching fail penalty "SEFP" = Settlement fee penalty "b b b b" = Not applicable
2141 - 2158	9(09)v9(09)	N	CSDR CLOSING PRICE

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POSITION	PICTURE	TYPE	DESCRIPTION
2159 - 2161	X(03)	AN	CSDR CLOSING PRICE CURRENCY; see Appendix N
2162 - 2165	X(04)	AN	CSDR CLOSING PRICE EXCHANGE
2166 - 2166	X(01)	AN	CSDR HOLD INDICATOR; determines if settlement is on hold or not; values include: “H” = On hold “ <b>B</b> ” = Not applicable
2167 - 2174	9(08)	N	CSDR BUY-IN DATE, in CCYYMMDD format
2175 - 2177	X(03)	AN	CSDR BUY-IN TIMEFRAME (DAYS); days to the buy-in
2178 - 2197	X(20)	AN	<i>Reserved for future information</i>
The following fields pertain to International Settlement information			
2198 - 2198	X(01)	AN	INTERNATIONAL SECURITY INDICATOR; values include: “1” = International security “2” = Canadian security “D” = Domestic security “ <b>B</b> ” = Not identified
2199 - 2199	X(01)	AN	INTERNATIONAL SETTLE CODE; indicates the fee for the method through which a foreign security settles; values include: “9” = Settles through Euroclear; Euroclear fee will be applied “A” - “Z” = Settles in a local foreign market; indicator will assess fee appropriate for the given market “ <b>B</b> ” = Settles through DTC; no additional fees apply
2200 - 2202	X(03)	AN	INTERNATIONAL SECONDARY STATUS CODE; unless it is changed to CXL (Cancel) or RDK (Reclaim)
2203 - 2207	X(05)	AN	EUROCLEAR ACCOUNT IDENTIFIER; values include: nnnnn = Euroclear Clearing Number, where “n” is a number “ <b>B B B B B</b> ” = Not Euroclear
2208 - 2212	X(05)	AN	EUROCLEAR COUNTERPARTY ACCOUNT ID
2213 - 2216	X(04)	AN	PARTIAL SETTLEMENT INDICATOR; values include: “NPAR” = No partial allowed “PARC” = Partial allowed for below minimum value “PARQ” = partial allowed for below minimum quantity “PART” = Partial allowed at minimum quantity and value “ <b>B B B B</b> ” = Not applicable
2217 - 2251	X(35)	AN	MARKET REFERENCE
2252 - 2252	X(01)	AN	PAYMENT FREE INDICATOR; values include: “Y” = Free payment “ <b>B</b> ” = Not free payment
2253 - 2256	9(04)	N	PRIORITY
2257 - 2257	X(01)	AN	EUROCLEAR DAYLIGHT INDICATOR; values include: “Y” = Yes; eligible for extended settlement cycle “N” = No; not eligible for extended settlement cycle “ <b>B</b> ” = Not applicable

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
2258 - 2277	X(20)	AN	PASSENGER ACCOUNT IDENTIFIER
2278 - 2289	X(12)	AN	DEPOSITORY RESPONSE STATUS; free-form description text
2290 - 2301	X(12)	AN	DEPOSITORY RESPONSE STATUS REASON TEXT
2302 - 2310	X(09)	AN	DEPOSITORY PAR ACCOUNT ID
2311 - 2360	X(50)	AN	COMMENT LINE1 TEXT
2361 - 2410	X(50)	AN	COMMENT LINE2 TEXT
2411 - 2442	X(32)	AN	DELIVERY INSTRUCTIONS, line 1
2443 - 2474	X(32)	AN	DELIVERY INSTRUCTIONS, line 2
2475 - 2506	X(32)	AN	DELIVERY INSTRUCTIONS, line 3
2507 - 2538	X(32)	AN	DELIVERY INSTRUCTIONS, line 4
2539 - 2570	X(32)	AN	DELIVERY INSTRUCTIONS, line 5
2571 - 2602	X(32)	AN	DELIVERY INSTRUCTIONS, line 6
2603 - 2606	X(04)	AN	SWIFT STATUS CODE 1
2607 - 2610	X(04)	AN	SWIFT REASON CODE 1
2611 - 2614	X(04)	AN	SWIFT STATUS CODE 2
2615 - 2618	X(04)	AN	SWIFT REASON CODE 2
2619 - 2622	X(04)	AN	SWIFT STATUS CODE 3
2623 - 2626	X(04)	AN	SWIFT REASON CODE 3
2627 - 2630	X(04)	AN	SWIFT STATUS CODE 4
2631 - 2634	X(04)	AN	SWIFT REASON CODE 4
2635 - 2714	X(80)	AN	DETAILED SWIFT STATUS; free-form text
2715 - 2723	X(09)	AN	DEPOT ACCOUNT NUMBER
2724 - 2732	X(09)	AN	CASH ACCOUNT NUMBER
2733 - 2744	X(12)	AN	ALIAS; SSI Override; Alias (international) in settlement status
2745 - 2745	X(01)	AN	STAMP DUTY CAPACITY; values include: "B" = Broker "C" = Client "b" = Not applicable
2746 - 2756	X(11)	AN	<i>Reserved for PERSHING LOCAL CLEARING AGENT BIC</i>
2757 - 2776	X(20)	AN	<i>Reserved for PERSHING LOCAL CLEARING AGENT CODE</i>
2777 - 2787	X(11)	AN	<i>Reserved for PERSHING BUY/SELL PARTY BIC</i>
2788 - 2822	X(35)	AN	<i>Reserved for PERSHING BUY/SELL PARTY ACCOUNT AT CUSTODIAN</i>
2823 - 2833	X(11)	AN	RECEIVE/DELIVER PARTY BIC
2834 - 2853	X(20)	AN	RECEIVE/DELIVER PARTY LOCAL CODE
2854 - 2888	X(35)	AN	BUY/SELL PARTY DESCRIPTION; first line of Title
2889 - 2899	X(11)	AN	PLACE OF SETTLEMENT BIC
2900 - 2903	X(04)	AN	RECEIVE/DELIVER PARTY LOCAL CODE IDENTIFIER
2904 - 2914	X(11)	AN	BUY/SELL PARTY BIC
2915 - 2949	X(35)	AN	BUY/SELL PARTY ACCOUNT AT CUSTODIAN
2950 - 2984	X(35)	AN	RECEIVE/DELIVER PARTY ACCOUNT NUMBER
2985 - 2995	X(11)	AN	RECEIVE/DELIVER CUSTODIAN BIC

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
2996 - 3030	X(35)	AN	RECEIVE/DELIVER CUSTODIAN ACCOUNT NUMBER
3031 - 3034	X(04)	AN	BUY/SELL PARTY LOCAL CODE IDENTIFIER
3035 - 3054	X(20)	AN	BUY/SELL PARTY LOCAL CODE
3055 - 3058	X(04)	AN	SPANISH REGISTRATION CODE (corresponds to registration/safekeeping account in settlement status)
3059 - 3108	X(50)	AN	SPANISH REGISTRATION NAME
3109 - 3143	X(35)	AN	SAFEKEEPING ACCOUNT (corresponds to registration/safekeeping account in settlement status)
3144 - 3146	X(03)	AN	ON EXCHANGE INDICATOR; values include: "OE <b>B</b> " = Trade done on exchange "BBB" = Not applicable
3147 - 3150	X(04)	AN	SWIFT TRANSACTION TYPE; values include: "BYIY" = Buy-in Trade "CONV" = Conversion "PORT" = Portfolio transfer "TRAD" = Trade "BBBB" = Not applicable
3151 - 3170	X(20)	AN	<i>Reserved for future information</i>
The following fields relate to FED Settlement information			
3171 - 3178	9(08)	N	GOOD DELIVERY DATE, in CCYYMMDD format
3179 - 3196	9(13)v9(05)	AN	SEG MOVE QUANTITY
3197 - 3197	X(01)	AN	SEG MOVE QUANTITY SIGN; values include: "-" = Negative quantity "+" = Positive quantity "B" = Not applicable
3198 - 3206	X(09)	AN	ABA NUMBER
3207 - 3215	X(09)	AN	COUNTER PARTY RECEIVE TAX
3216 - 3255	X(40)	AN	3 <sup>rd</sup> PARTY ACCOUNT NUMBER
3256 - 3295	X(40)	AN	3 <sup>rd</sup> PARTY ACCOUNT NAME
3296 - 3335	X(40)	AN	4 <sup>th</sup> PARTY ACCOUNT NUMBER
3336 - 3375	X(40)	AN	4 <sup>th</sup> PARTY ACCOUNT NAME
3376 - 3383	X(08)	AN	CONTRA MPID
3384 - 3423	X(40)	AN	PARENT REFERENCE NUMBER
3424 - 3431	X(08)	AN	MBS EXECUTING BROKER
3432 - 3451	X(20)	AN	<i>Reserved for future information</i>
3452 - 3499	X(48)	AN	Not Used
3500 - 3500	X(01)	AN	Literally "X"; indicates the end of detail record A

# PERSHING STANDARD FILE LAYOUTS

## DETAIL RECORD B - INACTIVE (CLEAN OR CANCELLED) ITEMS

POSITION	PICTURE	TYPE	DESCRIPTION
001 - 002	X(02)	AN	TRANSACTION CODES; values include: "01" = RD01 "03" = RD03 "04" = RD04 "05" = RD05 "06" = RD06 "07" = RD07 "08" = RD08 "09" = RD09 "10" = RD10 "11" = RD11 "12" = RD12 "13" = RD13 "14" = RD14 "15" = RD15 "16" = RD16 "17" = RD17 "18" = RD18 "19" = RD19 "20" = RD20 "21" = RD21 "22" = RD22 "23" = RD23
003 - 003	X(01)	AN	RECORD INDICATOR VALUE = "B"
004 - 011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001"
012 - 021	X(10)	AN	ACCOUNT NUMBER, including Office (3), Base (6), and Type; values for Type include: "0" = COD "1" = Cash/Principal Accounting for Bank Custody "2" = Margin "3" = Short "4" = Special Subs "5" = Arbitrage "6" = Market Function "7" = Good Faith "8" = Precious Metal "9" = Income/Income Accounting for Bank Custody
022 - 024	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
025 - 025	X(01)	AN	Not Used
026 - 034	X(09)	AN	INTRODUCING FIRM, when available

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
035 - 037	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
038 - 044	X(07)	AN	Not Used
045 - 054	X(10)	AN	ACCOUNT SHORT NAME
055 - 063	X(09)	AN	CUSIP
064 - 069	X(06)	AN	INTERNAL OPEN ITEM REFERENCE NUMBER
070 - 077	9(08)	N	CREATION DATE, in CCYYMMDD format
078 - 085	9(08)	N	UPDATE DATE, in CCYYMMDD format
086 - 097	9(12)	N	UPDATE TIME, in HHMISSNNNNNN format
098 - 098	X(01)	AN	TYPE OF ITEM; value includes: "I" = Inactive (clean or cancelled) items
099 - 108	X(10)	AN	INTERNAL ACCOUNT NUMBER; see position 12-21 for format (when 980 is in position 12, firm trading account displays)
109 - 109	X(01)	AN	RECEIVE/DELIVERY CODE; values include: "R" = Receive "D" = Deliver
110 - 112	X(03)	AN	PRIMARY STATUS CODE; values include: <u>For Active Items ("A"):</u> "ALL" = Allocated "FZN" = Frozen "INP" = In process "OPE" = Open "PCK" = PCK (Pick List) "PDO" = Pending TBA pair-off "PIC" = PIC (physical) "PND" = Pending pair-off "RET" = All others <u>For Inactive (Clean or Cancelled) Items ("I"):</u> "CLN" = Clean "DLC" = Delete "PCL" = Pending clean
113 - 142	X(30)	AN	SETTLEMENT STATUS; literal values include: "Pending - Delete approval" "Pending - Update approval" "Unsettled - In-process" "Unsettled - On hold" "Unsettled - Open" "Unsettled - Reclaimed" "Record Deleted" "Settled"
143 - 146	X(04)	AN	DEPOT STATUS CODE; see Appendix 14 for values
147 - 181	X(35)	AN	DEPOT STATUS; see Appendix 14 for values
182 - 211	X(30)	AN	<i>Reserved for future information</i>

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
General Information - pertains to most transactions			
Associated Dates			
212 - 219	9(08)	N	TRADE DATE, in CCYYMMDD format
220 - 227	9(08)	N	SETTLEMENT DATE, in CCYYMMDD format
228 - 235	X(08)	AN	<i>Reserved</i>
236 - 243	9(08)	N	CLEAN-UP DATE, date the trade settles, in CCYYMMDD format
244 - 273	X(30)	AN	<i>Reserved for future information</i>
Party Identifiers			
274 - 276	X(03)	AN	EXECUTION IP NUMBER 1
277 - 277	X(01)	AN	Not Used
278 - 280	X(03)	AN	EXECUTION IP NUMBER 2
281 - 281	X(01)	AN	Not Used
282 - 284	X(03)	AN	EXECUTION IP NUMBER 3
285 - 285	X(01)	AN	Not Used
286 - 293	X(08)	AN	COUNTERPARTY ID
294 - 325	X(32)	AN	COUNTERPARTY NAME (first line)
326 - 328	X(03)	AN	OFFICE
329 - 329	X(01)	AN	IPO PRIMARY/SECONDARY CODE; values include: "L" = Lead "P" = Primary "S" = Secondary "B" = Not applicable
330 - 341	X(12)	AN	AGENT INTERNAL ACCOUNT NUMBER
342 - 351	X(10)	AN	<i>Reserved for SubAccount Number</i>
352 - 386	X(35)	AN	LINKED REFERENCE; trade linked to Delivery or receive, SWIFT reference of linked item or common link reference
387 - 421	X(35)	AN	ALERT ACCESS CODE
422 - 429	X(08)	AN	ALERT ACRONYM
430 - 479	X(50)	AN	INSTITUTION NAME
480 - 491	X(12)	AN	ALTERNATE UNIQUE ID
492 - 741	X(250)	AN	GENERAL COMMENTS
742 - 745	X(04)	AN	FAIL STATUS CODE; values include: "BBBB" = Broker Trade not Compared "COMP" = Broker Trade Compared
746 - 775	X(30)	AN	<i>Reserved for future information</i>



# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
Additional Trade Identifiers			
776 - 781	X(06)	AN	TRADE REFERENCE NUMBER
782 - 801	X(20)	AN	CLIENT'S TRADE REFERENCE NUMBER
802 - 807	X(06)	AN	TAG OLC ITEM FOR MATCH
808 - 832	X(25)	AN	EXTERNAL REFERENCE TEXT; free-form
833 - 838	X(06)	AN	TRADE SOURCE; values include: "15A6" "Global" "PAR" "b" = Not applicable
839 - 868	X(30)	AN	<i>Reserved for future information</i>
Transaction Information			
869 - 869	X(01)	AN	MARKET CODE; values include: "1" = New York Stock Exchange® (NYSE) "2" = NYSE ALT (formerly AMEX) "3" = Philadelphia (PHLX) "4" = Over the Counter (OTC) "5" = Boston (BSE) "6" = Chicago Exchange (CHX) "7" = Chicago Board Options Exchange (CBOE) "8" = Over the Counter/NSCC, NASDAQ (OTC/NSCC, OTC/NASDAQ) "9" = Pacific (PSE)
870 - 870	X(01)	AN	BLOTTER CODE; values include: "0" = Average Price, Allocated, Block, or Agent Cross Trade "1" = Customer vs. the Street "2" = Option "3" = Agency, but used for Cash, Special Settle. next day trades "5" = Principal Cross Trade "6" = Syndicate Cross Trade "8" = Principal Cross Trade/Over the Counter "9" = Agency Cross Trade
871 - 874	X(04)	AN	EXCHANGE (Market Identifier Code - MIC)
875 - 877	X(03)	AN	SETTLEMENT LOCATION CODE; see Appendix S for values
878 - 881	X(04)	AN	SETTLEMENT METHOD; see Appendix 14 for values
882 - 884	X(03)	AN	OPEN ITEM TYPE CODE; see Appendix 14 for values:
885 - 887	X(03)	AN	SECONDARY STATUS CODE; see Appendix 14 for values
888 - 888	X(01)	AN	SHORT SALE INDICATOR; values include: "C" = Cash order "N" = Next day "O" = Buy that is opposite a short sale "S" = Short and non-exempt

(cont.)

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
			“X” = Short and exempt “ <b>B</b> ” = Not a short sale
889 - 892	X(04)	AN	REPO CODE; see Appendix 14 for values
893 - 922	X(30)	AN	<i>Reserved for future information</i>
Additional Security Identifiers			
923 - 938	X(16)	AN	SECURITY SYMBOL
939 - 950	X(12)	AN	ISIN CODE
951 - 958	X(08)	AN	PRODUCT (ASSET) TYPE; see Appendix B for values
959 - 966	X(08)	AN	PRODUCT (ASSET) SUBTYPE; see Appendix B for values
967 - 974	X(08)	AN	PRODUCT (ASSET) SUB-SUBTYPE; see Appendix B for values
975 - 984	X(10)	AN	LIQUIDITY INDICATOR; literal values include: “Liquid” “Illiquid” “Small Mkt” “ <b>B</b> ” = Not applicable
985 - 1014	X(30)	AN	SECURITY DESCRIPTION LINE 1
1015 - 1044	X(30)	AN	<i>Reserved for future information</i>
Transaction Statistics			
1045 - 1062	9(13)v9(05)	N	ORIGINAL QUANTITY
1063 - 1063	X(01)	AN	Not Used
1064 - 1081	9(13)v9(05)	N	CURRENT QUANTITY
1082 - 1082	X(01)	AN	Not Used
1083 - 1100	9(16)v9(02)	N	ORIGINAL AMOUNT
1101 - 1101	X(01)	AN	Not Used
1102 - 1119	9(16)v9(02)	N	CURRENT AMOUNT
1120 - 1120	X(01)	AN	Not Used
1121 - 1138	9(09)v9(09)	N	PRICE; for FX transactions, price is equivalent to the FX rate
1139 - 1141	X(03)	AN	SETTLEMENT CURRENCY; see Appendix N for values
1142 - 1159	9(16)v9(02)	N	COMMISSION
1160 - 1160	X(01)	AN	Not Used
1161 - 1178	9(16)v9(02)	N	ACCRUED INTEREST
1179 - 1179	X(01)	AN	ACCRUED INTEREST SIGN; values include: “+” = Positive interest accrued “-” = Negative interest accrued
The following fields, through position 1414, apply to Global trades only			
1180 - 1197	9(16)v9(02)	N	PANEL OF TAKEOVERS AND MERGERS (PTM) FEE
1198 - 1198	X(01)	AN	Not Used
1199 - 1216	9(16)v9(02)	N	FINANCIAL TRANSACTION (FTT) TAX
1217 - 1217	X(01)	AN	Not Used
1218 - 1235	9(16)v9(02)	N	STOCK EXCHANGE FEE
1236 - 1236	X(01)	AN	Not Used

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
1237 - 1254	9(16)v9(02)	N	TRANSFER TAX
1255 - 1255	X(01)	AN	Not Used
1256 - 1273	9(16)v9(02)	N	TRANSFER STAMP
1274 - 1274	X(01)	AN	Not Used
1275 - 1292	9(16)v9(02)	N	MISCELLANEOUS STREETSIDE FEE
1293 - 1293	X(01)	AN	Not Used
1294 - 1311	9(16)v9(02)	N	MISCELLANEOUS CLIENT FEE
1312 - 1312	X(01)	AN	Not Used
1313 - 1330	9(16)v9(02)	N	FOREIGN TRADE FEE
1331 - 1331	X(01)	AN	Not Used
1332 - 1349	9(16)v9(02)	N	SALES CREDIT
1350 - 1350	X(01)	AN	Not Used
1351 - 1368	9(16)v9(02)	N	NET AMOUNT, in USDE
1369 - 1369	X(01)	AN	Not Used
1370 - 1387	9(16)v9(02)	N	MARK TO MARKET AMOUNT
1388 - 1388	X(01)	AN	MARK TO MARKET AMOUNT SIGN; values include: “+” = Positive amount “-” = Negative amount
1389 - 1406	9(16)v9(02)	N	EXPOSURE, in USDE
1407 - 1407	X(01)	AN	EXPOSURE SIGN, values include: “+” = Positive amount “-” = Negative amount
1408 - 1437	X(30)	AN	<i>Reserved for future information</i>
The following fields pertain to DTC Settlement			
1438 - 1441	X(04)	AN	BROKER
1442 - 1449	X(08)	AN	CORRESPONDENT FINANCIAL INSTITUTION ID
1450 - 1457	X(08)	AN	INSTITUTION DTC IDENTIFIER
1458 - 1465	X(08)	AN	AGENT DTC IDENTIFIER
1466 - 1473	X(08)	AN	THIRD PARTY BROKER ID
1474 - 1543	X(70)	AN	RECLAIM/REJECT COMMENTS, line 1
1544 - 1613	X(70)	AN	RECLAIM/REJECT COMMENTS, line 2
1614 - 1683	X(70)	AN	RECLAIM/REJECT COMMENTS, line 3
1684 - 1753	X(70)	AN	DELIVERY/RECEIVE COMMENTS, line 1
1754 - 1823	X(70)	AN	DELIVERY/RECEIVE COMMENTS, line 2
1824 - 1893	X(70)	AN	DELIVERY/RECEIVE COMMENTS, line 3
1894 - 1933	X(40)	AN	DTC OBLIGATION WAREHOUSE (OW) REF. NUMBER
1934 - 1941	X(08)	AN	IPO BROKER
1942 - 1945	X(04)	AN	DTC OW STATUS; see the following website for values: <a href="http://www.dtclearning.com">www.dtclearning.com</a>
1946 - 1948	X(03)	AN	DTC REASON CODE; see the following website for values: <a href="http://www.dtclearning.com">www.dtclearning.com</a>
1949 - 1968	X(20)	AN	<i>Reserved for future information</i>

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
The following fields pertain to the ID System			
1969 - 1971	X(03)	AN	AFFIRMATION STATUS CODE; values include: "AFC" = Affirmed Exempt by Contra "AFE" = Affirmed Exempt "AFF" = Affirmed "AFI" = Affirmed Ineligible "AFL" = Affirmed Late "AFN" = Affirmed IDNET "AFP" = Affirmed Pending "UAF" = Unaffirmed
1972 - 1979	9(08)	N	AFFIRMATION DATE, in CCYYMMDD format
1980 - 1980	X(01)	AN	DTC ID CONFIRM STATUS CODE; values include: "C" = Complete "P" = Pending "R" = Rejected "B" = Not applicable
1981 - 1981	X(01)	AN	DTC ID CANCEL STATUS CODE; values include: "C" = Complete "P" = Pending "R" = Rejected "B" = Not applicable
1982 - 1990	X(09)	AN	DTC CONTROL NUMBER
1991 - 2010	X(20)	AN	<i>Reserved for future information</i>
The following fields pertain to DK Charges			
2011 - 2011	X(01)	AN	DK CHARGE INDICATOR; values include: "Y" = Charge for DK "N" = No charge for DK or not applicable "A" = a chargeable status was received after depository cut-off time; will be charged tomorrow "B" = a non-chargeable status was received; item still in chargeable state before cut-off time; will be charged today "U" = Unknown; requires review by associate "B" = Not applicable
2012 - 2012	X(01)	AN	DK CHARGE TYPE; values include: "B" = Non-chargeable status was received; still in chargeable state before depository cut-off time; will be charged today "U" = Unknown; requires review by associate "B" = Not applicable
2013 - 2020	9(08)	N	DK CHARGE START DATE, in CCYYMMDD format
2021 - 2040	X(20)	AN	<i>Reserved for future information</i>

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
The following fields pertain to Institutional Regulatory information.			
2041 - 2048	9(08)	N	LAST RECLAIM DATE, in CCYYMMDD format
2049 - 2056	9(08)	N	15c-3 FILE DATE, in CCYYMMDD format
2057 - 2064	9(08)	N	15c-3 BUY-IN DUE DATE, in CCYYMMDD format
2065 - 2072	9(08)	N	REG-T SELLOUT DUE DATE, in CCYYMMDD format
2073 - 2080	9(08)	N	REG-T PAYMENT DUE DATE, in CCYYMMDD format
2081 - 2081	X(01)	AN	EXTENSION NUMBER
2082 - 2082	X(01)	AN	EOD WIRE INDICATOR; values include: "B" = End of reclaim day, if reclaimed item still open and Type A wire already sent; sent once, at end of reclaim date "D" = End of day when first time reclaim is received on or after sellout date; sent once, at end of reclaim date "E" = End of day (but before market close) when reclaim is received at least one business day after Type D sent; sent once, when reclaim is received "B" = Not applicable
2083 - 2083	X(01)	AN	EXCL. FROM REGULATORY PROCESSING; values include: "Y" = Yes; excluded "N" = No; not excluded "B" = Not applicable
2084 - 2093	X(10)	AN	BRANCH CRD
2094 - 2094	X(01)	AN	Not Used
2095 - 2106	X(12)	AN	REP CRD
2107 - 2107	X(01)	AN	Not Used
2108 - 2110	X(03)	AN	EXTENSION REASON CODE (FINRA); space is a valid value; see the following website for values: <a href="https://www.finra.org/">https://www.finra.org/</a>
2111 - 2113	X(03)	AN	<i>Reserved for future expansion</i>
2114 - 2114	X(01)	AN	CENTRAL SECURITIES DEPOSITORIES REGULATION (CSDR) ELIGIBILITY INDICATOR; values include: "Y" = Eligible "N" = Not eligible "B" = Not applicable
2115 - 2132	9(16)v9(02)	N	CSDR CLIENT FEE
2133 - 2133	X(01)	AN	CSDR CLIENT FEE SIGN; values include: "+" = Credit "-" = Debit "B" = Not applicable
2134 - 2136	X(03)	AN	CSDR FEE CURRENCY; see Appendix N for values
2137 - 2140	X(04)	AN	CSDR FEE DESCRIPTION; values include: "LMFP" = Late matching fail penalty "SEFP" = Settlement fee penalty "BBBB" = Not applicable
2141 - 2158	9(09)v9(09)	N	CSDR CLOSING PRICE

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
2159 - 2161	X(03)	AN	CSDR CLOSING PRICE CURRENCY; see Appendix N
2162 - 2165	X(04)	AN	CSDR CLOSING PRICE EXCHANGE
2166 - 2166	X(01)	AN	CSDR HOLD INDICATOR; determines if settlement is on hold or not; values include: “H” = On hold “ <b>B</b> ” = Not applicable
2167 - 2174	9(08)	N	CSDR BUY-IN DATE, in CCYYMMDD format
2175 - 2177	X(03)	AN	CSDR BUY-IN TIMEFRAME (DAYS); days to the buy-in
2178 - 2197	X(20)	AN	<i>Reserved for future information</i>
The following fields pertain to International Settlement information			
2198 - 2198	X(01)	AN	INTERNATIONAL SECURITY INDICATOR; values include: “1” = International security “2” = Canadian security “D” = Domestic security “ <b>B</b> ” = Not identified
2199 - 2199	X(01)	AN	INTERNATIONAL SETTLE CODE; indicates the fee for the method through which a foreign security settles; values include: “9” = Settles through Euroclear; Euroclear fee will be applied “A” - “Z” = Settles in a local foreign market; indicator will assess fee appropriate for the given market “ <b>B</b> ” = Settles through DTC; no additional fees apply
2200 - 2202	X(03)	AN	INTERNATIONAL SECONDARY STATUS CODE; unless it is changed to CXL (Cancel) or RDK (Reclaim)
2203 - 2207	X(05)	AN	EUROCLEAR ACCOUNT IDENTIFIER; values include: nnnnn = Euroclear Clearing Number, where “n” is a number “ <b>B B B B B</b> ” = Not Euroclear
2208 - 2212	X(05)	AN	EUROCLEAR COUNTERPARTY ACCOUNT ID
2213 - 2216	X(04)	AN	PARTIAL SETTLEMENT INDICATOR; values include: “NPAR” = No partial allowed “PARC” = Partial allowed for below minimum value “PARQ” = partial allowed for below minimum quantity “PART” = Partial allowed at minimum quantity and value “ <b>B B B B</b> ” = Not applicable
2217 - 2251	X(35)	AN	MARKET REFERENCE
2252 - 2252	X(01)	AN	PAYMENT FREE INDICATOR; values include: “Y” = Free payment “ <b>B</b> ” = Not free payment
2253 - 2256	9(04)	N	PRIORITY
2257 - 2257	X(01)	AN	EUROCLEAR DAYLIGHT INDICATOR; values include: “Y” = Yes; eligible for extended settlement cycle “N” = No; not eligible for extended settlement cycle “ <b>B</b> ” = Not applicable

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
2258 - 2277	X(20)	AN	PASSENGER ACCOUNT IDENTIFIER
2278 - 2289	X(12)	AN	DEPOSITORY RESPONSE STATUS; free-form description text
2290 - 2301	X(12)	AN	DEPOSITORY RESPONSE STATUS REASON TEXT
2302 - 2310	X(09)	AN	DEPOSITORY PAR ACCOUNT ID
2311 - 2360	X(50)	AN	COMMENT LINE1 TEXT
2361 - 2410	X(50)	AN	COMMENT LINE2 TEXT
2411 - 2442	X(32)	AN	DELIVERY INSTRUCTIONS, line 1
2443 - 2474	X(32)	AN	DELIVERY INSTRUCTIONS, line 2
2475 - 2506	X(32)	AN	DELIVERY INSTRUCTIONS, line 3
2507 - 2538	X(32)	AN	DELIVERY INSTRUCTIONS, line 4
2539 - 2570	X(32)	AN	DELIVERY INSTRUCTIONS, line 5
2571 - 2602	X(32)	AN	DELIVERY INSTRUCTIONS, line 6
2603 - 2606	X(04)	AN	SWIFT STATUS CODE 1
2607 - 2610	X(04)	AN	SWIFT REASON CODE 1
2611 - 2614	X(04)	AN	SWIFT STATUS CODE 2
2615 - 2618	X(04)	AN	SWIFT REASON CODE 2
2619 - 2622	X(04)	AN	SWIFT STATUS CODE 3
2623 - 2626	X(04)	AN	SWIFT REASON CODE 3
2627 - 2630	X(04)	AN	SWIFT STATUS CODE 4
2631 - 2634	X(04)	AN	SWIFT REASON CODE 4
2635 - 2714	X(80)	AN	DETAILED SWIFT STATUS; free-form text
2715 - 2723	X(09)	AN	DEPOT ACCOUNT NUMBER
2724 - 2732	X(09)	AN	CASH ACCOUNT NUMBER
2733 - 2744	X(12)	AN	ALIAS; SSI Override; Alias (international) in settlement status
2745 - 2745	X(01)	AN	STAMP DUTY CAPACITY; values include: "B" = Broker "C" = Client "b" = Not applicable
2746 - 2756	X(11)	AN	<i>Reserved for PERSHING LOCAL CLEARING AGENT BIC</i>
2757 - 2776	X(20)	AN	<i>Reserved for PERSHING LOCAL CLEARING AGENT CODE</i>
2777 - 2787	X(11)	AN	<i>Reserved for PERSHING BUY/SELL PARTY BIC</i>
2788 - 2822	X(35)	AN	<i>Reserved for PERSHING BUY/SELL PARTY ACCOUNT AT CUSTODIAN</i>
2823 - 2833	X(11)	AN	RECEIVE/DELIVER PARTY BIC
2834 - 2853	X(20)	AN	RECEIVE/DELIVER PARTY LOCAL CODE
2854 - 2888	X(35)	AN	BUY/SELL PARTY DESCRIPTION; first line of Title
2889 - 2899	X(11)	AN	PLACE OF SETTLEMENT BIC
2900 - 2903	X(04)	AN	RECEIVE/DELIVER PARTY LOCAL CODE IDENTIFIER
2904 - 2914	X(11)	AN	BUY/SELL PARTY BIC
2915 - 2949	X(35)	AN	BUY/SELL PARTY ACCOUNT AT CUSTODIAN
2950 - 2984	X(35)	AN	RECEIVE/DELIVER PARTY ACCOUNT NUMBER
2985 - 2995	X(11)	AN	RECEIVE/DELIVER CUSTODIAN BIC

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
2996 - 3030	X(35)	AN	RECEIVE/DELIVER CUSTODIAN ACCOUNT NUMBER
3031 - 3034	X(04)	AN	BUY/SELL PARTY LOCAL CODE IDENTIFIER
3035 - 3054	X(20)	AN	BUY/SELL PARTY LOCAL CODE
3055 - 3058	X(04)	AN	SPANISH REGISTRATION CODE (corresponds to registration/safekeeping account in settlement status)
3059 - 3108	X(50)	AN	SPANISH REGISTRATION NAME
3109 - 3143	X(35)	AN	SAFEKEEPING ACCOUNT (corresponds to registration/safekeeping account in settlement status)
3144 - 3146	X(03)	AN	ON EXCHANGE INDICATOR; values include: “OE <b>B</b> ” = Trade done on exchange “ <b>B B B</b> ” = Not applicable
3147 - 3150	X(04)	AN	SWIFT TRANSACTION TYPE; values include: “BYIY” = Buy-in Trade “CONV” = Conversion “PORT” = Portfolio transfer “TRAD” = Trade “ <b>B B B B</b> ” = Not applicable
3151 - 3170	X(20)	AN	<i>Reserved for future information</i>
The following fields relate to FED Settlement information			
3171 - 3178	9(08)	N	GOOD DELIVERY DATE, in CCYYMMDD format
3179 - 3196	9(13)v9(05)	AN	SEG MOVE QUANTITY
3197 - 3197	X(01)	AN	SEG MOVE QUANTITY SIGN; values include: “-” = Negative quantity “+” = Positive quantity “ <b>B</b> ” = Not applicable
3198 - 3206	X(09)	AN	ABA NUMBER
3207 - 3215	X(09)	AN	COUNTER PARTY RECEIVE TAX
3216 - 3255	X(40)	AN	3 <sup>rd</sup> PARTY ACCOUNT NUMBER
3256 - 3295	X(40)	AN	3 <sup>rd</sup> PARTY ACCOUNT NAME
3296 - 3335	X(40)	AN	4 <sup>th</sup> PARTY ACCOUNT NUMBER
3336 - 3375	X(40)	AN	4 <sup>th</sup> PARTY ACCOUNT NAME
3376 - 3383	X(08)	AN	CONTRA MPID
3384 - 3423	X(40)	AN	PARENT REFERENCE NUMBER
3424 - 3431	X(08)	AN	MBS EXECUTING BROKER
3432 - 3451	X(20)	AN	<i>Reserved for future information</i>
3452 - 3499	X(48)	AN	Not Used
3500 - 3500	X(01)	AN	Literally “X”; indicates the end of detail record B



# PERSHING STANDARD FILE LAYOUTS

## TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001 - 018	X(18)	AN	Literally "EOF <b>B B B B B</b> PERSHING <b>B</b> " (end of Pershing file)
019 - 036	X(18)	AN	For RD01: "GCL <b>B</b> SS <b>B</b> 01:00 <b>B</b> HR <b>B B B</b> " (runs 1:15 AM) For RD03: "GCL <b>B</b> SS <b>B</b> 03:00 <b>B</b> HR <b>B B B</b> " (runs 3:15 AM) For RD04: "GCL <b>B</b> SS <b>B</b> 04:00 <b>B</b> HR <b>B B B</b> " (runs 4:15 AM) For RD05: "GCL <b>B</b> SS <b>B</b> 05:00 <b>B</b> HR <b>B B B</b> " (runs 5:15 AM) For RD06: "GCL <b>B</b> SS <b>B</b> 06:00 <b>B</b> HR <b>B B B</b> " (runs 6:15 AM) For RD07: "GCL <b>B</b> SS <b>B</b> 07:00 <b>B</b> HR <b>B B B</b> " (runs 7:15 AM) For RD08: "GCL <b>B</b> SS <b>B</b> 08:00 <b>B</b> HR <b>B B B</b> " (runs 8:15 AM) For RD09: "GCL <b>B</b> SS <b>B</b> 09:00 <b>B</b> HR <b>B B B</b> " (runs 9:15 AM) For RD10: "GCL <b>B</b> SS <b>B</b> 10:00 <b>B</b> HR <b>B B B</b> " (runs 10:15 AM) For RD11: "GCL <b>B</b> SS <b>B</b> 11:00 <b>B</b> HR <b>B B B</b> " (runs 11:15 AM) For RD12: "GCL <b>B</b> SS <b>B</b> 12:00 <b>B</b> HR <b>B B B</b> " (runs 12:15 PM) For RD13: "GCL <b>B</b> SS <b>B</b> 13:00 <b>B</b> HR <b>B B B</b> " (runs 1:15 PM) For RD14: "GCL <b>B</b> SS <b>B</b> 14:00 <b>B</b> HR <b>B B B</b> " (runs 2:15 PM) For RD15: "GCL <b>B</b> SS <b>B</b> 15:00 <b>B</b> HR <b>B B B</b> " (runs 3:15 PM) For RD16: "GCL <b>B</b> SS <b>B</b> 16:00 <b>B</b> HR <b>B B B</b> " (runs 4:15 PM) For RD17: "GCL <b>B</b> SS <b>B</b> 17:00 <b>B</b> HR <b>B B B</b> " (runs 5:15 PM) For RD18: "GCL <b>B</b> SS <b>B</b> 18:00 <b>B</b> HR <b>B B B</b> " (runs 6:15 PM) For RD19: "GCL <b>B</b> SS <b>B</b> 19:00 <b>B</b> HR <b>B B B</b> " (runs 7:15 PM) For RD20: "GCL <b>B</b> SS <b>B</b> 20:00 <b>B</b> HR <b>B B B</b> " (runs 8:15 PM) For RD21: "GCL <b>B</b> SS <b>B</b> 21:00 <b>B</b> HR <b>B B B</b> " (runs 9:15 PM) For RD22: "GCL <b>B</b> SS <b>B</b> 22:00 <b>B</b> HR <b>B B B</b> " (runs 10:15 PM) For RD23: "GCL <b>B</b> SS <b>B</b> 23:00 <b>B</b> HR <b>B B B</b> " (runs 11:15 PM)
037 - 046	X(10)	AN	Literally " <b>B</b> DATA <b>B</b> OF <b>B B</b> "
047 - 056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057 - 067	X(11)	AN	Literally " <b>B</b> TO <b>B</b> REMOTE <b>B</b> "
068 - 071	X(04)	AN	REMOTE ID = "XXXX"
072 - 083	X(12)	AN	Literally " <b>B</b> ENDS <b>B</b> HERE <b>B</b> "
084 - 105	X(22)	AN	Literally "TOTAL <b>B</b> DETAIL <b>B</b> RECORDS: <b>B</b> "
106 - 115	9(10)	N	NUMBER OF DETAIL RECORDS
116 - 3499	X(3384)	AN	Not Used
3500 - 3500	X(01)	AN	Literally "Z"; indicates the end of the trailer record