

# PERSHING STANDARD FILE LAYOUTS

## FILE LAYOUT FOR: SUBSCRIBER CROSS-REFERENCE

### GENERAL INFORMATION

File Frequency: Daily  
 Record Size: 150 characters  
 Form Name: SBAC

### DESCRIPTION

The Subscriber Cross-Reference file (SBAC) is created after the close of business each day. This file provides the linkage between Subscriber/User ID and Account Number. A full file is created daily.

The symbol “**B**” is used on our standard files to represent spaces.

New, upcoming fields/records and changes to existing fields are highlighted in blue.

### FILE LAYOUT SPECIFICATIONS

#### HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally “BOF <b>B B B B B B</b> PERSHING <b>B</b> ” (beginning of Pershing file)
019-036	X(18)	AN	Literally “SBCR <b>B</b> ACCT <b>B</b> LIST <b>B B B B</b> ”
037-046	X(10)	AN	Literally “ <b>B</b> DATA <b>B</b> OF <b>B B</b> ”
047-056	X(10)	AN	DATE OF DATA = “MM/DD/CCYY”
057-067	X(11)	AN	Literally “ <b>B</b> TO <b>B</b> REMOTE <b>B</b> ”
068-071	X(04)	AN	REMOTE ID = “XXXX”
072-085	X(14)	AN	Literally “ <b>B</b> BEGINS <b>B</b> HERE <b>B B</b> ”
086-095	X(10)	AN	RUN DATE = “MM/DD/CCYY”
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = “HH:MM:SS”
105-118	X(14)	AN	Not Used
119-127	X(09)	AN	Literally “REFRESHED”
128-149	X(22)	AN	Not Used
150-150	X(01)	AN	Literally “A”; indicates the end of the header record

# PERSHING STANDARD FILE LAYOUTS

## DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001 - 002	X(02)	AN	TRANSACTION CODE = "SB"
003 - 003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004 - 011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001"
012 - 020	X(09)	AN	ACCOUNT NUMBER, including Office (3) and Base (6)
021 - 023	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
024 - 024	X(01)	AN	Not Used
025 - 039	X(15)	AN	SUBSCRIBER/USER ID
040 - 040	X(01)	AN	NETWORK CODE; value includes: "X" = NetXInvestor
041 - 048	X(08)	AN	Not Used
049 - 056	9(08)	N	CREATION DATESTAMP, in CCYYMMDD format
057 - 068	9(12)	N	CREATION TIMESTAMP, in HHMISSNNNNNN format
069 - 076	9(08)	N	UPDATE DATESTAMP, in CCYYMMDD format
077 - 088	9(12)	N	UPDATE TIMESTAMP, in HHMISSNNNNNN format
089 - 113	X(25)	AN	ACCOUNT NICKNAME; effective mid-December, 2016
114 - 128	X(15)	AN	LINKED ACCOUNT PROFILE NAME; context-sensitive profile of entitlements; for entitlements that affect only the Linked Account, above
129 - 149	X(21)	AN	Not Used
150 - 150	X(01)	AN	Literally "X"; indicates the end of record A

# PERSHING STANDARD FILE LAYOUTS

## TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001 - 018	X(18)	AN	Literally "EOF <b>B B B B B B</b> PERSHING <b>B</b> " (end of Pershing file)
019 - 036	X(18)	AN	Literally "SBCR <b>B</b> ACCT <b>B</b> LIST <b>B B B B</b> "
037 - 046	X(10)	AN	Literally " <b>B</b> DATA <b>B</b> OF <b>B B</b> "
047 - 056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057 - 067	X(11)	AN	Literally " <b>B</b> TO <b>B</b> REMOTE <b>B</b> "
068 - 071	X(04)	AN	REMOTE ID = "XXXX"
072 - 105	X(34)	AN	Literally " <b>B</b> ENDS <b>B</b> HERE <b>B</b> TOTAL <b>B</b> DETAIL <b>B</b> RECORDS: <b>B</b> "
106 - 115	9(10)	N	NUMBER OF DETAIL RECORDS
116 - 118	X(03)	AN	Not Used
119 - 127	X(09)	AN	Literally "REFRESHED"
128 - 149	X(22)	AN	Not Used
150 - 150	X(01)	AN	Literally "Z"; indicates the end of the trailer record