

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: SUBSCRIBER ENTITLEMENT PROFILE

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 150 characters
 Form Name: SPRO

DESCRIPTION

The Subscriber Entitlement Profile file (SPRO) is created after the close of business each day. This file provides the linkage between Subscriber/User ID and Profile. A full file is created daily.

The SPRO file can be configured only on the IBD level.

The symbol “**B**” is used on our standard files to represent spaces.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally “BOF B B B B B B PERSHING B ” (beginning of Pershing file)
019-036	X(18)	AN	Literally “SUBSCRIBER B PROFILE”
037-046	X(10)	AN	Literally “ B DATA B OF B B ”
047-056	X(10)	AN	DATE OF DATA = “MM/DD/CCYY”
057-067	X(11)	AN	Literally “ B TO B REMOTE B ”
068-071	X(04)	AN	REMOTE ID = “XXXX”
072-085	X(14)	AN	Literally “ B BEGINS B HERE B B ”
086-095	X(10)	AN	RUN DATE = “MM/DD/CCYY”
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = “HH:MM:SS”
105-118	X(14)	AN	Not Used
119-127	X(09)	AN	Literally “REFRESHED”
128-149	X(22)	AN	Not Used
150-150	X(01)	AN	Literally “A”; indicates the end of the header record

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DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "PR"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001"
012-014	X(03)	AN	INTRODUCING BROKER DEALER NUMBER
015-015	X(01)	AN	Not Used
016-030	X(15)	AN	PROFILE ID
031-031	X(01)	AN	Not Used
032-046	X(15)	AN	SUBSCRIBER ID
047-047	X(01)	AN	Not Used
048-050	X(03)	AN	PRODUCT DEVELOPMENT CODE; values include: "DLJ" = DLJ "IPT" = iPartner "NXC" = NetX Client "NXG" = NPPI entitlement "NXI" = NetX Investor "NXP" = NetX Pro/NetX360 "PCS" = CS Private Client Services "PIR" = Pershing Investment Research "RIA" = Registered investment advisor
051-149	X(99)	AN	Not Used
150-150	X(01)	AN	Literally "X"; indicates the end of record A

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF B B B B B B PERSHING B " (end of Pershing file)
019-036	X(18)	AN	Literally "SUBSCRIBER B PROFILE"
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-105	X(34)	AN	Literally " B ENDS B HERE. B TOTAL B DETAIL B RECORDS. B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-118	X(03)	AN	Not Used
119-127	X(09)	AN	Literally "REFRESHED"
128-149	X(22)	AN	Not Used
150-150	X(01)	AN	Literally "Z"; indicates the end of the trailer record