

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: USER DATA ENTITLEMENTS

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 250 characters
 Form Name: UDAI

DESCRIPTION:

The Pershing User Data Entitlements file (UDAI) contains User ID entitlements within the IBD/IP/Account hierarchy. User IDs are grouped by IBD/Office association.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF BBBBBB PERSHING b " (beginning of Pershing file)
019-036	X(18)	AN	Literally "UDAI b ENTITLEMENTS b "
037-046	X(10)	AN	Literally " b DATA b OF bb "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " b TO b REMOTE b "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " b BEGINS b HERE bb "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-249	X(145)	AN	Not Used
250-250	X(01)	AN	Literally "A"; indicates the end of the header record

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DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "ID"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-011	9(08)	N	RECORD ID SEQUENCE NUMBER; begins with "00000001"
012-019	X(08)	AN	PERSHING USER ID
020-023	X(04)	AN	FIRM ID; literally "0001"
024-027	X(04)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER; populated for SC, OF, AC, RS and RO entitlement types
028-031	X(04)	AN	SUB-IBD NUMBER (currently same as IBD); populated for SC, OF, AC, RS and RO entitlement types
032-034	X(03)	AN	OFFICE; populated for OF, AC, and RO entitlement types
035-038	X(04)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER; populated for AC, RS and RO entitlement types
039-047	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), Check Digit (1); populated for AC entitlement types
048-049	X(02)	AN	ENTITLEMENT CODE; values include: "SC" = IBD level access "OF" = Office level "AC" = Account level "RS" = IP by IBD "RO" = IP by office
050-050	X(01)	AN	For Pershing Internal Use Only
051-245	X(195)	AN	Not Used
246-249	X(04)	AN	For Pershing Internal Use Only
250-250	X(01)	AN	Literally "X"; indicates the end of the detail record

TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF B B B B B B PERSHING B " (end of Pershing file)
019-036	X(18)	AN	Literally "UDAI B ENTITLEMENTS B "
037-046	X(10)	AN	Literally " B DATA B OF B B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-083	X(12)	AN	Literally " B ENDS B HERE B "
084-105	X(22)	AN	Literally "TOTAL B DETAIL B RECORDS: B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-249	X(134)	AN	Not Used
250-250	X(01)	AN	Literally "Z"; indicates the end of the trailer record