

# PERSHING STANDARD FILE LAYOUTS

**FILE LAYOUT FOR: ACAM EXPANDED ACTIVITY For Bank Custody**

## GENERAL INFORMATION

File Frequency: Daily  
 Record Size: 250 characters  
 Form Name: ACTB

## DESCRIPTION

The ACAM Expanded Activity file (ACTB) is created daily after the history in the sweep products has been updated. The file reflects all of the activity that has occurred in various sweep products, both money funds and bank deposit products in the selected office(s) for individual investor accounts for the current day. This file contains header and trailer records that confirm the beginning and end of the file, the date it was created, and the file's total number of records.

ACTB will be made available after Pershing receives updated activity from our Bank Custody provider.

## FILE LAYOUT SPECIFICATIONS

### HEADER RECORD

| POSITION | PICTURE | TYPE | DESCRIPTION  |
|----------|---------|------|--|
| 001-018  | X(18)   | AN   | Literally "BOF <b>B B B B B B</b> PERSHING <b>B</b> " (beginning of Pershing file) |
| 019-036  | X(18)   | AN   | Literally "FD <b>B</b> ACT <b>B</b> BANK <b>B</b> CUSTDY"                          |
| 037-046  | X(10)   | AN   | Literally " <b>B</b> DATA <b>B</b> OF <b>B B</b> "                                 |
| 047-056  | X(10)   | AN   | DATE OF DATA = "MM/DD/CCYY"  |
| 057-067  | X(11)   | AN   | Literally " <b>B</b> TO <b>B</b> REMOTE <b>B</b> "                                 |
| 068-071  | X(04)   | AN   | Literally = "XXXX"   |
| 072-085  | X(14)   | AN   | Literally " <b>B</b> BEGINS <b>B</b> HERE <b>B</b> "                               |
| 086-095  | X(10)   | AN   | RUN DATE = "MM/DD/CCYY"  |
| 096-096  | X(01)   | AN   | Not Used   |
| 097-104  | X(08)   | AN   | RUN TIME = "HH:MM:SS"  |
| 105-249  | X(145)  | AN   | Not Used   |
| 250-250  | X(01)   | AN   | Literally "A"; indicates the end of the header record                              |

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## DETAIL RECORD A

| POSITION | PICTURE     | TYPE | DESCRIPTION  |
|----------|-------------|------|--|
| 001-002  | X(02)       | AN   | TRANSACTION CODE = "FD"  |
| 003-003  | X(01)       | AN   | RECORD INDICATOR VALUE = "A"   |
| 004-011  | 9(08)       | N    | RECORD ID SEQUENCE NUMBER; begins with "00000001"  |
| 012-020  | X(09)       | AN   | ACCOUNT NUMBER, including Office (3), Base Account (5), and Check Digit (1)  |
| 021-023  | X(03)       | AN   | CURRENCY CODE; see Appendix N, "Currency Codes"  |
| 024-029  | X(06)       | AN   | <i>Reserved</i>  |
| 030-032  | X(03)       | AN   | INTRODUCING BROKER DEALER (IBD) NUMBER   |
| 033-033  | X(01)       | AN   | Not Used   |
| 034-036  | X(03)       | AN   | INVESTMENT PROFESSIONAL (IP) NUMBER  |
| 037-037  | X(01)       | AN   | Not Used   |
| 038-046  | X(09)       | AN   | <i>Reserved for Introducing Firm</i>   |
| 047-050  | X(04)       | AN   | BOOKING ENTITY; legal Books & Records entity with BNY Mellon and its affiliates; values include:<br>"BNYM" = BNY Mellon, NA (Bank Custody)<br>"PLLC" = Pershing LLC (Brokerage)<br>"PSAL" = Pershing Australia (Brokerage)<br>"PSCL" = Pershing Canada (Brokerage)<br>"PSLL" = Pershing London (Brokerage)   |
| 051-054  | X(04)       | AN   | BOOKING ENTITY BUSINESS CODE; identifies the type of business in which a Booking Entity operates; values include:<br>"BKRG" = Brokerage<br>"BNKC" = Bank Custody   |
| 055-055  | X(01)       | AN   | Not Used   |
| 056-059  | X(04)       | AN   | FUND MNEMONIC; symbol used to identify a sweep money fund; consult with your new accounts department or operations for known sweep mnemonics used by your firm.  |
| 060-074  | X(15)       | AN   | ACCOUNT NUMBER AT FUND; for Shareholder Fund positions, this is the account number assigned by the fund and known to the fund. For Omnibus Products, it is not applicable; instead, a unique, internal Pershing-assigned identifier is used for these positions. For multi-bank FDIC insured bank deposit products, the Pershing brokerage account number is used. |
| 075-082  | X(08)       | AN   | FUND MANAGER   |
| 083-090  | 9(08)       | N    | DATE RECEIVED, in CCYYMMDD format  |
| 091-098  | 9(08)       | N    | AS OF DATE, in CCYYMMDD format   |
| 099-116  | 9(16)v9(02) | N    | AMOUNT OF TRANSACTION  |
| 117-117  | X(01)       | AN   | TRANSACTION AMOUNT SIGN; values include:<br>"+" = Purchase/deposit or dividend/interest transaction<br>"-" = Redemption/withdrawal transaction   |

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| POSITION | PICTURE | TYPE | DESCRIPTION  |
|----------|---------|------|--|
| 118-121  | X(04)   | AN   | ACTIVITY CODES; used only for funds that use DST as their transfer agent. This field will be blank for FDIC-insured sweep products and funds with transfer agents other than DST; see Appendix I, ACAM Codes |
| 122-151  | X(30)   | AN   | TRANSACTION DESCRIPTION, line 1  |
| 152-181  | X(30)   | AN   | TRANSACTION DESCRIPTION, line 2  |
| 182-190  | X(09)   | AN   | CUSIP  |
| 191-220  | X(30)   | AN   | FUND DESCRIPTION   |
| 221-249  | X(29)   | AN   | Not Used   |
| 250-250  | X(01)   | AN   | Literally "X"; indicates the end of the record detail  |

## TRAILER RECORD

| POSITION | PICTURE | TYPE | DESCRIPTION  |
|----------|---------|------|--|
| 001-018  | X(18)   | AN   | Literally "EOF <b>B B B B B B</b> PERSHING <b>B</b> " (end of Pershing file)                         |
| 019-036  | X(18)   | AN   | Literally "FD <b>B</b> ACT <b>B</b> BANK <b>B</b> CUSTDY"  |
| 037-046  | X(10)   | AN   | Literally " <b>B</b> DATA <b>B</b> OF <b>B B</b> "   |
| 047-056  | X(10)   | AN   | DATE OF DATA = "MM/DD/CCYY"  |
| 057-067  | X(11)   | AN   | Literally " <b>B</b> TO <b>B</b> REMOTE <b>B</b> "   |
| 068-071  | X(04)   | AN   | REMOTE ID = "XXXX"   |
| 072-105  | X(34)   | AN   | Literally " <b>B</b> ENDS <b>B</b> HERE. <b>B</b> TOTAL <b>B</b> DETAIL <b>B</b> RECORDS: <b>B</b> " |
| 106-115  | 9(10)   | N    | NUMBER OF DETAIL RECORDS   |
| 116-249  | X(134)  | AN   | Not Used   |
| 250-250  | X(01)   | AN   | Literally "Z"; indicates the end of the trailer record   |