

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: ACAM EXPANDED FUND BALANCES for Bank Custody

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 500 characters
 Form Name: FUNB

DESCRIPTION

The ACAM Expanded Fund Balance file (FUNB) is created daily after the update balances are received from Funds Transfer Agent and/or Fund Group. This file represents all of the balances held by various money funds in the selected office(s). This file contains header and trailer records that confirm the beginning and end of the file, the date it was created, and the file's total number of records.

FUNB will be made available after Pershing receives updated money fund positions from our Bank Custody provider.

The symbol “**B**” is used on our standard files to represent spaces.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally “BOF B B B B B B PERSHING B ” (beginning of Pershing file)
019-036	X(18)	AN	Literally “EXPANDED B FUND B BAL B ”
037-046	X(10)	AN	Literally “ B DATA B OF B B ”
047-056	X(10)	AN	DATE OF DATA = “MM/DD/CCYY”
057-067	X(11)	AN	Literally “ B TO B REMOTE B ”
068-071	X(04)	AN	REMOTE ID = “XXXX”
072-085	X(14)	AN	Literally “ B BEGINS B HERE B B ”
086-095	X(10)	AN	RUN DATE = “MM/DD/CCYY”
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = “HH:MM:SS”
105-499	X(395)	AN	Not Used
500-500	X(01)	AN	Literally “A”; indicates the end of the header record

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DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODE = "FB"
003-003	X(01)	AN	RECORD INDICATOR VALUE = "A"
004-011	9(08)	N	ID SEQUENCE NUMBER; begins with "00000001"
012-020	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), and Check Digit (1)
021-023	X(03)	AN	CURRENCY CODE; see Appendix N, "Currency Codes"
024-029	X(06)	AN	<i>Reserved</i>
030-032	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
033-033	X(01)	AN	Not Used
034-036	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
037-037	X(01)	AN	Not Used
038-046	X(09)	AN	<i>Reserved for Introducing Firm</i>
047-050	X(04)	AN	BOOKING ENTITY; legal Books & Records entity with BNY Mellon and its affiliates; values include: "BNYM" = BNY Mellon, NA (Bank Custody) "PLLC" = Pershing LLC (Brokerage) "PSAL" = Pershing Australia (Brokerage) "PSCL" = Pershing Canada (Brokerage) "PSLL" = Pershing London (Brokerage)
051-054	X(04)	AN	BOOKING ENTITY BUSINESS CODE; identifies the type of business in which a Booking Entity operates; values include: "BKR" = Brokerage "BNKC" = Bank Custody
055-055	X(01)	AN	Not Used
056-059	X(04)	AN	FUND MNEMONIC; symbol used to identify a sweep money fund; consult with your new accounts department or operations for known sweep mnemonics used by your firm.
060-074	X(15)	AN	ACCOUNT NUMBER AT FUND; for Shareholder Fund positions, this is the account number assigned by the fund and known to the fund. For Omnibus Products, it is not applicable; instead, a unique, internal Pershing-assigned identifier is used for these positions. For multi-bank FDIC insured bank deposit products, the Pershing brokerage account number is used.
075-082	X(08)	AN	FUND MANAGER
083-090	9(08)	N	LAST SWEEP DATE, in CCYYMMDD format
091-098	9(08)	N	LAST UPDATE DATE, in CCYYMMDD format
099-116	9(16)v9(02)	N	PRINCIPAL BALANCE
117-117	X(01)	AN	PRINCIPAL SIGN; values include: "+" = Positive amount "-" = Negative amount

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POSITION	PICTURE	TYPE	DESCRIPTION
118-135	9(16)v9(02)	N	ACCRUED DIVIDEND BALANCE
136-136	X(01)	AN	ACCRUED DIVIDEND SIGN; values include: “+” = Positive amount “-” = Negative amount
FIELDS FOR BANK CUSTODY			
137-154	9(16)v9(02)	N	PRINCIPAL BALANCE, PRINCIPAL PORTFOLIO
155-155	X(01)	AN	PRINCIPAL BALANCE, PRINCIPAL PORTFOLIO SIGN; values include: “+” = Positive amount “-” = Negative amount
156-173	9(16)v9(02)	N	ACCRUAL BALANCE, PRINCIPAL PORTFOLIO
174-174	X(01)	AN	ACCRUAL BALANCE, PRIN. PORT. SIGN; values include: “+” = Positive amount “-” = Negative amount
175-192	9(16)v9(02)	N	PRINCIPAL BALANCE, INCOME PORTFOLIO
193-193	X(01)	AN	PRINCIPAL BALANCE, INC. PORT. SIGN; values include: “+” = Positive amount “-” = Negative amount
194-211	9(16)v9(02)	N	ACCRUAL BALANCE, INCOME PORTFOLIO
212-212	X(01)	AN	ACCRUAL BALANCE, INC. PORT. SIGN; values include: “+” = Positive amount “-” = Negative amount
213-217	X(05)	AN	GROUP NUMBER; identifies Pershing as the dealer on shareholder money fund positions; space is a valid value
218-220	X(03)	AN	Not Used
221-221	X(01)	AN	OMNIBUS ACCOUNT INDICATOR; values include: “Y” = Omnibus “ B ” = Not Omnibus
222-222	X(01)	AN	SWEEP ACCOUNT INDICATOR; values include: “Y” = Sweep account “ b ” = Not a sweep account
223-223	X(01)	AN	MARGIN DEBIT AUTO SWEEP INDICATOR; values include: “Y” = Account Coded to Cover Margin Debt “N” or “ B ” = Account Not Coded to Cover Margin Debt
224-242	X(19)	AN	<i>Reserved for Pershing’s use</i>
243-251	X(09)	AN	CUSIP
252-253	9(02)	N	PRICING GROUP; two-digit code representing the group of the sweep product for distribution assistance.
254-254	X(01)	AN	<i>Reserved</i>
255-284	X(30)	AN	FUND DESCRIPTION
285-499	X(215)	AN	Not Used
500-500	X(01)	AN	Literally “X”; indicates the end of the detail record

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TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally “EOF B B B B B B PERSHING B ” (end of Pershing file)
019-036	X(18)	AN	Literally “EXPANDED B FUND B BAL B ”
037-046	X(10)	AN	Literally “ B DATA B OF B B ”
047-056	X(10)	AN	DATE OF DATA = “MM/DD/CCYY”
057-067	X(11)	AN	Literally “ B TO B REMOTE B ”
068-071	X(04)	AN	REMOTE ID = “XXXX”
072-105	X(34)	AN	Literally “ B ENDS B HERE B TOTAL B DETAIL B RECORDS: B ”
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-499	X(384)	AN	Not Used
500-500	X(01)	AN	Literally “Z”; indicates the end of the trailer record