

PERSHING STANDARD FILE LAYOUTS

FILE LAYOUT FOR: SUB-ACCOUNTS FOR BANK CUSTODY

GENERAL INFORMATION

File Frequency: Daily
 Record Size: 500 characters
 Form Name: SUBD (Update file)/SUBF (Refresh file)

DESCRIPTION

The Sub-Account for Bank Custody files (SUBD and SUBF) are created after the close of business batch cycle. The files contain the sub-accounts associated with Bank Custody accounts and their appropriate characteristics.

SUBD is created nightly and SUBF is refreshed nightly, weekly or monthly.

FILE LAYOUT SPECIFICATIONS

HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF B B B B B B PERSHING B " (beginning of Pershing file)
019-036	X(18)	AN	For SUBD: Literally "SUB B ACCOUNT B UPDATE" For SUBF: Literally "SUB B ACCOUNT B FULL B B "
037-046	X(10)	AN	Literally " B B DATA B OF B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	Literally = "XXXX"
072-085	X(14)	AN	Literally " B BEGINS B HERE B "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-499	X(395)	AN	Not Used
500-500	X(01)	AN	Literally "A"; indicates the end of the header record

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DETAIL RECORD A - ACCOUNT LEVEL INFORMATION

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODES; values include: “SU” = SUBD (Update file) “SF”= SUBF (Refresh file)
003-003	X(01)	AN	RECORD INDICATOR VALUE = “A”
004-011	9(08)	N	ID SEQUENCE NUMBER; begins with “00000001”
012-020	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), and Check Digit (1)
021-023	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
024-024	X(01)	AN	Not Used
025-027	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
028-028	X(01)	AN	Not Used
029-038	X(10)	AN	ACCOUNT SHORT NAME
039-040	X(02)	AN	Not Used
041-041	X(01)	AN	RECORD TRANSACTION CODE; values include: “X” or “ B ” = Unchanged record (spaces for SUBF) “A” = Added record “C” = Change to existing record
042-060	X(19)	AN	Not Used
061-061	X(01)	AN	PRINCIPAL/INCOME ACCOUNTING INDICATOR associated with this account; values include: “P” = Principal “I” = Income (TBA) “B” = Both Principal and Income, based on transaction indicator
062-064	X(03)	AN	Not Used
065-073	X(09)	AN	<i>Reserved for Introducing Firm</i>
074-077	X(04)	AN	<i>Reserved for BOOKING ENTITY; legal Books & Records entity with BNY Mellon and its affiliates; values include: “BNYM” = BNY Mellon, NA (Bank Custody) “PLLC” = Pershing LLC (Brokerage) “PSAL” = Pershing Australia (Brokerage) “PSCL” = Pershing Canada (Brokerage) “PSLL” = Pershing London (Brokerage)</i>
078-081	X(04)	AN	<i>Reserved for BOOKING ENTITY BUSINESS CODE; identifies the type of business in which a Booking Entity operates; values include: “BKRG” = Brokerage “BNKC” = Bank Custody</i>
082-083	X(02)	AN	Not Used
084-115	X(32)	AN	ACCOUNT REGISTRATION, Line 1
116-147	X(32)	AN	ACCOUNT REGISTRATION, Line 2
148-179	X(32)	AN	ACCOUNT REGISTRATION, Line 3

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POSITION	PICTURE	TYPE	DESCRIPTION
180-211	X(32)	AN	ACCOUNT REGISTRATION, Line 4
212-243	X(32)	AN	ACCOUNT REGISTRATION, Line 5
244-275	X(32)	AN	ACCOUNT REGISTRATION, Line 6
276-499	X(224)	AN	Not Used
500-500	X(01)	AN	Literally "X"; indicates the end of detail record A

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DETAIL RECORD B - SUB-ACCOUNT INFORMATION (MULTIPLE ITERATIONS, AS NEEDED)

POSITION	PICTURE	TYPE	DESCRIPTION
001-002	X(02)	AN	TRANSACTION CODES; values include: “SU” = SUBD (Update file) “SF”= SUBF (Refresh file)
003-003	X(01)	AN	RECORD INDICATOR VALUE = “B”
004-011	9(08)	N	ID SEQUENCE NUMBER; begins with “00000001”
012-020	X(09)	AN	ACCOUNT NUMBER, including Office (3), Base Account (5), and Check Digit (1)
021-023	X(03)	AN	INTRODUCING BROKER DEALER (IBD) NUMBER
024-024	X(01)	AN	Not Used
025-027	X(03)	AN	INVESTMENT PROFESSIONAL (IP) NUMBER
028-028	X(01)	AN	Not Used
029-038	X(10)	AN	ACCOUNT SHORT NAME
039-040	X(02)	AN	Not Used
041-041	X(01)	AN	RECORD TRANSACTION CODE; values include: “X” or “ B ” = Unchanged record (spaces for SUBF) “A” = Added record “C” = Change to existing record
042-045	9(04)	N	<i>Reserved for a secondary sequence number</i>
046-054	X(09)	AN	SUB-ACCOUNT UNIQUE IDENTIFIER
055-060	X(06)	AN	Not Used
061-061	X(01)	AN	PRINCIPAL/INCOME BALANCE TYPE INDICATOR associated with this sub-account; values include: “P” = Principal “I” = Income
062-064	X(03)	AN	CURRENCY CODE associated with this sub-account; see Appendix N, “Currency Codes”
065-070	X(06)	AN	<i>Reserved</i>
071-071	X(01)	AN	SUB-ACCOUNT STATUS; values include: “O” = Open “C” = Closed
072-079	9(08)	N	DATE SUB-ACCOUNT OPENED, in CCYYMMDD format
080-087	9(08)	N	DATE SUB-ACCOUNT CLOSED, in CCYYMMDD format
088-499	X(412)	AN	Not Used
500-500	X(01)	AN	Literally “X”; indicates the end of detail record B

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TRAILER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "EOF B B B B B B PERSHING B " (end of Pershing file)
019-036	X(18)	AN	For SUBD: Literally "SUB B ACCOUNT B UPDATE" For SUBF: Literally "SUB B ACCOUNT B FULL B B "
037-046	X(10)	AN	Literally " B B DATA B OF B "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " B TO B REMOTE B "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-105	X(34)	AN	Literally " B ENDS B HERE B TOTAL B DETAIL B RECORDS: B "
106-115	9(10)	N	NUMBER OF DETAIL RECORDS
116-499	X(384)	AN	Not Used
500-500	X(01)	AN	Literally "Z"; indicates the end of the trailer record