

PERSHING STANDARD FILE LAYOUTS

APPENDIX 7: PAR and MAXX KEY CODES

USED IN THE FOLLOWING STANDARD FILES: FT90, GACT/GAC1

PAR Key Codes

CODE	DEFINITION	DESCRIPTION
Interest - Money only transactions		
31	Margin Debit Interest	Depicted as debit (positive number on GACT) except when reversed.
32	Margin Credit Interest	Depicted as a credit (negative number on GACT), except when reversed.
37	Coupon Interest	Displayed as both debits (positive entries) and credits (negative entries). When Quantity field is populated, it is for information purposes only.
87	Corporate, Foreign, Government Interest	Displayed as both debits (positive entries) and credits (negative entries). When Quantity field is populated, it is for information purposes only.
Dividends - Money only transactions		
33	Dividend/NRD Adjustment	Displayed as both debits (positive entries) and credits (negative entries).
39	Cash Dividends	Displayed as both debits (positive entries) and credits (negative entries).
Cash Only Transactions		
30	Cash Deposit/Withdrawal	Non-security related cash-only entries. May on occasion contain manually entered transactions that may include dividends and interest. A deposit is represented by a negative amount and withdrawal is represented by a positive amount
* <i>Exception:</i> Key Code 30 with Source Codes CAL, RIR, WRI and TRI are memo entries.		
Quantity and Shares		
10	This code may contain quantities only, cash only, or both cash and quantities	
Memo Entries - the following should be bypassed		
11*, 20, 40, 50, 51, 52, 53, 56, 57, 59, 60, 63, 68, 69, 73, 74, 77, 78, 80, 90-95		
* <i>Exceptions:</i> Key Code 11 with Source Codes DRQ, RDK, ROC or TOA are not memo entries. Source Code ITS, regardless of the key code associated with it, should be bypassed.		

PERSHING STANDARD FILE LAYOUTS

USED IN THE FOLLOWING STANDARD FILE: GACT, GAC1

MAXX Key Codes

CODE	DESCRIPTION
0100	Cash, debit or credit
0105	Incoming Account Balance
0110	Mark to Market
0120	Debit Interest
0130	Credit Interest
0140	Dividend / NRA Adjustment
0150	Corporate, Foreign Government Interest
0160	Coupon Interest
0170	Cash Dividend
0180	Post Close General Ledger
0200	SMA Adjustment
0210	Trade Date Balance Adjustment
0300	Trade Date Trade
0310	Pending Trade
0320	Settlement Date Trade
0400	CUSIP Level Shares/Money
0401	CUSIP Level Shares/Money
0405	Incoming Account Position
0410	Firm Trading Commission Adjustment
0420	Stock Dividend
0430	Type Position Journal
0500	Street Side Shares
0510	Open Item Setup
0600	Segregation Memo
0610	Safekeeping Memo
0620	Non-Negotiable Memo
0630	Pending Transfer Memo
0640	Transfer Memo
0650	Legal Transfer Memo
0660	In-Transit Memo
0670	Voluntary Reorg Memo
0680	Networking Memo
0690	Stock Dividend Split
0700	Stock Split Charge
0710	FPL Memo
9999	Unknown Detail Transaction