

PERSHING STANDARD FILE LAYOUTS

APPENDIX H: CAPS PRODUCT CODES

The CAPS product codes are available to all of our customers. Because individual clients may have access to additional product codes, other codes may appear in the file. For a complete list of CAPS product codes, see the CAPS online system, Codes Maintenance Product Browse (CDPB).

USED IN THE FOLLOWING STANDARD FILES: CAPS/CAPT, CPSM, PRST

PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
12B1	12B1 DONE AWAY	12b-1 Done Away
529A	COLL EDU PLAN ADJ	College Education Plan Adjustment
529P	COLL EDU PLAN	College Education Plan
529T	529 TRAIL COMMISSION	529 Trail Commission
529X	TOTAL OF ALL FEES	Total of all Fees
A	TOTAL OF ALL FEES	Total of all Fees
A000	RETAIL ADVIS FEE	Retail Advisory Fee
A001	FINDERS FEES	Finders Fees
A003	INV CONSULT INVOICED	Inv Consult Invoiced
A005	RETAIL ADVISORY FEES	Retail Advisory Fees
A006	MANAGED SELECT	Managed Select
A007	GATEWAY ADVISORY FEE	Gateway Advisory Fee
A015	BROWN ADVISORY	Brown Advisory
A017	DERIV COLLARS/TRIPS	Derivative Collars/Trips
A019	RPS INVOICED A/C	RPS Invoiced Account
A020	ADVISOR SELECT	Advisor Select
A021	FUND SELECT	Fund Select
A022	CASH MGMT FEE-CORP	Cash Management Fee-Corporate
A023	REAL ESTATE PLACEMNT	Real Estate Placement
A025	PVT BANK REFERL FEES	Private Bank Referral Fees
A026	INVEST ADVIS FEE	Investment Advisory Fee
A028	PVT EQTY PLCMNT FEES	Private Equity Placement Fees
A029	FX/DIGITAL OPTIONS	FX/Digital Options
A030	AUCTION RATE PREFRRD	Auction Rate Preferred
A033	HEDGE FUND	Hedge Fund
A036	NON-QUAL DEF COMP	Non-Qualified Defined Compensation
A037	CASH MGMT FEE-OTHER	Cash Management Fee - Other
A038	VARYING FORWARDS	Varying Forwards
A042	EXCH FUND ALT-INVEST	Exchange Fund Alternate Investment
A043	PRIVATE BK SERV FEE	Private Bank Service Fee
A044	RESIDENTIAL LENDING	Residential Lending
A104	HEDGE FUND PLCMNT FEE	Hedge Fund Placement Fee

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PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
A108	GOV'T TRADING	Government Trading
A111	CROCI X-ALPHA DBLCI	Croci X-Alpha DBLCI
A127	HY TRADING	High Yield Trading
A129	CONVERTIBLE BONDS	Convertible Bonds
A132	MUNI BONDS RETAIL	Municipal Bonds Retail
A137	MUNI BOND 45 F 1	Municipal Bond 45 F 1
A138	MUNICIPAL BONDS	Municipal Bonds
A140	MUTUAL FUNDS	Mutual Funds
A142	AB INSURANCE	AB Insurance
A143	INSURANCE TRAIL	Insurance Trail
A145	12B1 HELD AWAY	12b-1 Held Away
A158	CERTIFICATE DEPOSIT	Certificate Deposit
A163	CORPORATE BONDS	Corporate Bonds
A165	COMMERCIAL PAPER	Commercial Paper
A182	GOV'T BOND 45 F 1	Government Bond 45 F 1
A196	DB GOVERNMENT BONDS	DB Government Bonds
A197	GOVERNMENT BONDS	Government Bonds
A199	TRADING-PREFERRED	Trading - Preferred Securities
A208	CORPORATE SYNDICATE	Corporate Syndicate
A209	SYNDICATE FEE PAYOUT	Syndicate Fee Payout
A529	529 PLANS	529 Plans
AABF	ASSET TRAIL FA	Asset Trail Fixed Annuity
AABV	ASSET TRAIL VA	Asset Trail Variable Annuity
AADJ	ADJUSTMENT	Adjustment
AADV	ADVANCES	Advances
AAFO	ANNUITY FIXED OR	Annuity Fixed OR
AALP	NET-LOAN-ADM FEE	Net Loan Administrative Fee
AAMP	ADV MANDATE PRICING	
AAMS	ASSET MGMT FEES	Asset Management Fees
AAPP	CON-PR PLC-ADM FEE	Convertible-Private Placement Administrative Fee
AARB	CON-RV BND-ADM FEE	Convertible-Revenue Bond Administrative Fee
AASP	SALES ASST PAYOUT	Sales Assistant Payout
AAUT	AUTO ALLOWANCE	Auto Allowance
AAVT	AUTO ALLOWANCE	Auto Allowance
ABAL	BALANCE FORWARD	Balance Forward
ABAN	BANKING RELATED FEES	Banking Related Fees
ABDC	BUSINESS DEV CORP	Business Development Corporation
ABDF	BONUS DUE FA	Bonus Due Fixed Annuity
ABDV	BONUS DUE VA	Bonus Due Variable Annuity
ABEQ	EQ-PR PLC-BKS FEES	Equity Private Placement Bks Fees
ABIL	SYNDICATE	Syndicate
ABLP	NET-LOAN-BKS FEE	Net Loan Bks Fee

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PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
ABND	BOND OVERRIDE	Bond Override
ABNP	PREFERRED ADV TRUST	Preferred Advisor Trust
ABNY	TRUST ACCOUNT	Trust Account
ABPP	CON-PR PLC-BKS FEE	Convertible-Private Placement Bks Fee
ABRB	CON-RV BND-BKS FEE	Convertible-Revenue Bond Bks Fee
ABVI	REFERRAL FEES-BVI	Referral Fees-BVI
ACAB	CABLE TV	Cable TV
ACBA	CBS - ACCT EXEC	CBS - Account Executive
ACBC	CBS - CORRESPONDENT	CBS - IBD
ACBG	CBG REFERRAL	CBG Referral
ACBM	CBS - MANAGER	CBS - Manager
ACDR	CDARS COMMISSIONS	CDARs Commission
ACED	CONTINUING ED	Continuing Education
ACEQ	EQ-CONSULTING	Equity Consulting
ACFG	CUS FD INV GR	Cus Fund Inv Gr
ACFO	CONDUIT-FEES	Conduit Fees
ACGB	CBG REFERRAL	CBG Referral
ACGL	CONSULTANT-FEES	Consultant Fees
ACHG	CHARGES	Charges
ACLP	NET-LOAN-CLSG FEE	Net Loan Closing Fee
ACLR	CLEARING	Clearing
ACLT	CONSULTING	Consulting
ACMB	COLLATERAL MTGE B SC	Collateral Mortgage B Sc
ACMR	COMMERCIAL MORTGAGE	Commercial Mortgage
ACOM	COMMISSIONS ADJ	Commissions Adjustment
ACON	CONTIN INVST FEE DA	Contin Investment Fee DA
ACPP	CON-PR PLC-CLSG FEE	Con-Pr Plc-Clsg Fee
ACRA	ALLIANCE C.RES -REP	Alliance C. Res - Rep
ACRC	ALLIANCE C.RES -FIRM	Alliance C. Res - Firm
ACRD	CREDIT ADJUSTMENT	Credit Adjustment
ACRE	ANNUITY CARE	Annuity Care
ACSM	CSAM MANAGED ACCOUNT	CSAM Managed Account
ACSP	CSAM PLACEMENT FEE	CSAM Placement Fee
ACSS	NET-SUB-COMM	Net Sub Commission
ACTF	CS TRUST FEES RSA 27	CS Trust Fees RSA 27
ACUR	CURRENCY TRANSACTION	Currency Transaction
ACUS	CUSTODY FEES	Custody Fees
ADAS	ANNUITY SYSTEMATICS	Annuity Systematics
ADBC	OTHER CHARGES	Other Charges
ADCF	DTH BEN CHG FA	Death Benefit Charge Fixed Annuity
ADCV	DTH BEN CHG VA	Death Benefit Charge Variable Annuity
ADDF	DUE DILIGENCE FEE	Due Diligence Fee

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PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
ADEA	DEAM INTL / INSTIT	DEAM International / Institutional
ADEP	DIRECT EQTY PARTNERS	Direct Equity Partners
ADER	OPTION/DERIVATIVES	Option/Derivatives
ADFE	IP ADVISORY FEE	IP Advisory Fee
ADFS	DIR FUND SYSTEMATICS	Direct Fund Systematics
ADHP	HARD DOLLAR CHECKS	Hard Dollar Checks
ADIR	DIRECT PURCHASE	Direct Purchase
ADIS	DISABILITY INSURANCE	Disability Insurance
ADIV	DIVIDEND CHARGE	Dividend Charge
ADMU	DESIGNATION- MUNI	Designation- Municipal
ADON	DONE AWAY	Done Away
ADPF	DIRECT PURCH	Direct Purchase
ADPV	DIRECT PURCH	Direct Purchase
ADSG	DESIGNATION CHECKS	Designation Checks
AE/O	ERRORS & OMISSIONS	Errors & Omissions
AECD	EQUITY COMM DUE	Equity Commission Due
AEQD	EQUITY DISCOUNTING	Equity Discounting
AERR	ERRORS	Errors
AFAC	FIXED ANNUITY COMM	Fixed Annuity Commission
AFAF	FOCUS ADVISORY FEE	Focus Advisory Fee
AFBA	BANK ADV-FEES	Bank Adv-Fees
AFBH	FBBS - CORR	FBBS - IBD
AFBM	FBBS - MANAGER	FBBS - Manager
AFBP	FBBS - REP	FBBS - Rep
AFCS	HEDGE FOCUS FEE	Hedge Focus Fee
AFEE	FEE ADJUSTMENT	Fee Adjustment
AFFO	FISCAL FEE OTHER SVC	Fiscal Fee Other Service
AFFS	FISCAL FEE PUB SALE	Fiscal Fee Public Sale
AFFT	FISCAL FEE TAX INCR	Fiscal Fee Tax Incr
AFIN	FINANCIAL ADVISORY	Financial Advisory
AFIX	FIXED COMPENSATION	Fixed Compensation
AFLC	FISCAL-LN CLSG FEE	Fiscal Loan Closing Fee
AFLP	NET-LOAN-FNDR FEE	Net-Loan Finders Fee
AFNC	FREE CREDITS NONCOMP	Free Credits Non-Compensation
AFNR	FINRA PASS THRU FEES	FINRA Pass-Thru Fees
AFPL	FINACIAL ***** PLANNING	(is that really a typo?)
AFPP	CON-PR PLC-FNDR FEE	Con-Private Placement Finders Fee
AFQT	FUNDQUEST MGD FEES	Fundquest Managed Fees
AFRB	CON-RV BND-FNDR FEE	Con-Revenue Bond Finders Fee
AFS5	FUTURE SCHOLAR 529	Future Scholar 529
AFSC	FISCAL-SLS CREDIT	Fiscal Sales Credit
AFSD	FISCAL UNDRGTG SD	Fiscal Underwriting SD

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PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
AFSF	FREELook CHG FA	Freelook Charge Fixed Annuity
AFSV	FREELook CHG VA	Freelook Charge Variable Annuity
AFTI	FISCAL FEE TAX INCR	Fiscal Fee Tax Incr
AFUN	WSW BUYOUT/EXCHANGE	WSW Buyout/Exchange
AFUP	FISCAL UNDRGTG PRVTPL	Fiscal Underwriting Private Placement
AFUR	FISCAL UNDRGTG RETAIL	Fiscal Underwriting Retail
AFWB	FWM BRANCH REFERRAL	FWM Branch Referral
AFWC	FWM CFO SERVICES	FWM CFO Services
AFWM	FRYE LEWIS	Frye Lewis
AFWP	FWM WLTH PLAN REF	FWM Wealth Plan Ref
AFWR	FAMILY WLTH MGT REF	Family Wealth Mgt Ref
AFXD	FXD INCOME INCENTIVE	Fixed Income Incentive
AGMY	MONEY MARKET YIELD	Money Market Yield
AGOP	GLOBAL OPPORTUNITY P	Global Opportunity P
AGPS	GLOBAL PORTFOLIO STR	Global Portfolio Str
AGSA	SELECT ACCOUNT	Select Account
AHDP	HARD DOLLAR PAYMENT	Hard Dollar Payment
AHFF	THIRD PART HED FD	Third Party Hedge Fund
AHIS	HOLT INVESTOR SERV	Holt Investor Service
AHLT	HEALTH INSURANCE	Health Insurance
AHRS	HOLT REVENUE SHARE	Holt Revenue Share
AHTH	HEALTH/BENEFITS	Health/Benefits
AIAM	ADVISOR MGD A/C LOND	Advisor Managed A/C London
AIBD	IBD REFERRAL	IBD Referral
AIBE	INV BANK EXTERNAL	Inv Bank External
AICF	INT SUR CHG FA	Int Sur Charge Fixed Annuity
AICV	INT SUR CHG VA	Int Sur Charge Variable Annuity
AIMC	FWM INV CONSULT SVCS	FWM Inv Consulting Services
AIMX	ANNUAL ACCOUNT FEE	Annual Account Fee
AINB	INVESTMENT BANKING	Investment Banking
AINN	INSURANCE	Insurance
AINT	INSTINET CHARGES	Instinet Charges
AINV	VARIABLE LIFE INS	Variable Life Insurance
AIVB	INST GRP INV BANKING	Inst Group Investment Banking
AJH5	JOHN HANCOCK 529	John Hancock 529
AKIN	401K INCOME	401k Income
ALAC	AIRCRAFT LENDING REF	Aircraft Lending Referral
ALAF	LIQUID ASST RES-FIRM	Liquid Asset Res - Firm
ALAR	LIQUID ASSET RES-REP	Liquid Asset Res - Rep
ALAT	ART LENDING REFERRAL	Art Lending Referral
ALFP	FULLY PD SECURITIES	Fully Paid Securities
ALGL	LEGAL TRANSFER FEES	Legal Transfer Fees

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PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
ALIA	LIFE INS ANNUITIES	Life Insurance Annuities
ALIC	LICENSE ADJUSTMENT	License Adjustment
ALIF	LIFE INSURANCE-AWAY	Life Insurance Away
ALIM	LIMOSINE SERVICE	Limousine Service
ALIN	ALTERNATIVE INVESTMT	Alternative Investment
ALIR	LIFE INSURANCE RENEW	Life Insurance Renew
ALIT	LIFE INSURANCE TRAIL	Life Insurance Trail
ALIV	LIFE INS - VARIABLE	Life Insurance - Variable
ALMF	ALLIANCE MUTUAL FUND	Alliance Mutual Fund
ALNC	MARGIN LENDG NONCOMP	Margin Lending - non-compensation
ALND	REG U LENDING	Reg U Lending
ALNY	LENDING NY BRANCH	Lending NY Branch
ALOC	LETTERS OF CREDIT	Letters of Credit
ALPL	REG U LENDING - PUR	Reg U Lending - Purchase
ALRU	REG U LENDING 2	Reg U Lending 2
ALTC	LONG TERM CARE	Long Term Care
ALTD	LIMITED PARTNERSHIPS	Limited Partnerships
ALTI	LTC INSURANCE	Long Term Care Insurance
ALTR	LTC RENEWAL	Long Term Care Renewal
ALTS	LENDING TERM SPREAD	Lending Term Spread
ALTV	ALTERNATIVE INVT COM	Alternative Investment Commission
ALVC	PAS CORE VALUE PORTF	PAS Core Value Portfolio
AM03	INVEST CONSULT MABS	Invest Consult MABS
AM04	CLIENT SELECT	Client Select
AM06	MGD SELECT MABS	Managed Select MABS
AM20	ADVISER SELECT	Adviser Select
AM21	FUND SELECT MABS	Fund Select MABS
AMAB	MANAGED ACCT BILLING	Managed Account Billing
AMAC	MULTI ASSET CLS SOL	Multi Asset Cls Sol
AMAN	ADVISOR MGD ACCTS	Advisor Managed Accounts
AMCD	ADVISOR MGD CANADA	Advisor Managed - Canada
AMED	MEDICAL INSURANCE	Medical Insurance
AMES	OVERNIGHT/MSG CHG	Overnight/Msg Charge
AMF	MUTUAL FUNDS	Mutual Funds
AMFC	12B-1 TRAIL CHECK	12b-1 Trail Check
AMFD	MUTUAL FDS DONE AWAY	Mutual Funds Done Away
AMFF	ASSET MGT FEES	Asset Management Fees
AMFR	MUTUAL FUND REVERSAL	Mutual Fund Reversal
AMGD	MANAGED MONEY	Managed Money
AMGN	MARGIN EXTENSION	Margin Extension
AMIC	MARGIN INCENTIVE CR	Margin Incentive Credit
AMMC	MORTGAGE COMMISSIONS	Mortgage Commissions

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PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
AMMF	MANAGEMENT FEE	Management Fee
AMNR	MANAGED ACCT NETWORK	Managed Account Network
AMON	MONEY FUND	Money Fund
AMSC	ADVMGD SWISS CUSTODY	Advisor Managed - Swiss Custody
AMSG	MSG EQUITY BLOCK FEE	Message Equity Block Fee
AMSP	ADVISOR MGD SPREAD	Advisor Managed - Spread
AMTG	MORTGAGE REVENUE	Mortgage Revenue
ANCD	NON FDIC INSURED CDS	Non FDIC Insured CDs
ANFF	NET COM CORR FA	Net Com IBD Fixed Annuity
ANFV	NET COM CORR VA	Net Com IBD Variable Annuity
ANGL	NGL TRAILER	NGL Trailer
ANNC	ANNUITY COMMISSIONS	Annuity Commissions
ANND	ANNUITIES DONE AWAY	Annuities Done Away
ANNI	ANNUITY COUNT INV	Annuity Count Inv
ANNT	ANNUITY TRAILS	Annuity Trails
ANNU	ANNUITIES FIXED	Annuities Fixed
ANNV	ANNUITIES VARIABLE	Annuities Variable
ANRF	NET COM REP	Net Commission - Account Executive
ANRV	NET COM REP	Net Commission - Account Executive
ANTD	NYB TERM DEPOSITS	NYB Term Deposits
ANTX	NET ACCESS CHARGE	Net Access Charge
AOFA	OFFSHORE FIX ANNUITY	Offshore Fix Annuity
AOHF	3 PTY SGL MGR HEDGE	Third Pty Sgl Manager Hedge
AOIN	OTHER INSURANCE	Other Insurance
AOIR	OTHR INSURANCE RENEW	Other Insurance Renew
AOMF	OFFSHORE MUTUAL FUND	Offshore Mutual Fund
AOTC	IB OTC DERIV(FEE)	
AOTH	OTHER	Other
AOVA	OFFSHORE VAR ANNUITY	Offshore Variable Annuity
AOVH	OFFICE OVERHEAD	Office Overhead
AOVR	OVERRIDE	Override
APAF	PROPRIETARY 12B-1	Proprietary 12b-1
APAS	PREF ADV INTERNAL	Preferred Adv Internal
APBC	REFERRAL RECEIVER	Referral Receiver
APBM	REFERRAL RECEIVER	Referral Receiver
APBR	PRIV BANK REFERRALS	Private Bank Referrals
APBS	PB REFERRAL 30%	Private Bank Referral 30%
APEB	PES BILLIANG ADJ	PES Billing Adjustment
APED	CSFB PRIV EQ	CSFB Private Equity
APEK	PEAK WRAP FEE	Peak Wrap Fee
APFF	PRIV EQUITY FEEDER	Private Equity Feeder
APHF	PFG HEDGE FD	PFG Hedge Fund

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PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
APHS	HEDGE FUND FDR PLACE	Hedge Fund Fdr Place
APIN	PREF ADV BRANDES	Preferred Adv Brandes
APLC	PRIVATE PLACEMENTS	Private Placements
APMF	PROPRIETARY FUNDS	Proprietary Funds
APNB	PRODUCT - NO BONUS	Product - No Bonus
APPF	PRINCIPAL PRESERV.	Principal Preservation.
APRD	PREF ADV OUTSIDE MGR	Preferred Adv Outside Mgr
APRE	PRIVATE EQ TRAILER	Private Equity Trailer
APRF	PREFFERED MUNI-DA	Preferred Muni-DA
APRI	INS. & PRIZES IN	Ins. & Prizes In
APRO	INS. & PRIZES OUT	Ins. & Prizes Out
APRR	PFG REAL EST	Pfg Real Estate
APRS	PREFFERED MUNI- DA	Preferred Muni- DA
APRT	INS. & PRIZES IN	Ins. & Prizes In
APRV	PRIVATE FUNDS GROUP	Private Funds Group
APSM	PREF ADV SMALL CO	Preferred Adv Small Co
ARCH	ARCHITECT WRAP FEE	Architect Wrap Fee
AREF	REFERRAL FEE WBAC	Referral Fee WBAC
AREG	REG D COMMISSIONS	Reg D Commissions
AREI	REIT	REIT
ARES	RESEARCH	Research
ARET	REIT TRAILERS	REIT Trailers
AREX	1031 REAL ESTATE EXC	1031 Real Estate Exc
ARFA	RENEWAL FA	Renewal FA
ARLE	REAL ESTATE TRAILER	Real Estate Trailer
ARML	RESIDENTIAL MORTGAGE	Residential Mortgage
ARMV	REMIT CHG	Remit Charge
ARMX	REMIT CHG	Remit Charge
ARPS	RETIREMENT PLANS	Retirement Plans
ARST	REGISTRATION FEES	Registration Fees
ARTL	BONDS RETAIL	Bonds Retail
ARVA	RENEWAL VA	Renewal Variable Annuity
ASCC	ANNUITY SERV CHG	Annuity Service Charge
ASCM	REFERRAL FEES-SCM	Referral Fees-SCM
ASCV	FULL SUR CHG VA	Full Sur Charge Variable Annuity
ASCX	FULL SUR CHG FA	Full Sur Charge Fixed Annuity
ASE5	SCHOLAR'S EDGE 529	Scholar's Edge 529
ASEQ	EQ-PR PLC-SLS CR	Equity Private Placement Sales Credit
ASFT	SOFT DOLLAR	Soft Dollar
ASHR	REVENUE SHARING	Revenue Sharing
ASIB	REFERRAL FEES-SIB	Referral Fees-Sib
ASLG	STOCK LENDING	Stock Lending

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PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
ASLP	NET-LOAN-SLS CR	Net Loan Sales Credit
ASON	SONIC CHARGES	Sonic Charges
ASOT	SOLICITATION & TENDE	Solicitation & Tender
ASPP	CON-PR PLC-SLS CR	Con-Private Placement Sales Credit
ASPR	PREF ADV SPREAD	Preferred Adv Spread
ASPS	ANNUITY COMMISSION	Annuity Commission
ASRB	CON-RV BND-SLS CR	Con-Revenue Bond Sales Credit
ASTA	STOCK COMMISSION	Stock Commission
ASTR	STRUCTURED PRODUCTS	Structured Products
ASTZ	STRUCTURE ZURICH	Structure Zurich
ASWA	SWAPS	Swaps
ASWC	SWISS CUSTODY CSAG	Swiss Custody CSAG
AT4K	401(K) FEE	401(k) Fee
AT69	MUTUAL FUND	Mutual Fund
AT70	NON-PROP MUT FD 12B1	Non-Prop Mutual Fund 12b1
AT85	PROP MUTUAL FD 12B1	Prop Mutual Fund 12b1
ATAD	ADVISOR PAID FEE	Advisor Paid Fee
ATAI	ALT INVEST REVENUE	Alternative Investment Revenue
ATAP	TAX ADV & BUS PLAN	Tax Adv & Bus Plan
ATAX	TAX SHELTER	Tax Shelter
ATBP	BASIS POINT FEE	Basis Point FEe
ATCA	CDSC ADVANCE FEE	CDSC Advance Fee
ATCL	TRAIL COMM-LIFE	Trail Commission -Life
ATCS	MUTUAL FUND SERVICE FEE	Mutual Fund Service Fee (end client)
ATCV	TRAIL COM	Trail Commission
ATCX	TRAIL COM	Trail Commission
ATDA	12B-1 DONE AWAY	12b-1 Done Away
ATFE	FRONT END LOAD	Front End Load
ATFF	FINDERS FEE	Finders Fee
ATFW	FINDER FEE WIRE COMMISSION	Finder Fee Wire Commission
ATGA	TACTICAL GLOBL ALLOC	Tactical Global Allocation
ATGS	TGA SPREAD	TGA Spread
ATHS	HEDGE FUND FDR TRAIL	Hedge Fund Fdr Trail
ATIL	LEVEL INDIRECT RETIREMENT PLAN COMPENSATION	Level Indirect Retirement Plan Compensation
ATLC	TRADE LOSS/CREDIT	Trade Loss/Credit
ATLF	LEVEL FEE-BASED RETIREMENT PLAN COMPENSATION	Level Fee-Based Retirement Plan Compensation
ATLI	TERM LIFE INSURANCE	Term Life Insurance
ATMF	12B-1 TRAILER COMMIS	12b-1 Trailer Commission
ATMG	MORTGAGE REVENUE	Mortgage Revenue

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PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
ATMI	MISCELLANEOUS FEE	Miscellaneous Fee
ATMM	MONEY MARKET 12B1S	Money Market 12b-1
ATNC	NEGOTIATED COMMISSION	Negotiated Commission
ATPP	PRE-PAID COMMISSION	Pre-paid Commission
ATPR	PROMOTIONAL FEE	Promotional Fee
ATRA	ALT INVEST REVERSAL	Alternative Investment Reversal
ATRD	REINVESTED DIVIDEND FEE	Reinvested Dividend Fee
ATRF	TRST REFERRAL FEE	Trust Referral Fee
ATRK	RECORD-KEEPING FEE	Record-Keeping Fee
ATRS	TREASURY FEE	Treasury Fee
ATST	TEST DATA	Test Data
ATSU	SUPPLEMENTAL FEE	Supplemental Fee
ATSV	SERVICE FEE	Service Fee
ATTC	TICKET CHARGE	Ticket Charge
ATWF	WRAP FEE COMMISSION	Wrap Fee Commission
AUIT	UNIT TRUST DONE AWAY	Unit Trust Done Away
AUOT	UNDERWRITING OTHER	Underwriting Other
AVAC	VARIABLE ANNUITY COM	Variable Annuity Commission
AVAN	EATON VANCE	Eaton Vance
AVLN	VOLARIS FEES (NEW)	Volaris Fees (New)
AVLP	VARIABLE LIFE PRODS	Variable Life Products
AVLS	VOLARIS SPECIAL FEE	Volaris Special Fee
AVOJ	VOLARIS SPECIAL JG	
AVOL	VOLARIS ADVISORY FEE	Volaris Advisory Fee
AVOT	ADVISORY SERVICES	Advisory Services
AVTP	VOLARIS SPECIAL THP	
AWAV	WAIVED FEES	Waived Fees
AWEX	LEG EX FD	Leg Ex Fund
AWHF	LEG HED FD &NEW HFIG	Leg Hedge Fund & New Hfig
AWLX	WEALTH TRANSFER	Wealth Transfer
AWMD	WEALTH MGNT FEES	Wealth Management Fees
AWOF	MISC WRITE OFF	Miscellaneous Write Off
AWST	WST MANAGEMENT FEES	WST Management Fees
AWSW	WOOD STRUTHER FEES	Wood Struthers Fees
AXCF	1035 EXCHGE FA	1035 Exchange Fixed Annuity
AXCV	1035 EXCHGE VA	1035 Exchange Variable Annuity
AXMF	NON-NETWORK 12B-1	Non-Network 12b-1
AYES	YLD ENHANCEMENT STRA	Yield Enhancement Strategy
AZER	ZERO COMMISSION	Zero Commission
B	TOTAL EMERGING MRKT	Total Emerging Market
BEUR	EM EURO BOND	EM Euro Bond
BLEQ	EM LOCAL EQUITY	EM Local Equity

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PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
BLFI	EM LOCAL F/I	EM Local F/I
BOPT	EM OPTIONS	EM Options
BRAD	EM BRADY BOND	EM Brady Bond
BREP	EM REPO'S	EM Repos
C	COMMODITY TOTAL	Commodity Total
CDSC	COLL DISC CHGE- CORR	Coll Disc Chge - IBD
CDSR	COLL DISC CHGE - REP	Coll Disc Chge - Rep
CIFI	CONTIN INVST FEE DW	Contin Invst Fee Dw
CMTA	CMTA	CMTA
COMM	COMMODITY	Commodity
CVOL	VOLARIS TRADING COMM	Volaris Trading Commission
D	DRAW DOWN TOTAL	Draw Down Total
DBIC	DBIC	
DESI	SYND DESIGNATIONS	Syndicate Designations
DPFF	DIR PURCHASE FA	Post-Sale Premium for a Fixed Annuity
DPFI	DIR PUR IMMEDIATE	Direct Purchase Immediate Annuity
DPFO	DIR PUR OFFSHORE	Direct Purchase Offshore Annuity
DPFV	DIR PURCHASE VA	Post-Sale Premium for a Variable Annuity
DPFX	DIR PUR INDEXED	Direct Purchase Indexed Annuity
DPNC	DEPOSIT SWP NON COMP	Deposit Sweep Non-Compensation
DPPC	DEP SWP PERSHING CHG	Deposit Sweep Pershing Charge
DPSW	DEPOSIT SWEEP	Deposit Sweep
DRAW	DRAW DOWN	Draw Down
E	EQUITY PRODUCT TOTAL	Equity Product Total
EAES	ADVANCED EXEC SVCS	Advanced Exec Services
EALI	LISTED ADR'S	Listed ADRs
EAMB	EQU AFT PRI 86 REB	Equity Aft Pri 86 Rebate
EAMV	EQU AFT PRI 86 REV	Equity Aft Pri 86 Revenue
EAOT	OTC ADR'S	OTC ADRs
EBTC	BLOCK OTC	Block OTC
ECSA	COM SHARE AGREEMENT	Commission Share Agreement
EDDR	DOMESTIC EQ DISC REV	Domestic Equity Disc Revenue
EDIR	EQUITY DERIVATIVES	Equity Derivatives
EDQR	DOM EQUITY PROD REV	Domestic Equity Prod Revenue
EDRB	DOM EQU PRIC REB	Domestic Equity Price Rebate
EDRV	DOM EQU PRIC REV	Domestic Equity Price Revenue
EFDR	FOREIGN EQ DISC REV	Foreign Equity Discount Revenue
EFGN	EQUITY FOREIGN	Equity Foreign
EFQR	FOREIGN EQ PROD REV	Foreign Equity Prod Revenue
EFRV	FOR EQU PRIC REV	For Equity Price Revenue
EFWM	FAMILY WEALTH MGMNT	Family Wealth Management
EGEI	GLOBAL EQUITIES	Global Equities

PERSHING STANDARD FILE LAYOUTS

PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
EIRS	INSTL EQUITIES - RSA	Institutional Equities - RSA
ELCR	EQUITY LINF CD REFER	Equity Line CD Referral
ELIS	LISTED EQUITY	Listed Equity
ELST	LISTED SPECIAL	Listed Special
EMPC	MINI PRIME CUST FEES	Mini Prime Customer Fees
EMRB	EQUITIES MIN 86 REB	Equities Min 86 Rebate
EMRV	EQUITIES MIN 86 REV	Equities Min 86 Revenue
EOPT	EQUITY/OPTION	Equity/Option
EOTC	OTC	OTC
EOTD	OTC DEALER	OTC Dealer
EOTH	EQUITY OTHER	Equity Other
EOTS	OTC SPECIAL	OTC Special
EPPR	PRIV PLACEMENT REV	
EQFP	FULLY PAID EQUITIES	Fully Paid Equities
EQLI	QUANT LISTED EQUITY	Quantitative Listed Equity
EQOT	QUANTITATIVE OTC	Quantitative OTC
EQRV	EQUITIES REVERSAL	Equities Reversal
EQXX	QUANTITATIVE OTHER	Quantitative Other
ERSA	IB RSA - GRID	IB RSA - Grid
ESYA	EQUITY SYNDICATE AWY	Equity Syndicate Away
ESYN	EQUITY SYNDICATE	Equity Syndicate
ETFS	EXCH TRADED FND	Exchange Traded Funds
EXER	OPTION EXERCISED	Option Exercised
F	FIXED INCOME TOTAL	Fixed Income Total
FACB	FNCL ADVSR CHRГ BACK	Financial Advisor Charge Back
FBLP	BANKERS LOAN PLCEMNT	Bankers Loan Placement
FC	CORP. BONDS TOTAL	Corporate Bonds Total
FCBF	CORP BOND FD	Corporate Bond Fund
FCCI	CORPORATE CD	Corporate CD
FCCL	CASH LONG CB	Cash Long CB
FCDA	CDARS	CDARs
FCHY	HIGH YIELD CBO'S	High Yield CBOs
FCIN	FIXED INCOME SPECIAL	Fixed Income Special
FCLB	LISTED BONDS	Listed Bonds
FCLC	CASH INTERMEDIATE GA	Cash Intermediate GA
FCLG	CASH LONG GAB	Cash Long GAB
FCNV	CONVERTIBLE BONDS	Convertible Bonds
FCOF	CORP SYNDICATE	Corporate Syndicate
FCOT	OTC BONDS	OTC Bonds
FCSA	SWAP LONG GAB	Swap Long GAB
FCSC	SWAP CORP GAB	Swap Corporate GAB
FCSG	SWAP INTERMEDIATE GA	Swap Intermediate GA

PERSHING STANDARD FILE LAYOUTS

PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
FCSI	SWAP INTERMEDIATE CB	Swap Intermediate CB
FCSL	SWAP LONG CB	Swap Long CB
FCSP	CORP STOCK PREFERRED	Corporate Stock Preferred
FCXX	CORPORATE BOND	Corporate Bond
FEQT	EQUITY WRAP	Equity Wrap
FG	GOVT. BONDS TOTAL	Government Bonds Total
FGAR	CASH VS FUTURES	Cash vs. Futures
FGBA	DOMESTIC BA'S	Domestic Bas
FGCB	DISC. PAPER C/G	Disc. Paper C/G
FGCD	DOMESTIC CD'S	Domestic CDs
FGCP	COMMERCIAL PAPER	Commercial Paper
FGDC	FOREIGN EXCH FUTURES	Foreign Exchange Futures
FGDD	GOVT WI LESS THAN 5	Government WI Less Than 5
FGDE	SWISS FRANCS	Swiss Francs
FGDJ	**** TEMPORARY REPOS	Temporary Repos
FGDK	GOVT WI MORE THAN 5	Government WI More Than 5
FGDS	T BOND FUTURES	T Bond Futures
FGDX	CANADIAN MONEY	Canadian Money
FGDY	YANKEE C/D	Yankee C/D
FGEC	EURODOLLAR C/D S	Eurodollar C/Ds
FGFA	FEDERAL AGENCIES	Federal Agencies
FGFI	GLOBAL FIXED	Global Fixed
FGGT	GNMA FUTURES	GNMA Futures
FGLB	LEVERAGED GOV'T BOND	Leveraged Government Bond
FGMA	REVERSE REPOS	Reverse Repos
FGMB	MTGE-BACKED TRADING	Mortgage-Backed Trading
FGMM	HEDGE VS MUNI	Hedge vs. Municipal
FGMY	**** MONEY MKT YIELD	Money Market Yield
FGOP	INT RATE OPTIONS	Interest Rate Options
FGRA	REPOS	Repos
FGSC	**** FEDERAL AGENCY	Federal Agency
FGTB	TREASURY BILLS	Treasury Bills
FGTF	T BILL FUTURE	T-Bill Future
FGTL	TREASURY BONDS	Treasury Bonds
FGTS	**** TREAS BD/NTS UN	Treasury Bond/Notes Un
FGTY	TREASURY NOTES	Treasury Notes
FGXX	GOVT BDS	Government Bonds
FI	INST FIXED INC TOTAL	Institutional Fixed Income Total
FIFI	INSTIT FIXED INCOME	Institutional Fixed Income
FIMM	FXD INC MIDDLE MKTS	Fixed Income Middle Markets
FIRP	INT RATE PRODUCT	Interest Rate Product
FIRV	FIXED INC REVERSAL	Fixed Income Reversal

PERSHING STANDARD FILE LAYOUTS

PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
FIXX	FIXED INCOME OTHER	Fixed Income Other
FM	MUNI. ISG J/V	Muni. ISG J/V
FMAD	NEG CO MGR SYN DESIA	Neg Co Mgr Syndicate Desia
FMAM	LT NEG SR MGR SYN	Long Term Neg Sr Mgr Syndicate
FMBD	NEG CO MGR SYN DESIB	Neg Co Manager Syndicate Desib
FMBM	ST NEG SR MGR SYN	Short Term Neg Senior Manager Syndicate
FMBS	MORTGAGE BACKED SEC	Mortgage Back Securities
FMCD	NEG CO MGR SYN DESC	Neg Co Manager Syndicate Desc
FMCL	CASH LONG MUNI BOND	Cash Long Municipal Bond
FMCN	LT COMP SYND PRESALE	Long Term Comp Syndicate Presale
FMCR	LT RISK-IN COMPETION	Long Term Risk-In Competition
FMCS	CASH SHORT MUNI BOND	Cash Short Municipal Bond
FMDD	LT SPECIAL DESIG	Long Term Special Designation
FMDS	DESIGNATED SALE	Designated Sale
FMFD	MUNI BOND FUND	Municipal Bond Fund
FMFW	MUTUAL FUND WRAP	Mutual Fund Wrap
FMGN	LT SL GRP DESIGNATED	Long Term Sl Grp Designated
FMGS	GROUP SALE	Group Sale
FMHN	ST COMP SYND PRESALE	Short Term Comp Syndicate Presale
FMIR	ST RISK-IN COMPETION	Short Term Risk-In Competition
FMJA	MUNI/ISG J/A	Muni/ISG J/A
FMKR	ST COMPET SYND RISK	Short Term Compet Syndicate Risk
FMLM	LT MGD SYN NEGOTIATE	Long Term Mgd Syndicate Negotiate
FMLN	LT MUNI BOND NON RIS	Long Term Municipal Bond Non Ris
FMLR	LT MUNI BOND RISK	Long Term Municipal Bond Risk
FMND	LT NEG SR MG SYN-DES	Long Term Neg Sr Mg Syndicate-Des
FMNF	MUNI NUVEEN FUND	Muni Nuveen Fund
FMNN	ST MUNI SYND NON RIS	Short Term Municipal Syndicate Non Risk
FMNR	ST MUNI SYND RISK	Short Term Municipal Syndicate Risk
FMPS	MUNI PREFERRED STOCK	Municipal Preferred Stock
FMRB	FIXED INC MIN 86 REB	Fixed Inc Min 86 Rebate
FMRN	ST SL GRP DESIGNATED	St Sl Grp Designated
FMRV	FIXED INC MIN 86 REV	Fixed Inc Min 86 Rev
FMSD	ST NEG SR MG SYN-DES	Short Term Neg Sr Mg Syndicate-Des
FMSM	ST MGD SYN NEGOTIATE	Short Term Mgd Syndicate Negotiate
FMSN	ST MUNI BOND NON RIS	Short Term Municipal Bond Non Risk
FMSR	ST MUNI BOND RISK	Short Term Municipal Bond Risk
FMSS	MUNICIPAL SYNDICATE	Municipal Syndicate
FMSW	SWAP MUNI BOND	Swap Municipal Bond
FMTA	MUNI TRUST ACCTS	Municipal Trust Accounts
FMTV	FIDELITY MTV	
FMXD	ST SPECIAL DESIG	Short Term Special Designation

PERSHING STANDARD FILE LAYOUTS

PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
FMXX	MUNICIPAL BOND	Municipal Bond
FMXY	LT BOND NON RISK	Long Term Bond Non Risk
FMYD	LT NEG CO MGR SYNDES	Long Term Neg Co Mgr Syndicate Des
FMYN	LT MUNI SYND NON RIS	Long Term Municipal Syndicate Non Risk
FMYR	LT MUNI SYNDICATE RI	Long Term Municipal Syndicate Risk
FMZD	ST NEG CO MG SYN-DES	Short Term Neg Co Mg Syndicate-Des
FOTD	FIXED INCOME OTC DER	Fixed Income OTC Derivatives
FP	FI METALS TOTALS	Fixed Income Metals Totals
FPMT	PRECIOUS METALS	Precious Metals
FSLP	SALES LOAN PLACEMENT	Sales Loan Placement
FSMF	FISERVE MGMT FEES	FiServe Management Fees
FSWP	FIXED INCOME SWAPS	Fixed Income Swaps
FSYA	FIXED INCOME SYN AWY	Fixed Income Syndicate Away
FSYN	FIXED INCOME SYND	Fixed Income Syndicate
FT	UNIT INVEST. TOTAL	Unit Investment Total
FTD	YANKEE CD'S	Yankee CDs
FTGS	****	****
FTT	****	****
FTUT	UNIT TRUSTS	Unit Trusts
FUTR	FUTURES	Futures
FXSC	FX SWISS COLONY	FX Swiss Colony
FXSP	FX SPREAD	FX Spread
GFEX	FOREIGN EXCHANGE	Foreign Exchange
I	TOTAL ISG/INT	Total ISG/Int
IDCI	DUAL CURR INVESTMENT	Dual Currency Investments
IDCN	DUAL CURRENCY NOTES	Dual Currency Notes
IEMS	EMG MKT ISG/I	Emerging Market ISG /I
IFFX	FIXED INCOME FOREX	Fixed Income Forex
IFGN	FGN SEC ISG/I	Foreign Security ISG /I
IFND	NON DEL FORWARDS	Non-Del Forwards
IFXF	FX FORWARDS	FX Forwards
IFXN	FX LINK STRUCT NOTE	FX Structured Notes
IFXO	FX OPTION	FX Options
IFXP	FX PERSHING	FX Pershing
IFXX	FGN EXC ISG/I	Foreign Exchange ISG /I
INDE	NON\$ EQ ISG/I	Non\$ Equity ISG /I
INDF	NON\$ FI ISG/I	Non\$ Fixed Income ISG /I
INDO	NON\$ OTH ISG/I	Non\$ Other ISG /I
INDS	NON\$ SYN ISG/I	Non\$ Syndicate ISG /I
INSF	INSURANCE FIRST YR	Insurance First Year
INSR	INSURANCE RENEWAL	Insurance Renewal
INSU	INSURANCE PRODUCTS	Insurance Products

PERSHING STANDARD FILE LAYOUTS

PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
IN01	DISABILITY REVS	Disability Reversals
IN02	DISABILITY COMM	Disability Commission
IN03	DISABILITY RNWL	Disability Renewal
IN04	FXD UNIV LIFE REVS	Fixed Universal Life Reversals
IN05	FXD UNIV LIFE COMM	Fixed Universal Life Commission
IN06	FXD UNIV LIFE RNWL	Fixed Universal Life Renewal
IN07	FXD WHOLE LIFE REVS	Fixed Whole Life Reversals
IN08	FXD WHOLE LIFE COMM	Fixed Whole Life Commission
IN09	FXD WHOLE LIFE RNWL	Fixed Whole Life Renewal
IN10	LONG TERM CARE REVS	Long Term Care Reversals
IN11	LONG TERM CARE COMM	Long Term Care Commission
IN12	LONG TERM CARE RNWL	Long Term Care Renewal
IN13	TERM LIFE INS REVS	Term Life Insurance Reversals
IN14	TERM LIFE COMM	Term Life Commission
IN15	TERM LIFE RNWL	Term Life Renewal
IN16	VAR UNIV LIFE REVS	Variable Universal Life Reversals
IN17	VAR UNIV LIFE COMM	Variable Universal Life Commission
IN18	VAR UNIV LIFE RNWL	Variable Universal Life Renewal
IN19	VAR WHOLE LIFE REVS	Variable Universal Whole Life Reversals
IN20	VAR WHOLE LIFE COMM	Variable Universal Whole Life Commission
IN21	VAR WHOLE LIFE RNWL	Variable Universal Whole Life Renewal
IN22	OTHER INS PROD REVS	Other Insurance Product Reversals
IN23	OTHER INS PROD COMM	Other Insurance Prod Commission
IN24	OTHER INS PROD RNWL	Other Insurance Prod Renewal
IRPO	REPURCH ISG/I	Repurchase ISG/I
ISFS	IS FLOW SALES	
ISHY	IS HIGH YIELD	
ISIG	IS INVESTMENT GRADE	
ISLL	IS LEVERAGED LOANS	
ISOT	INST SALES MIXED	
ISSP	IS SECURITIZED PROD	
ITRD	INTRADER	Intrader
KAA1	ASSET ACCT - REP	Asset Account - Rep
KAA2	ASSET ACCT - IBD	Asset Account - IBD
KMS1	MGD SOLUTION REP	Managed Solution - Rep
KMS2	MGD SOLUTION IBD	Managed Solution - IBD
KNHY	KB FI SYNDICATE - HY	
KNKS	KB FI SYNDICATE - IG	
KPSG	KB PREF SELLING GRP	
KRNO	KRONOS	Kronos
KSPF	KB EQ-SYN POT FIXED	
KSPJ	KB EQ-SYN POT JUMP	

PERSHING STANDARD FILE LAYOUTS

PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
KSS1	SEL SOLUTION REP	Sel Solution - Rep
KSS2	SEL SOLUTION IBD	Sel Solution - IBD
KUA1	UNIFIED - REP	Unified - Rep
KUA2	UNIFIED - IBD	Unified - IBD
LASE	LISTED ADJ ENTRIES	Listed Adjustment Entries
LBCB	LCNSD BNKR CHRG BACK	Licensed Banker Charge Back
LBFA	LB'S FIXED ANNUITY	LB's Fixed Annuity
LBFR	FIXED ANNUITY REFERR	Fixed Annuity Referral
LBIA	LB'S INDEX ANNUITY	LB's Index Annuity
LBIR	INDEX ANNUITY REFERR	Index Annuity Referral
LBWR	WEALTH TRANSFER REFF	Wealth Transfer Annuity
LBWT	LB'S WEALTH TRANSFER	LB's Wealth Transfer
LCDR	LB EQUITY LINK CD REFR	LB's Equity Link CD Referral
LCSB	CROSS SELL BONUS	Cross Sell Bonus
M529	OMNIBUS 529 PLAN	Omnibus 529 Plan
MABS	MANAGED ACCT BILLING	Managed Account Billing
MB&D	MGR BILL & DELIVER	Manager Bill & Deliver
MBDD	MB&D NEW DESIGNATION	MB&D New Designation
MFOT	MF OFFSHORE TRAILERS	Mutual Fund Offshore Trailers
MFRF	MF REFERRAL FEES	Mutual Fund Referral Fees
MFUN	NGL MUFD	NGL Mutual Fund
MFVF	REV SHARE FV FOCUS	
MFWP	FUND PORT SOLUTIONS	Fund Port Solutions
MGNP	MGN/PURP LOAN PART	Margin/Purpose Loan Participation
MSOF	MF REV SH OFFSHORE	
MSON	MF REV SH ONSHORE	
MUFD	MUTUAL FD TRADES	Mutual Fund Trades
MUNC	MUNI BOND 45 F 1	Municipal Bond 45 F 1
NDAQ	NASDAQ/OTC	NASDAQ/OTC
NPLP	NON PURO LOAN PART	Non Purpose Loan Participation
NRVF	NETTED COMM FA	Netted Commission for a Fixed Annuity Purchase
NRVI	NETTED COMM IMMEDIATE	Netted Commission Immediate Annuity
NRVO	NETTED COMM OFFSHORE	Netted Commission Offshore Annuity
NRVV	NETTED COMM VA	Netted Commission for a Variable Annuity Purchase
NRVX	NETTED COMM INDEXED	Netted Commission Indexed Annuity
O	OPTION PRODUCT TOTAL	Option Product Total
OAMB	OPT AFT PRI 86 REB	Option Aft Pri 86 Rebate
OAMV	OPT AFT PRI 86 REV	Option Aft Pri 86 Revenues
ODEO	DERIVATIVES - OVER	Derivatives - Over
ODEU	DERIVATIVES - UNDER	Derivatives - Under
ODRV	OPTIONS DISCOUNT REV	Options Discount Rev
OMRB	LIST OPT MIN 86 REB	List Opt Min 86 Rebate

PERSHING STANDARD FILE LAYOUTS

PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
OMRV	LIST OPT MIN 86 REV	List Opt Min 86 Rev
OOFL	OPTIONS ORDER FLOW	Options Order Flow
OPRB	LIST OPT PRIC REB	Listed Option Price Rebate
OPRV	LIST OPT PRIC REV	Listed Option Price Rev
OPTI	IB OPTIONS	
OPTN	OPTION	Option
OPTR	LIS OPTION PROD REV	Listed Option Prod Rev
OQRV	OPTIONS REVERSAL	Options Reversal
OSWP	EQUITY SWAPS	Equity Swaps
OTCA	OTC AGENCY	OTC Agency
OTCD	OTC DERIVATIVES	OTC Derivatives
OVER	FUND OVERALLOCATIONS	Fund Overallocations
PARV	PORT ARCH A/C SHR	Port Arch A/C Share
PATC	PORT ARCH C TRAIL	Port Arch C Trail
PATR	PORT ARCH A TRAIL	Port Arch A Trail
PPAS	PAS ADVISORY	PAS Advisory
PPMF	PRIVATE PORT MGMT	Private Port Management
PSCM	PS CUSTOM MANDATES	PS Custom Mandates
REBA	CLIENT REBATE ADJ	Client Rebate Adjustment
REPO	STRUCTURED REPO	Structured Repo
RETM	RET MAINTENANCE FEE	Retirement Maintenance Fee
RETT	RET TERMINATION FEE	Retirement Termination Fee
RMCH	IRA OR QRP MAINT FEE	IRA or QRP Maintenance Fee
RMVF	DIR PUR REV FA	Commission Reversal of a Fixed Annuity
RMVI	DIR PUR REV IMMEDIATE	Direct Purchase Reversal Immediate Annuity
RMVO	DIR PUR REV OFFSHORE	Direct Purchase Reversal Offshore Annuity
RMVV	DIR PUR REV VA	Commission Reversal of a Variable Annuity
RMVX	DIR PUR REV INDEXED	Direct Purchase Reversal Indexed Annuity
RPNT	RPM 12B-1 TRAILS	RPM 12b-1 Traile
RTER	IRA OR QRP TERM FEE	IRA or QRP Termination Fee
SACA	SELECT ASSET CASH/EQ	Select Asset Cash/Equity
SAEQ	SELECT ASSET EQ/OPT	Select Asset Equity/Option
SAFI	SELECT ASSET FXD INC	Select Asset Fixed Income
SAMF	SELECT ASSET MF/UIT	Select Asset MF/UIT
SDOL	SOFT DOLLAR TRADES	Soft Dollar Trades
SMRB	STR PROD MIN 86 REB	Structured Product Min 86 Rebate
SMRV	STR PROD MIN 86 REV	Structured Product Min 86 Rev
SOTC	IB OTC DERIVATIVES	
STRP	STRUCTURE PRODUCTS	Structured Products
SYRB	SYNDICATE PC86 REB	Syndicate PC86 Rebate
SYRV	SYNDICATE PC86 REV	Syndicate PC86 Rev
T529	529 PLAN 12B-1 TRAIL	529 Plan 12b-1 Trail

PERSHING STANDARD FILE LAYOUTS

PRODUCT CODE	PRODUCT as DISPLAYED on REPORTS	PRODUCT DESCRIPTION
TCXF	TRAIL COMM FA	Trail Commission Fixed Annuity
TCXI	TRAIL COMM IMMEDIATE	Trail Commission Immediate Annuity
TCXO	TRAIL COM OFFSHORE	Trail Commission Offshore Annuity
TCXV	TRAIL COMM VA	Trail Commission Variable Annuity
TCXX	TRAIL COMM INDEXED	Trail Commission Indexed Annuity
TRSC	DIR TRST - CORR	Direct Trust - IBD
TRSF	DIR TRST - MANAGER	Direct Trust - Manager
TRSR	DIR TRST - ACCT EXEC	Direct Trust - Account Executive
U	TOTAL UNDERWRITING	Total Underwriting
UF	TTL UNDER POT CREDIT	Total Under Pot Credit
UFAD	POT SYND ADR	Pot Syndicate ADR
UFCB	POT SYND CONVERT	Pot Syndicate Convert
UFEL	POT SYND LISTED	Pot Syndicate Listed
UFOT	POT SYND OTC	Pot Syndicate OTC
UFPR	POT SYND PEF	Pot Syndicate Preferred
UPRI	SYNDICATE 144A	Syndicate 144A
UT	TTL UNDER FREE STOCK	Total Under Free Stock
UTAD	FREE SYND ADR	Free Syndicate ADR
UTCB	FREE SYND CONVERT	Free Syndicate Convert
UTEL	FREE SYND LISTED	Free Syndicate Listed
UTOT	FREE SYND OTC	Free Syndicate OTC
UTPR	FREE SYND PEF	Free Syndicate Preferred
VMF1	VICTORY FD TRADES	Victory Fund Trades
VMF2	VICTORY FD 12B-1'S	Victory Fund 12b-1
XPRP	PROPRIETARY FUNDS	Proprietary Funds
Z204	NYSE ADJ ENTRIES	NYSE Adjustment Entries