

PERSHING STANDARD FILE LAYOUTS

APPENDIX I: ACAM CODES

USED IN THE FOLLOWING STANDARD FILES: ACTB, ACTV

CODE	INDICATOR	TYPE
100	+	Shares Purchased
101	+	Shares Purchased by Wire
111	+	Shares Purchased - ACH
112	+	Monthly ACH Deposit
113	+	Shares Purchased by Wire
115	+	Sweep Wire Purchase
116	+	Sweep Wire Purchase
121	+	Deposit
122	+	Wire Deposit
124	+	Shares
131	+	Shares Purchased from RIF
132	+	Shares Purchased
160	+	Shares Purchased - ACH
161	+	Periodic Purchase - ACH
167	+	Periodic Purchase - ACH
179	+	Purchase by Wire
181	+	Deposit from Fund
182	+	Dividend Reinvestment from Fund
184	+	Reinvest from Fund
185	+	Reinvest from Fund
187	+	Reinvest from Fund
188	+	Reinvest from Fund
190	+	Shares Purchased
192	+	Purchase by EFT
199	+	Shares Purchased NAV
200	+	Purchase Through Dealer
204	+	Purchase Through Fund
221	+	Purchase Through Dealer
240	+	Purchase Through Dealer
300	-	Purchase Cancellation
301	+	Shares Purchased Through Fund - CD
332	+	Shares Purchased Through Fund - CD
367	+	Check-o-Matic Purchase
400	+	Redemption Cancellation
401	+	Redemption Cancellation
420	+	Redemption Cancellation
429	+	Redemption Cancellation
900	-	Purchase Cancellation
901	-	Purchase Cancellation

PERSHING STANDARD FILE LAYOUTS

CODE	INDICATOR	TYPE
902	-	Purchase Cancellation
903	-	Purchase Cancellation
904	-	Purchase Cancellation
905	-	Purchase Cancellation
906	-	Purchase Cancellation
907	-	Purchase Cancellation
908	-	Purchase Cancellation
909	-	Purchase Cancellation
911	-	Cancel Monthly Purchase
914	-	Cancel Purchase - ACH
918	-	Cancel Purchase Through Fund Wire
920	-	Cancel Deposit
921	-	Deposit Cancellation
922	-	Cancel Deposit - Wire
926	-	Roth Ira Conversion Purchase Cancel
935	-	Cancel Exchange from Fund
938	-	Exchange Cancellation
939	-	Deposit Cancellation
963	-	Roth IRA Conv Purchase Cancel
968	-	Cancel ER Contribution
974	-	Contribution Cancellation
975	-	Cancel ER Contribution
976	-	Cancel ER Contribution
977	-	Contribution Cancel
978	-	Cancel EE Contribution
981	-	Cancel EE Rollover Contribution
982	-	Cancel ER Asset Transfer
983	-	Cancel EE Asset Transfer
988	-	Cancel Dividend Reinvestment
989	-	Cancel Dividend Reinvestment
1100	+	Income Reinvest
1101	+	Increase Dividend Payment
1102	-	Decrease Dividend Payment
1103	+	Interest Credit
1108	*	Administrative Fees
1116	+	Increase Interest Payment
1117	-	Decrease Interest Payment
1118	*	Interest Payment Decrease
1121	+	Income Reinvestment
1122	*	Decrease Dividend Payment
1203	+	Increase Capital Gain Payment
1204	-	Decrease Capital Gain Payment
1277	-	Voice Response Redemption

PERSHING STANDARD FILE LAYOUTS

CODE	INDICATOR	TYPE
1300	*	Cash Dividend Income
1303	*	Increase Dividend Payment
1304	*	Decrease Dividend Payment
1310	*	Income Dividend - ACH
1316	*	Increase Interest Payment
1317	*	Decrease Dividend Payment
1319	*	Income Dividend - ACH
1320	*	Income - Cash
1322	*	Income - Cash
1324	*	Income - Cash
1326	*	Income - Cash
1328	*	Income - Cash
1332	*	Cash Dividend Income
1334	*	Income Dividend - Cash
1350	*	Dividend Reinvestment to Fund
1370	*	Decrease Dividend Withholding
1384	*	Dividend Reinvestment to Fund
1387	*	Dividend Reinvestment Exchange to Fund
1388	*	Dividend Reinvestment Exchange to Fund
1389	*	Interest Reinvestment Exchange to Fund
1500	+	Cash Distribution Reversal
1501	+	Foreign Tax Adjustment Purchase
1504	+	Accrued Dividend Credit
1601	*	Dividend Withholding
1602	*	Roth Conversion December Withholding
1604	*	Roth Conversion December Withholding
1605	*	Roth Conversion December Withholding
1623	*	Fiduciary Withholding Decrease
1625	*	Fiduciary Withholding Increase
1626	+	Trans Assets Withholding Decrease
1627	*	Trans Assets Withholding Decrease
1634	+	Premature Distribution Withholding Decrease
1635	*	Premature Distribution Withholding Decrease
1646	+	Fiduciary Redemption-Death Withholding Decrease
1670	+	Decrease Dividend Withholding
1672	+	Decrease Short Term CG Withholding
1673	+	Decrease Redemption Withholding
1674	+	Decrease Interest Withholding
1675	*	Roth Conversion Increase Withholding
1680	+	Normal Distribution Withholding Decrease
1681	*	Normal Distribution Withholding Decrease
1700	*	Non-Resident Alien Tax
1701	*	Foreign Tax Adjustment Decrease

PERSHING STANDARD FILE LAYOUTS

CODE	INDICATOR	TYPE
1702	*	Foreign Tax Adjustment Decrease
1703	*	Foreign Tax Adjustment Increase
1704	*	Foreign Tax Adjustment Increase
2001	+	Payroll Deduction Purchase
2100	-	Shares Redeemed
2101	-	Expedited Check Redemption
2103	-	Forfeitures Distributed
2104	-	Fiduciary Administration Fee
2107	-	Change - Average Balance Under \$500
2108	-	Expedited Check Redemption
2109	-	Withdrawal Payment
2110	-	Shares Redeemed-ACH
2111	-	Shares Redeemed by Wire
2112	-	Custodial Administration Fee
2118	-	Shares Redeemed - ACH Distribution
2120	-	Automatic Redemption
2121	-	Withdrawal
2122	-	ACH -Withdrawal
2123	-	Withdrawal
2129	-	One Time Fiduciary Fee
2139	-	Redemption Fee
2140	-	Service Fee
2142	-	Annual Maintenance Fee
2144	-	Fiduciary Administration Fee
2145	-	Service Charge
2146	-	Premium Liquidation
2147	-	Minimum Balance Charge
2149	-	Card Maintenance Fee
2151	-	Fiduciary Withholding
2153	-	System Premium Exempt Distribution Withholding
2157	-	Insurance Premium Paid
2158	-	Deferred Sales Charge
2161	-	Pre-Exempt Distribution Withholding
2162	-	Premature Exempt Distribution
2166	-	Taxable Withdrawal
2167	-	Monthly Withdrawal
2168	-	Withdrawal Payment - ACH
2169	-	Withdrawal Payment
2174	-	Increase Dividend Withholding
2179	-	Redemption
2180	-	Roth IRA Conversion Withholding
2190	-	Transfer of Assets Withholding
2192	-	Premature Distribution Withholding

PERSHING STANDARD FILE LAYOUTS

CODE	INDICATOR	TYPE
2194	-	Disability Withholding
2195	-	Fiduciary Redemption - Death Withholding
2197	-	Normal Distribution Withholding
2200	-	Redemption Thru Dealer
2400	-	Check Writing Redemption
2406	-	Expedited Redemption
2407	-	Same-Day Wire Redemption
2408	-	Shares Redeemed by Wire
2411	-	Sweep Wire Redemption
2412	-	Sweep Same Day Wire Fed
2420	-	Check Withdrawal
2421	-	Expedited Withdrawal
2422	-	Wire Withdrawal
2500	-	Transfer of Assets
2509	-	Premature Distribution
2511	-	Redemption Due to Disability
2512	-	Redemption Due to Death
2513	-	System Premium Exempt Distribution
2514	-	System Death Distribution
2515	-	Normal Distribution
2516	-	Excess Contribution Return
2523	-	Contribution Return-Earnings
2525	-	Transfer of Assets
2526	-	Direct Rollover to IRA Wire
2527	-	Direct Rollover IRA Death - Wire
2529	-	Premature Distribution
2532	-	Redemption Due to Death
2535	-	Normal Distribution
2536	-	Excess Contribution Return
2544	-	Contribution Return - Earnings
2545	-	Roth Ira Conversion Distribution
2549	-	Contribution Return - Earnings
2564	-	Pre-Exempt Distribution
2565	-	Pre-Exempt Distribution - Wire
2587	-	Transfer of Assets
2596	-	Recharacterization Redeem
2600	*	Fiduciary Set-Up Fee Received
2601	*	Fiduciary Administration Fee Received
2604	*	One-Time Fiduciary Fee
2605	*	One-Time New Account Fee
2606	*	Annual Maintenance Fee Received
2608	*	One-Time Setup Fee / Fiduciary
2651	*	Cancel Fiduciary Set-Up Fee

PERSHING STANDARD FILE LAYOUTS

CODE	INDICATOR	TYPE
2652	*	Cancel Fiduciary Administration Fee
2654	*	Cancel New Account Fee
2655	*	Cancel Annual Maintenance Fee
2656	*	Cancel Annual Maintenance Fee
2701	-	VISA Card Redemption
2702	-	VISA Cash Advance
2703	-	VISA Check Redemption
2704	-	VISA Card Access Fee
2720	-	VISA Resub Check
2721	-	Expedited Withdrawal
2724	-	VISA ATM Cash Advance
2725	-	VISA/Point Of Sale Cash Advance
2726	-	VISA Bank Cash Advance
2740	-	VISA Stop Payment Fee
2742	-	VISA ATM/Point of Sale Cash Adv Fee
2744	-	VISA Unpaid Check
2745	-	VISA Sales Draft Copy Fee
2746	-	VISA Statement Copy Fee
2747	-	VISA Check Research Fee
2748	-	VISA Unpaid Sales Draft Fee
2749	-	VISA Card Fee
2752	-	VISA Card Redemption
2762	-	VISA Merchant Sales Draft
2766	-	Federal Express Check Fee
2768	-	VISA Check
2801	+	VISA Card Credit
2820	+	VISA Loc Advance
2822	+	VISA Merchant Credit
2825	+	VISA Merchant Debit Reversal
2826	+	VISA Fee Reversal
2827	+	VISA Cash Advance Reversal
2828	+	VISA Check Reversal
2900	+	Redemption Cancellation
2901	+	Redemption Cancellation
2902	+	Redemption Cancellation
2903	+	Redemption Cancellation
2904	+	Redemption Cancellation
2905	+	Redemption Cancellation
2906	+	Redemption Cancellation
2907	+	Redemption Cancellation
2908	+	Redemption Cancellation
2909	+	Redemption Cancellation
2910	+	Withdrawal Cancellation

PERSHING STANDARD FILE LAYOUTS

CODE	INDICATOR	TYPE
2911	+	Cancel Normal Distribution
2912	+	Cancel Fiduciary Fee
2913	+	Cancel Fee Redemption
2914	+	Cancel Exchange Fee Redemption
2916	+	Cancel Debit Card Fee
2917	+	Cancel Monthly Redemption
2918	+	Cancel Monthly Redemption
2920	+	Cancel Wire Redemption
2921	+	Redemption Cancellation
2922	+	Redemption Cancellation
2923	+	Redemption Cancellation
2924	+	Redemption Cancellation
2925	+	Redemption Cancellation
2927	+	Cancel Bank Card Debit
2940	+	Exchange Cancellation
2941	+	Exchange Cancellation
2942	+	Cancel Normal Distribution - Wire
2952	+	Roth Conversion Distribution Cancel
2954	+	Roth Conversion Distribution Cancel
2958	+	Cancel Deferred Sales Change
2960	+	Cancel Transfer of Assets
2969	+	Cancel Premature Distribution
2975	+	Cancel Normal Distribution
2980	+	Redemption Cancellation
2985	+	Cancel One Time Fiduciary Fee
2986	+	Cancel Asset Trans - Wire
3100	-	Certificate Issued
3200	+	Certificated Deposit
3300	-	Transfer to
3400	-	Transfer to
3405	+	Stock Split Adjusted
3407	+	Escheatment
3417	*	Unissued Shares to Street
3500	+	Transfer to
3503	+	Transfer to
3600	+	Transfer to
3608	+	Unissued Share from
3610	+	Shares Purchased
3700	+	Exchange from Fund
3800	+	Exchange from Fund
3801	+	Phone Exchange from Fund
3802	+	Exchange from Fund
3803	+	Deposit from Fund

PERSHING STANDARD FILE LAYOUTS

CODE	INDICATOR	TYPE
3804	+	Deposit from Fund
3805	+	Exchange Purchase
3809	+	Roth IRA Conversion Purchase
3810	+	Exchange from Fund
3811	+	Exchange from Fund
3821	+	Exchange from Fund
3831	+	Participant Contribution
3832	+	Participant Contribution
3852	+	Exchange from Fund
3854	+	Exchange from Fund
3855	+	Exchange from Fund
3900	-	Exchange from Fund
4000	-	Exchange from Fund
4001	-	Telephone Exchange to Fund
4003	-	Conversion to Vista
4004	-	Withdrawal to Fund
4005	-	Withdrawal to Fund
4009	-	Roth IRA Conversion Distribution
4010	-	Exchange to Fund
4021	-	System Exchange Normal Distribution
4023	-	System Exchange Premature Exemption
4031	-	Shares Redeemed
4052	-	Exchange to Fund
4053	-	Exchange to Fund
4055	-	Exchange to Fund
4062	-	Exchange to Fund
4063	-	Exchange to Fund
4065	-	Exchange to Fund
5500	-	Reinvestment Distribution Reversal
5501	-	Income Dividend Adjustment
5502	-	Income Dividend Adjustment
5503	-	Income Dividend Adjustment
5504	*	Foreign Tax Adjustment Redemption
5505	-	Foreign Tax Adjustment Remit
5506	-	Negative Dividend Adjustment
5510	-	Income Dividend Adjustment
6902	+	Short Term Capital Gain Adjustment
6910	+	Increase Short Term Capital Gain
7101	+	Employer Contribution
7102	+	Employer Contribution
7103	+	Participant Contribution
7104	+	Participant Contribution
7105	+	Employer Asset Transfer

PERSHING STANDARD FILE LAYOUTS

CODE	INDICATOR	TYPE
7106	+	Employer Asset Transfer
7107	+	Salary Reduction Contribution
7113	+	Participant Rollover
7115	+	Participant Rollover - NAV
7123	+	Participant Contribution
7129	+	Employer Contribution
7130	+	Participant Contribution
7184	+	Current Year Contribution from Fund
7301	+	Employee Contribution - CD
7302	+	Employee Contribution - CD
7303	+	Participant Contribution - CD
7304	+	Participant Contribution - CD
7306	+	Employee Asset Transfer - CD
7307	+	Salary Reduction Contribution
7308	+	Salary Reduction Contribution
7309	+	Employee Asset Transfer - NAV
7313	+	Employee Rollover - CD
7336	+	Rollover Contribution
7384	+	Roth IRA Conversion Purchase
7509	+	Employer Contribution - NAV
7609	+	Employer Contribution - NAV
7709	+	Participant Contribution - NAV
7777	*	Link / Delink from Brokerage Account
7809	+	Participant Contribution - NAV
7909	+	Salary Reduction Contribution
7911	+	Salary Reduction Contribution
9998	+	Shares Purchased
9999	-	Shares Redeemed

NOTE: “+” or “-” indicates an increase or decrease to the money fund balance. “*” indicates a memo entry that does not affect the customer’s money fund balance.