

# PERSHING STANDARD FILE LAYOUTS

**FILE LAYOUT FOR:** Intraday-Global Trades

## GENERAL INFORMATION

File Frequency: Daily  
 Record Size: 1250 Characters  
 Form Name: GTDE (Intraday)

## DESCRIPTION

Intraday Trade Date Trade (GTDE) messages are modeled after the batch GTDE file. The GTDE messages are generated from Pershing's daily PNBK process. The GTDE messages contain all data that is pertinent to a trade, including customer and security information, as well as all monies and dates relevant to the trade.

Intraday messages are sent between 5:30 A.M. and 8:30 P.M. Monday through Friday. Intraday messages are sent from 5:30 A.M. to 5:30 P.M. on Saturday. Messages are not sent on Sunday.

## HEADER RECORD

POSITION	PICTURE	TYPE	DESCRIPTION
001-018	X(18)	AN	Literally "BOF <b>B B B B B B B</b> PERSHING <b>B</b> " (beginning of Pershing file)
019-036	X(18)	AN	Literally "GLBL/DOMESTIC <b>B</b> TRDS"
037-046	X(10)	AN	Literally " <b>B</b> DATA <b>B</b> OF <b>B B</b> "
047-056	X(10)	AN	DATE OF DATA = "MM/DD/CCYY"
057-067	X(11)	AN	Literally " <b>B</b> TO <b>B</b> REMOTE <b>B</b> "
068-071	X(04)	AN	REMOTE ID = "XXXX"
072-085	X(14)	AN	Literally " <b>B</b> BEGINS <b>B</b> HERE <b>B B</b> "
086-095	X(10)	AN	RUN DATE = "MM/DD/CCYY"
096-096	X(01)	AN	Not Used
097-104	X(08)	AN	RUN TIME = "HH:MM:SS"
105-1249	X(1145)	AN	Not Used
1250-1250	X(01)	AN	Literally "A"; indicates the end of the header record

## DETAIL RECORD A

POSITION	PICTURE	TYPE	DESCRIPTION
1251-1252	X(02)	AN	TRANSACTION CODE = "GE"
1253-1253	X(01)	AN	RECORD INDICATOR VALUE = "A"
1254-1261	9(08)	N	RECORD ID SEQUENCE NUMBER BEGINNING WITH

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POSITION	PICTURE	TYPE	DESCRIPTION
			"0000001"
1262-1271	X(10)	AN	ACCOUNT NUMBER INCLUDING OFFICE (3); BASE ACCOUNT NUMBER (6); TYPE (1); acceptable values for TYPE include: "0" = COD "1" = Cash "2" = Margin "3" = Short "4" = Special Subs "5" = Arbitrage "8" = Precious Metal "9" = Income
1272-1272	X(01)	AN	Not Used
1273-1275	X(03)	AN	INTRODUCING BROKER DEALER NUMBER (IBD)
1276-1278	X(03)	AN	<i>For Internal Use</i>
1279-1281	X(03)	AN	Not Used
1282-1292	X(11)	AN	Not Used
ORDER/TRADE IDENTIFIERS			
1293-1312	X(20)	AN	PERSHING INTERNAL ORDER REFERENCE NUMBER
1313-1332	X(20)	AN	PERSHING INTERNAL TRADE REFERENCE NUMBER
1333-1336	9(04)	N	PERSHING INTERNAL VERSION
1337-1356	X(20)	AN	INTRODUCING BROKER/DEALER (IBD) ID
1357-1376	X(20)	AN	UNIQUE ORDER ID
1377-1396	X(20)	AN	ALLOCATION BLOCK ID
1397-1416	X(20)	AN	EXTERNAL REFERENCE NUMBER
1417-1436	X(20)	AN	BLOCK TRADE ID; used for FX trades
1437-1442	X(06)	AN	P&S REFERENCE NUMBER
1443-1448	X(06)	AN	Not Used
DATES AND TIMES			
1449-1456	9(08)	N	TRADE DATE = "CCYYMMDD"
1457-1462	9(06)	N	EXECUTION TIME, when available = "HHMMSS"
1463-1470	9(08)	N	SETTLEMENT DATE = "CCYYMMDD"
1471-1478	9(08)	N	PROCESS DATE = "CCYYMMDD"
1479-1494	X(16)	AN	Not Used
ADDITIONAL ORDER INFORMATION			
1495-1497	X(03)	AN	Not Used
1498-1498	X(01)	AN	BUY/SELL CODE; acceptable values include: "B" = Buy "S" = Sell
1499-1507	X(09)	AN	CUSIP® NUMBER
1508-1514	X(07)	AN	CUSIP® NUMBER
1515-1515	X(01)	AN	INTERNATIONAL SECURITY IDENTIFIER TYPE;

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POSITION	PICTURE	TYPE	DESCRIPTION
			acceptable values include: "C" = CUSIP "S" = Symbol "I" = ISIN "E" = SEDOL "R" = Reuters Identification Code
1516-1527	X(12)	AN	INTERNATIONAL SECURITY IDENTIFIER AS ENTERED ON TRANSACTION
1528-1536	X(09)	AN	SECURITY SYMBOL
1537-1543	X(07)	AN	SECURITY SYMBOL-
1544-1561	9(13)v9(05)	N	ORDER QUANTITY, when available
1562-1562	X(01)	AN	ORDER QUANTITY SIGN; acceptable values include: "+" = Positive Quantity "-" = Negative Quantity "B" = Not Available or Zeroes
1563-1571	9(09)	N	PRICING GROUP QUANTITY; total quantity used for grouping trades (same Account, Security Identifier, side) for pricing purposes
1572-1587	X(16)	AN	MARKET CODE; acceptable values include: "1" = New York Stock Exchange® (NYSE) "2" = NYSE ALT (formerly AMEX) "3" = Philadelphia (PHLX) "4" = Over the Counter (OTC) "5" = Boston (BSE) "6" = Chicago Exchange (CHX) "7" = Chicago Board Options Exchange (CBOE) "8" = Over the Counter/National Clearing Corporation (OTC/NCC) "8"+ BLOT "1"+ CONTRA = "9600" NSX Exchange Agency *CONTRA refers to CONTRA broker "9" = Pacific (PSE) "6"+ BLOT "2"=Exercised and Assigned Index Options "7"+ BLOT "1" = Exercised and Assigned Equity Options *BLOT refers to Blotter Codes position 342
1588-1591	X(04)	AN	MARKET MNEMONIC CODE; see Appendix S, "GTDE Codes," for values
1592-1592	X(01)	AN	BLOTTER CODE; acceptable values include: "0" = Average Price "1" = Customer vs. the Street "2" = Option "3" = Agency, but used for Cash, Special Settlement, Next Day Trades (cont.)

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POSITION	PICTURE	TYPE	DESCRIPTION
			"5" = Principal Cross Trade "6" = Syndicate Cross Trade "8" = Principal Cross Trade/Over the Counter "9" = Agency Cross Trade
1593-1593	X(01)	AN	BLOTTER CODE-EXT
1594-1595	X(03)	AN	Not Used
1596-1599	X(04)	AN	SETTLEMENT LOCATION CODE; see Appendix S, "GTDE Codes," for values
1600-1603	X(04)	AN	COUNTER PARTY (CLIENT)
1604-1604	X(01)	AN	CANCEL CODE; acceptable values include: "1" = Cancel "B" = Not Applicable
1605-1605	X(01)	AN	CORRECTION CODE; acceptable values include: "1" = Correction "5" = Post Settlement Cancel (there must be a "1" in Cancel when "5" is used) "B" = Not Applicable
1606-1606	X(01)	AN	OPEN/CLOSE INDICATOR FOR OPTIONS; acceptable values include: "O" = Open "C" = Close "B" = Not Applicable
1607-1607	X(01)	AN	TYPE OF ORDER; acceptable values include: "M" = Market "L" = Limit "D" = DOT (DOT indicates via the computer system of the NYSE) "P" = PER (PER indicates via the computer system of the American Stock Exchange) "Z" = Derived (omnibus account, market, product) Note: Type of Order field is blank for the following types of transactions: <ul style="list-style-type: none"> <li>▪ Cancels</li> <li>▪ Corrections</li> <li>▪ When-Issued (Security Type = "4")</li> <li>▪ International Transaction (Batch Code = "*GLOS" or "INT01")</li> <li>▪ When Average Price Blotter Code (Blotter = "0")</li> </ul> Under these circumstances, Type of Order does not apply, or was not passed from the previous transaction
1608-1608	X(01)	AN	TYPE OF ORDER-EXT
1609-1609	X(01)	AN	SOLICITED INDICATOR (this field applies to (cont.))

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POSITION	PICTURE	TYPE	DESCRIPTION
			equity orders only); acceptable values include “U” = Unsolicited “S” = Solicited “ <b>B</b> ” = Not Provided (Note: This is not a required field in the input system)
1610-1610	X(01)	AN	Not Used
1611-1618	X(08)	AN	USER ID OF PERSON WHO ENTERED THE ORDER, when available
1619-1619	X(01)	AN	SOURCE OF INPUT; see Appendix P, “Source of Input”
1620-1620	X(01)	AN	Not Used
1621-1622	X(02)	AN	ORDER TERMINAL
1623-1628	X(06)	AN	ORDER TERMINAL-EXT
1629-1633	9(05)	N	ORDER SEQUENCE NUMBER
1634-1634	X(01)	AN	Not Available Intraday
1635-1635	X(01)	AN	Not Available Intraday
1636-1636	X(01)	AN	RISKLESS PRINCIPAL INDICATOR; acceptable values include: “R” = Riskless Principal Trade “ <b>B</b> ” = Not a Riskless Principal Trade
1637-1637	X(01)	AN	Not Used
1638-1638	X(01)	AN	SHORT TRADE; acceptable values include: “X” = Short-and-Exempt “S” = Short-and-Non-Exempt “ <b>B</b> ” = Not Applicable
1639-1639	X(01)	AN	SYNDICATE INDICATOR; acceptable values include: “Y” = Syndicate Trade “N” or “ <b>B</b> ” = Non-syndicate Trade
1640-1640	X(01)	AN	ODD LOT CODE (identifies an odd-lot trade); acceptable values include: “Y” = Odd-Lot trade “N” or “ <b>B</b> ” = Not an Odd-Lot Trade
1641-1641	X(01)	AN	MUTUAL FUND VALUES; note abbreviations as follows: NTF = No Transaction Fee SRS = Systematic Reinvestment System; acceptable mutual fund values include: “ <b>B</b> ” = Load or No Load, Networked or non-Networked Trade, or Not Applicable if not a Mutual Fund “A” = Load, FundServ, Networked Exchange; <i>if the security is an equity, this field is used to suppress automated trade submission to SIAC<sup>SM</sup>. If a trade was executed on either the AMEX<sup>®</sup> or the NYSE and was executed through DOT, or it is an OTC trade and the security is ACT-eligible, an “A” must be present in this field. Automated trades are automatically submitted to SIAC upon (cont.)</i>

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POSITION	PICTURE	TYPE	DESCRIPTION
			<i>execution. This indicator prevents duplicate street-side submission</i>
			“B” = Load, FundServ, non-Networked Exchange
			“C” = Load, FundServ, Networked Trade
			“D” = Load, FundServ, non-Networked Trade
			“E” = Load or No-Load, non-FundServ, Networked or non-Networked Exchange
			“F” = Load, non-FundServ Surcharge
			“G” = No-Load, FundServ, Networked Exchange
			“H” = No-Load, FundServ, non-Networked Exchange
			“I” = No-Load, FundServ, Networked Trade
			“J” = No-Load, FundServ, non-Networked Trade
			“K” = NTF, Load or No-Load, FundServ or non-FundServ, Networked or non-Networked Trade
			“L” = NTF, Below Minimum Load or No-Load, FundServ, or non-FundServ, Networked or non-Networked Trade
			“M” = NTF, Short Term Redemption Load or No-Load, FundServ or non-FundServ, Networked or non-Networked Trade
			“N” = NTF, SRS Load or No-Load, FundServ or non-FundServ, Networked or non-Networked Trade
			“O” = NTF, SRS Load or No-Load, FundServ or non-FundServ, Networked or non-Networked Exchange
			“P” = NTF, SRS Below Minimum Load or No-Load, FundServ or non-FundServ, Networked or non-Networked Trade
			“Q” = NTF, SRS Short Term Redemption Load or No-Load, FundServ or non-FundServ, Networked or non-Networked Trade
			“R” = SRS Reinvestment Trade
			“S” = SRS Trade
			“T” = SRS Exchange
			“U” = NTF, SRS Short Term Redemption Load or No-Load, FundServ or non-FundServ, Networked or non-Networked Exchange
			“V” = Special Price 1 Trade
			“W” = Special Price 1 Exchange
			“X” = Special Price 1 SRS Trade
			“Y” = Special Price 1 SRS Exchange
			“Z” = No-Load, FundServ, non-Exchange, non-SRS, non-NTF trade for eligible surcharge fund
			“0” = No-Load, non-FundServ, non-Exchange, non-SRS, non-NTF trade for eligible surcharge fund
			“1” = Special Price 2 Trade
			“2” = Special Price 2 Exchange
			“3” = Special Price 2 SRS Trade
			“4” = Special Price 2 SRS Exchange
			“5” = Special Price 3 Trade
			“6” = Special Price 3 Exchange

(cont.)

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POSITION	PICTURE	TYPE	DESCRIPTION
			“7” = Special Price 3 SRS Trade “8” = Special Price 3 SRS Exchange “9” = Load, FundServ Surcharge
1642-1642	X(01)	AN	SPREAD/STRADDLE INDICATOR (for options only); acceptable values include: “S” = Spread “T” = Straddle “B” = Not Applicable
1643-1647	X(05)	AN	BATCH CODE
1648-1655	X(08)	AN	INVESTMENT MANAGER CODE
1656-1659	X(04)	AN	Not Used
EXECUTION PARTICIPANTS/SIDES			
1660-1669	X(10)	AN	OFFSET ACCOUNT; street-side account
1670-1676	X(07)	AN	Not Used
1677-1680	X(04)	AN	EXECUTING BROKER
1681-1684	X(04)	AN	MAJOR BROKERAGE BADGE NUMBER
1685-1688	X(04)	AN	CONTRA BROKER; broker-dealer, market maker, broker acting as an agent, or dealer acting as principal offset account (street-side account)
1689-1692	X(04)	AN	MINOR BROKERAGE BADGE NUMBER
1693-1695	X(03)	AN	TRADER INITIALS
1696-1696	X(01)	AN	STEP IN/STEP OUT INDICATOR; acceptable values include: “I” = Step In “O” = Step Out “B” = Not Applicable
1697-1698	X(02)	AN	EXECUTION TERMINAL
1699-1704	X(06)	AN	EXECUTION TERMINAL-EXT
1705-1709	9(05)	N	EXECUTION SEQUENCE NUMBER
1710-1715	X(06)	AN	Not Used
CUSTOMER ACCOUNT INFORMATION			
1716-1716	X(01)	AN	PRIME BROKER INDICATOR; acceptable values include: “P” = Prime Broker “Q” = Prime Broker; COD Account “B” = Not Applicable
1717-1719	X(03)	AN	COUNTRY OF CITIZENSHIP; see Appendix G, “State and Country Codes”
1720-1722	X(03)	AN	COUNTRY/STATE OF RESIDENCE; see Appendix G, “State and Country Codes”
1723-1723	X(01)	AN	WITHHOLDING CODE/TAX EXEMPT INDICATOR; acceptable values include: “0” = No Adoption Agreement “1” = Adoption Agreement (cont.)
			“B” = Non-custodian

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POSITION	PICTURE	TYPE	DESCRIPTION
1724-1726	X(03)	AN	BASE CURRENCY; see Appendix N, "Currency Codes"
1727-1744	9(09)v9(09)	N	BASE CURRENCY EXCHANGE RATE
1745-1745	X(01)	AN	BASE CURRENCY MULTIPLY/DIVIDE CODE; acceptable values include: "M" = Multiply "D" = Divide
1746-1755	X(10)	AN	Not Used
INVESTMENT PROFESSIONAL EXECUTION INFORMATION			
1756-1758	X(03)	AN	PRIMARY EXECUTION INVESTMENT PROFESSIONAL
1759-1759	X(01)	AN	Not Used
1760-1762	X(03)	AN	INVESTMENT PROFESSIONAL 2 OVERRIDE
1763-1763	X(01)	AN	Not Used
1764-1781	9(09)v9(09)	N	INVESTMENT PROFESSIONAL 2 PERCENTAGE
1782-1784	X(03)	AN	INVESTMENT PROFESSIONAL 3 OVERRIDE
1785-1785	X(01)	AN	Not Used
1786-1803	9(09)v9(09)	N	INVESTMENT PROFESSIONAL 3 PERCENTAGE
1804-1808	X(05)	AN	Not Used
SECURITY INFORMATION			
1809-1809	X(01)	AN	SECURITY TYPE CODE; see Appendix B, "Security Code Matrix"
1810-1810	X(01)	AN	SECURITY MODIFIER CODE; see Appendix B, "Security Code Matrix"
1811-1811	X(01)	AN	SECURITY CALCULATION CODE; see Appendix B, "Security Code Matrix"
1812-1814	X(03)	AN	MINOR PRODUCT CODE; see Appendix B, "Minor Product Codes"
1815-1822	X(08)	AN	ASSET TYPE; see Appendix B, "Asset Types," for values
1823-1830	X(08)	AN	ASSET SUBTYPE; see Appendix B, "Asset Types," for values
1831-1838	X(08)	AN	ASSET SUB-SUBTYPE; see Appendix B, "Asset Types," for values
1839-1840	X(02)	AN	LISTED-CDE
1841-1844	X(04)	AN	INTERNATIONAL EXCHANGE CODE; see Appendix S, "GTDE Codes," for values
1845-1853	X(09)	AN	UNDERLYING CUSIP
1854-1860	X(07)	AN	Not Used
1861-1878	9(09)v9(09)	N	STRIKE PRICE; the price at which an option can be exercised
1879-1893	9(03)v9(12)	N	POOL FACTOR
1894-1894	X(01)	AN	NON-US SECURITY INDICATOR; acceptable values include: "1" = Non-US Security "2" = ADR/Canadian "B" = US Security



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POSITION	PICTURE	TYPE	DESCRIPTION
1895-1895	X(01)	AN	CONTINUOUS NET SETTLEMENT (CNS) ELIGIBILITY; acceptable values include: “1” = CNS Eligible “ <b>B</b> ” = Not CNS Eligible
1896-1896	X(01)	AN	DEPOSITORY TRUST COMPANY (DTC) ELIGIBILITY; identifies where physical records of a firm are stored; acceptable values include: “1” = DTC Eligible “ <b>B</b> ” = Not DTC Eligible
1897-1897	X(01)	AN	Not Used
1898-1905	9(08)	N	EX-DIVIDEND DATE = “CCYYMMDD”
1906-1913	9(08)	N	RECORD DATE = “CCYYMMDD”
1914-1915	9(02)	N	NUMBER OF DESCRIPTION LINES
1916-1935	X(20)	AN	DESCRIPTION LINE 1
1936-1955	X(20)	AN	DESCRIPTION LINE 2
1956-1975	X(20)	AN	DESCRIPTION LINE 3
1976-1995	X(20)	AN	DESCRIPTION LINE 4
1996-2015	X(20)	AN	DESCRIPTION LINE 5
2016-2035	X(20)	AN	DESCRIPTION LINE 6
2036-2036	X(01)	AN	LEGEND CODE 1; see Appendix K, “Legend Codes”
2037-2037	X(01)	AN	LEGEND CODE EXT 1
2038-2038	X(01)	AN	LEGEND CODE 2; see Appendix K, “Legend Codes”
2039-2039	X(01)	AN	LEGEND CODE EXT 2
2040-2045	X(06)	AN	Not Used
2046-2047	X(02)	AN	LEGEND CODE ONE; see Appendix K, “Legend Codes”
2048-2049	X(02)	AN	LEGEND CODE TWO; see Appendix K, “Legend Codes”
2050-2051	X(02)	AN	LEGEND CODE THREE; see Appendix K, “Legend Codes”
2052-2053	X(02)	AN	LEGEND CODE FOUR; see Appendix K, “Legend Codes”
2054-2055	X(02)	AN	LEGEND CODE FIVE; see Appendix K, “Legend Codes”
2056-2057	X(02)	AN	LEGEND CODE SIX; see Appendix K, “Legend Codes”
TRAILER INFORMATION			
2058-2059	X(02)	AN	Not Used
2060-2079	X(20)	AN	TRAILER LINE ONE
2080-2099	X(20)	AN	TRAILER LINE TWO
2100-2119	X(20)	AN	TRAILER LINE THREE
2120-2139	X(20)	AN	TRAILER LINE FOUR
2140-2159	X(20)	AN	TRAILER LINE FIVE
2160-2179	X(20)	AN	TRAILER LINE SIX
2180-2199	X(20)	AN	TRAILER LINE SEVEN
2200-2219	X(20)	AN	TRAILER LINE EIGHT
2220-2239	X(20)	AN	TRAILER LINE NINE
2240-2246	X(07)	AN	Not Available Intraday

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POSITION	PICTURE	TYPE	DESCRIPTION
2247-2262	X(16)	AN	Not Available Intraday
2263-2263	X(01)	AN	Not Available Intraday
2264-2264	X(01)	AN	Not Available Intraday
2265-2284	X(20)	AN	Not Available Intraday
OCC DATA SET – The following four fields (in position 1035 - 1055) comprise the OCC DATA SET To be used in lieu of the OPRA Symbol			
2285-2290	X(06)	AN	OPTION ROOT ID
2291-2296	9(06)	N	EXPIRATION DATE, in YYMMDD format
2297-2297	X(01)	AN	CALL/PUT Indicator, acceptable values include: “B” = Bank Pledge (Call) “C” = Call “D” = Bank Pledge (Put) “E” = Escrow Receipt “L” = Letter of Credit (Call) “M” = Letter of Credit (Put) “P” = Put
2298-2305	9(05)v9(03)	N	STRIKE PRICE
2306-2499	X(194)	AN	Not Used
2500-2500	X(01)	AN	Literally “X”; indicates the end of the detail record

## DETAIL RECORD B

POSITION	PICTURE	TYPE	DESCRIPTION
2501-2502	X(02)	AN	TRANSACTION CODE = “GE”
2503-2503	X(01)	AN	RECORD INDICATOR VALUE = “B”
2504-2511	9(08)	N	RECORD ID SEQUENCE NUMBER BEGINNING WITH “00000001”
2512-2521	X(10)	AN	ACCOUNT NUMBER INCLUDING OFFICE (3); BASE ACCOUNT NUMBER (6); TYPE (1)
2522-2522	X(01)	AN	Not Used
2523-2525	X(03)	AN	INTRODUCING BROKER DEALER NUMBER (IBD)
2526-2528	X(03)	AN	<i>For Internal Use</i>
2529-2531	X(03)	AN	Not Used
2532-2542	X(11)	AN	Not Used
TRADE AMOUNTS AND FEES UNLESS OTHERWISE INDICATED ALL FEES, AMOUNT, ETC. ARE DENOMINATED IN TRADE CURRENCY			
2543-2560	9(13)v9(05)	N	QUANTITY
2561-2561	X(01)	AN	QUANTITY SIGN; values include: “+” = Positive Quantity “-” = Negative Quantity “ <b>B</b> ” = Not Applicable or Zeroes

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POSITION	PICTURE	TYPE	DESCRIPTION
2562-2579	9(09)v9(09)	N	PRICE
2580-2582	X(03)	AN	TRADE CURRENCY; see Appendix N, "Currency Codes"
2583-2583	X(01)	AN	BASIS PRICE INDICATOR; indicates how the price was entered; values include: "B" = Dollar Price "1" = Yield "D" = Discount "2" = Mutual Fund
2584-2600	9(08)v9(09)	N	YIELD
2601-2601	X(01)	AN	YIELD SIGN; values include: "+" = Positive Yield "-" = Negative Yield "B" = Not Applicable
2602-2618	9(08)v9(09)	N	YIELD TO WORST
2619-2619	(X01)	AN	YIELD TO WORST SIGN; values include: "+" = Positive Yield to Worst "-" = Negative Yield to Worst "B" = Not Applicable
2620-2621	X(02)	AN	YIELD TO WORST CODE; values include: "M" = Yield to Maturity "O" = Yield to Par (cont.)
			"C" = Yield to Call "P" = Yield to Put "R" = Yield to Pre-refunded "B" = Not Applicable
2622-2639	9(16)v9(02)	N	PERSHING CHARGE; contractual fee for services rendered based upon the type of relationship with Pershing
2640-2640	X(01)	AN	PERSHING CHARGE SIGN; values include: "+" = Amount Charged "-" = Amount Credited "B" = Not Applicable or Zeroes
2641-2658	9(16)v9(02)	N	TRANSACTION FEE (SEC FEE)
2659-2659	X(01)	AN	TRANSACTION FEE SIGN "+" = Amount Charged "-" = Amount Credited "B" = Not Applicable or Zeroes
2660-2677	9(16)v9(02)	N	REBATE AMOUNT; amount rebated to your firm
2678-2678	X(01)	AN	REBATE AMOUNT SIGN; values include: "+" = Amount Debited "-" = Amount Credited "B" = Not Applicable or Zeroes

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POSITION	PICTURE	TYPE	DESCRIPTION
2679-2696	9(16)v9(02)	N	NET AMOUNT; principal, plus/minus interest, plus/minus fees. <b>Note:</b> This amount is in settlement currency.
2697-2697	X(01)	AN	NET AMOUNT SIGN; values include: “+” = Amount Debited “-” = Amount Credited “ <b>B</b> ” = Not Applicable or Zeroes
2698-2700	X(03)	AN	SETTLEMENT CURRENCY; see Appendix N, “Currency Codes”
2701-2718	9(09)v9(09)	N	SETTLEMENT CURRENCY EXCHANGE RATE
2719-2719	X(01)	AN	SETTLEMENT CURRENCY MULTIPLY/DIVIDE CODE; values include: “M” = Multiply “D” = Divide
2720-2737	9(13)v9(05)	N	INTEREST
2738-2738	X(01)	AN	INTEREST SIGN; values include: “+” = Amount Charged “-” = Amount Credited “ <b>B</b> ” = Not Applicable or Zeroes
2739-2756	9(16)v9(02)	N	SERVICE CHARGE FOR IBD
2757-2757	X(01)	AN	SERVICE CHARGE SIGN; values include: (cont.)
			“-” = Amount Credited “ <b>B</b> ” = Not Applicable or Zeroes
2758-2775	9(16)v9(02)	N	POSTAGE (sometimes referred to as CONFIRM FEE)
2776-2776	X(01)	AN	POSTAGE SIGN; values include: “+” = Amount Debited “-” = Amount Credited “ <b>B</b> ” = Not Applicable or Zeroes
2777-2777	X(01)	AN	COMMISSION/SALES CREDIT TYPE; values include: “1” = Sales Credit Rate “2” = Commission by Discount “3” = Commission by Rate “4” or “A” = Commission by Amount “5” = Zero Commission “6” = Sales Credit Flat Amount “7” = Percentage of Principal “ <b>B</b> ” = Not Applicable
2778-2795	9(16)v9(02)	N	COMMISSION
2796-2796	X(01)	AN	COMMISSION SIGN; values include: “+” = Amount Debited (same for Buy or Sell) “-” = Amount Credited (same for Buy or Sell) “ <b>B</b> ” = Not Applicable or Zeroes

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
2797-2803	9(03)v9(04)	N	COMMISSION PERCENT DISCOUNT
2804-2814	X(11)	AN	Not Used
2815-2832	9(16)v9(02)	N	SALES CREDIT
2833-2833	X(01)	AN	SALES CREDIT SIGN; values include: “+” = Amount Debited “-” = Amount Credited “B” = Not Applicable or Zeroes
2834-2851	9(16)v9(02)	N	CONTINGENT DEFERRED SALES CHARGE (CDSC)
2852-2852	X(01)	AN	CDSC SIGN; values include: “+” = Positive “-” = Negative “B” = Not Applicable or Zeroes
2853-2870	9(16)v9(02)	N	BASE COMMISSION (This is what the commission would be without any discounts applied.)
2871-2871	X(01)	AN	BASE COMMISSION SIGN; values include: “+” = Amount Debited (same for Buy or Sell) “-” = Amount Credited (same for Buy or Sell) “B” = Not Applicable or Zeroes
2872-2889	9(16)v9(02)	N	MARK UP/MARK DOWN
2890-2890	X(01)	AN	MARK UP/MARK DOWN SIGN; values include: (cont.)
			“+” = Mark Down Amount “-” = Mark Up Amount
			“B” = Not Applicable or Zeroes
2891-2908	9(16)v9(02)	N	PRINCIPAL
2909-2909	X(01)	AN	PRINCIPAL SIGN; values include: “+” = Amount Debited “-” = Amount Credited “B” = Not Applicable or Zeroes
2910-2927	9(16)v9(02)	N	EXECUTION CHARGE
2928-2928	X(01)	AN	EXECUTION CHARGE SIGN; values include: “+” = Amount Debited “-” = Amount Credited “B” = Not Applicable or Zeroes
2929-2929	X(01)	AN	EXECUTION ONLY INDICATOR; values include: “Y” = Execution Only “B” = Not Applicable
2930-2947	9(16)v9(02)	N	SETTLEMENT FEE – CUSTOMER (Clearance Charge)
2948-2948	X(01)	AN	SETTLEMENT FEE SIGN; values include: “+” = Amount Debited “-” = Amount Credited “B” = Not Applicable or Zeroes

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
2949-2949	X(01)	AN	CLEARANCE ONLY INDICATOR; values include: “Y” = Clearance Only “N” = Pershing execution
2950-2967	9(16)v9(02)	N	FOREIGN RECEIVE/DELIVER CHARGE
2968-2968	X(01)	AN	FOREIGN RECEIVE/DELIVER CHARGE SIGN; values include: “+” = Amount Debited “-” = Amount Credited “B” = Not Applicable or Zeroes
2969-2986	9(16)v9(02)	N	NTF REDEMPTION FEE; includes base redemption fee for NTF eligible trades and add-on fee
2987-2987	X(01)	AN	NTF REDEMPTION FEE SIGN; values include: “+” = Amount Debited “-” = Amount Credited “B” = Not Applicable or Zeroes
2988-3005	9(16)v9(02)	N	NTF REDEMPTION ADD-ON FEE
3006-3006	X(01)	AN	NTF REDEMPTION ADD-ON SIGN; values include: “+” = Amount Debited “-” = Amount Credited “B” = Not Applicable or Zeroes
3007-3024	9(16)v9(02)	N	MUTUAL FUND EXCHANGE FEE
3025-3025	X(01)	AN	MF EXCHANGE FEE SIGN; values include: “+” = Amount Debited “-” = Amount Credited “B” = Not Applicable or Zeroes
3026-3043	9(16)v9(02)	N	SRS FUND EXCHANGE FEE
3044-3044	X(01)	AN	SRS FUND EXCHANGE FEE SIGN; values include: “+” = Amount Debited “-” = Amount Credited “B” = Not Applicable or Zeroes
3045-3062	9(16)v9(02)	N	HANDLING FEE
3063-3063	X(01)	AN	HANDLING FEE SIGN; values include: “+” = Amount Debited “-” = Amount Credited “B” = Not Applicable or Zeroes
3064-3081	9(16)v9(02)	N	STAMP DUTY
3082-3082	X(01)	AN	STAMP DUTY SIGN; values include: “+” = Amount Debited “-” = Amount Credited “B” = Not Applicable or Zeroes
3083-3100	9(16)v9(02)	N	PRIME BROKER FEE
3101-3101	X(01)	AN	PRIME BROKER FEE SIGN; values include:

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
			"+" = Amount Debited "-" = Amount Credited "B" = Not Applicable or Zeroes
3102-3121	X(20)	AN	IBD MISCELLANEOUS CHARGE LABEL
3122-3139	9(16)v9(02)	N	IBD MISCELLANEOUS CHARGE
3140-3140	X(01)	AN	IBD MISCELLANEOUS CHARGE SIGN; values include: "+" = Amount Debited "-" = Amount Credited "B" = Not Applicable or Zeroes
3141-3160	X(20)	AN	STREETSIDE MISCELLANEOUS CHARGE LABEL
3161-3178	9(16)v9(02)	N	STREETSIDE MISCELLANEOUS CHARGE
3179-3179	X(01)	AN	STREETSIDE MISC. CHARGE SIGN; values include: "+" = Amount Debited "-" = Amount Credited "B" = Not Applicable or Zeroes
3180-3197	9(16)v9(02)	N	TRANSACTION LEVY
3198-3198	X(01)	AN	TRANSACTION LEVY SIGN; values include: "+" = Amount Debited "-" = Amount Credited
			"B" = Not Applicable or Zeroes (cont.)
3199-3216	9(16)v9(02)	N	TRANSFER STAMP FEE
3217-3217	X(01)	AN	TRANSFER STAMP FEE SIGN; values include: "+" = Amount Debited "-" = Amount Credited "B" = Not Applicable or Zeroes
3218-3235	9(16)v9(02)	N	TRANSFER TAX
3236-3236	X(01)	AN	TRANSFER TAX SIGN; values include: "+" = Amount Debited "-" = Amount Credited "B" = Not Applicable or Zeroes
3237-3254	9(16)v9(02)	N	CUSTOMER CONFIRM FEE
3255-3255	X(01)	AN	CUSTOMER CONFIRM FEE SIGN; values include: "+" = Amount Debited "-" = Amount Credited "B" = Not Applicable or Zeroes
3256-3273	9(16)v9(02)	N	IBD CONFIRM FEE
3274-3274	X(01)	AN	IBD CONFIRM FEE SIGN; values include: "+" = Amount Debited "-" = Amount Credited "B" = Not Applicable or Zeroes
3275-3292	9(16)v9(02)	N	VALUE ADDED TAX

# PERSHING STANDARD FILE LAYOUTS

POSITION	PICTURE	TYPE	DESCRIPTION
3293-3293	X(01)	AN	VALUE ADDED TAX SIGN; values include: “+” = Amount Debited “-” = Amount Credited “ <b>B</b> ” = Not Applicable or Zeroes
3294-3311	9(09)v9(09)	N	REPORTED PRICE
3312-3315	X(04)	AN	Not Used
3316-3335	X(20)	AN	ADDITIONAL TRAILER LINE ONE
3336-3355	X(20)	AN	ADDITIONAL TRAILER LINE TWO
3356-3375	X(20)	AN	ADDITIONAL TRAILER LINE THREE
3376-3395	X(20)	AN	ADDITIONAL TRAILER LINE FOUR
3396-3415	X(20)	AN	ADDITIONAL TRAILER LINE FIVE
3416-3435	X(20)	AN	ADDITIONAL TRAILER LINE SIX
3436-3455	X(20)	AN	FREEFORM LOT INFORMATION (1)
3456-3475	X(20)	AN	FREEFORM LOT INFORMATION (2)
3476-3595	X(20)	AN	FREEFORM LOT INFORMATION (3)
3496-3513	9(16)v9(02)	N	TRANSACTION (SEC) FEE (LESS OPTION REGULATORY FEE)
3514-3514	X(01)	AN	TRANSACTION (SEC) FEE (LESS OPTION REGULATORY FEE) SIGN; values include: (cont.)
			“+” = Amount Charged “-” = Amount Credited “ <b>B</b> ” = Not Applicable or Zeroes
3515-3532	9(16)v9(02)	N	OPTION REGULATORY FEE
3533-3533	X(01)	AN	OPTION REGULATORY FEE SIGN; values include: “+” = Amount Charged “-” = Amount Credited “ <b>B</b> ” = Not Applicable or Zeroes
3534-3534	X(01)	AN	ALTERNATE SECURITY ID #1 TYPE, value includes: “I” = ISIN
3535-3546	X(12)	AN	ALTERNATE SECURITY ID #1
3547-3547	X(01)	AN	<i>RESERVED FOR</i> ALTERNATE SECURITY ID #2 TYPE
3548-3559	X(12)	AN	<i>RESERVED FOR</i> ALTERNATE SECURITY ID #2
3560-3749	X(190)	AN	Not Used
3750-3750	X(01)	AN	Literally “X”; indicates the end of the detail record